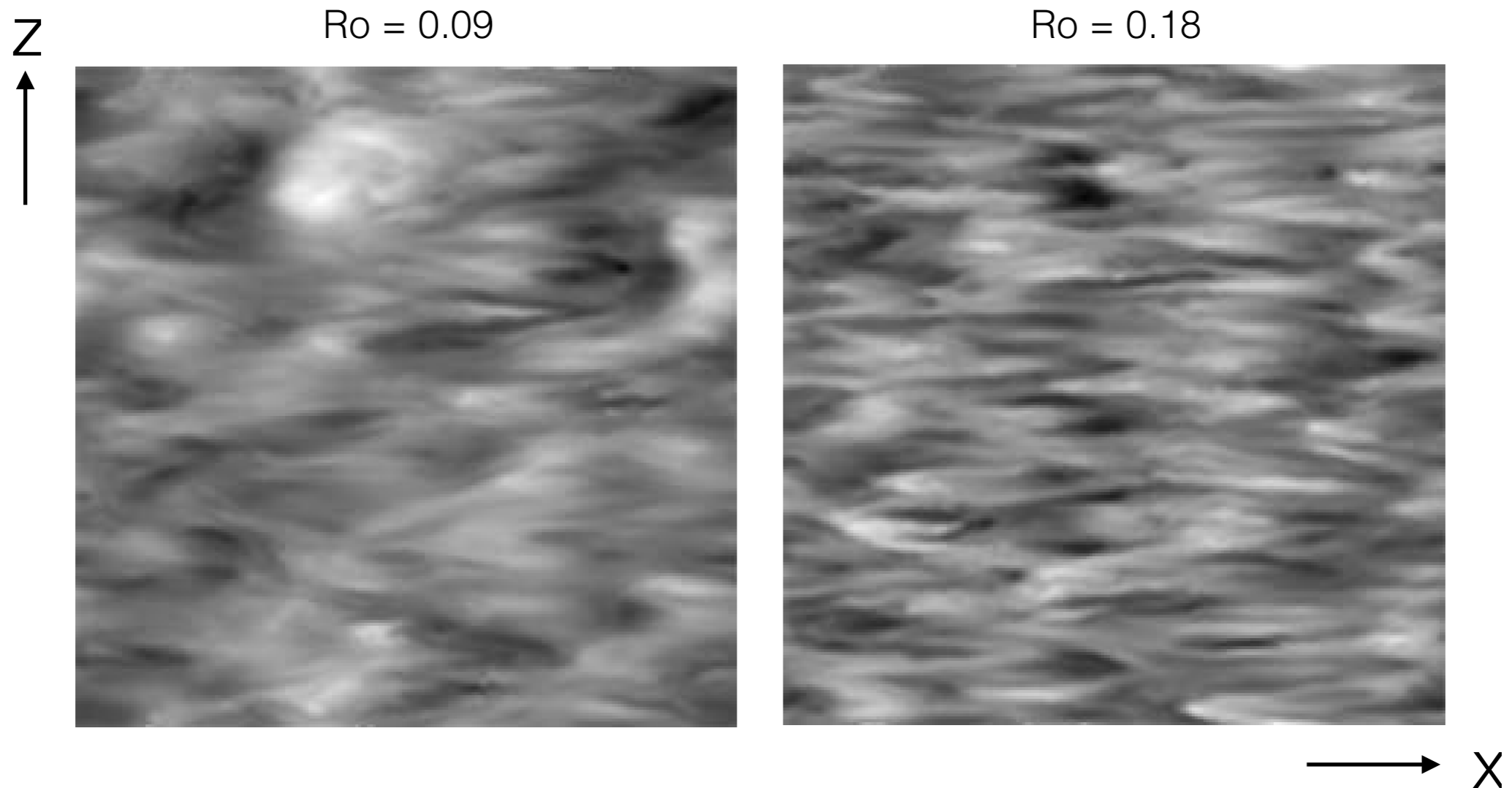


# The transition from geostrophic to stratified turbulence

By MICHAEL L. WAITE† AND PETER BARTELLO

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interpretation by Gregory Wagner

# Waite and Bartello's parameter study

---

1. Force the triply-periodic Boussinesq equations at large-ish scales ( $k_f = 5$ )

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + f \hat{\mathbf{z}} \times \mathbf{u} = -\nabla p + b' \hat{\mathbf{z}} + \mathbf{F}_u + D_u(\mathbf{u}),$$

$$\nabla \cdot \mathbf{u} = 0,$$

$$\frac{\partial b'}{\partial t} + \mathbf{u} \cdot \nabla b' + N^2 w = F_{b'} + D_{b'}(b'),$$

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2. Achieve an approximate steady-state by removing energy at small *and* large scales

$$\hat{D}_k(q) = -\left( \nu(k_h^8 + k_z^8) + r(\mathbf{k}) \right) \hat{q}_k,$$

hyperviscosity

↖ large-scale drag on  $k=1$

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hyperviscosity       large-scale drag on  $k, l = 1$

3. Hold  $N$ , forcing constant and vary  $f \rightarrow N/f$  not constant

# The simulations

---

$f$	$N/f$	$Ro_\omega$	$Fh_\omega$	$Fz_\omega$	$Ro_u$	$Fh_u$	$Fz_u$
0	$\infty$	$\infty$	0.19	0.53	$\infty$	0.026	0.056
1/16	128	25.0	0.19	0.52	3.4	0.026	0.056
1/8	64	12.0	0.19	0.52	1.7	0.026	0.055
1/4	32	6.3	0.19	0.52	0.83	0.026	0.054
1/2	16	3.2	0.19	0.51	0.39	0.025	0.051
3/4	32/3	2.2	0.21	0.49	0.25	0.024	0.051
1	8	1.7	0.21	0.47	0.18	0.022	0.048
3/2	16/3	1.2	0.22	0.42	0.12	0.022	0.040
2	4	0.89	0.22	0.37	0.090	0.022	0.033
4	2	0.47	0.23	0.21	0.048	0.024	0.018
8	1	0.24	0.23	0.10	0.024	0.024	0.0091

---

TABLE 1. The Coriolis parameters  $f$  used in our primary simulations ( $N=8$ ) along with  $N/f$  and the microscale (vorticity-based) and macroscale (velocity-based) Rossby and Froude numbers. The Rossby and Froude numbers are from the simulations with large-scale damping, and are averaged over  $70 \leq t \leq 100$ .

$$Ro_\omega = \frac{\sqrt{[\omega_z^2]}}{f},$$

“micro-scales”

$$U = \sqrt{[u^2 + v^2 + w^2]}, \quad L = 2\pi \left( \frac{E^{(0)}}{\int k_h^{1/2} E_h^{(0)}(k_h) dk_h} \right)^2$$

“large-scales”

# The simulations

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$$Fz_\omega = \frac{\sqrt{[\omega_x^2 + \omega_y^2]/2}}{N}.$$

vertical Froude number

Brillant and Chomaz 2001:

$$H = \frac{U}{N} \quad \text{and} \quad Fz = O(1)$$

“stratified turbulence”

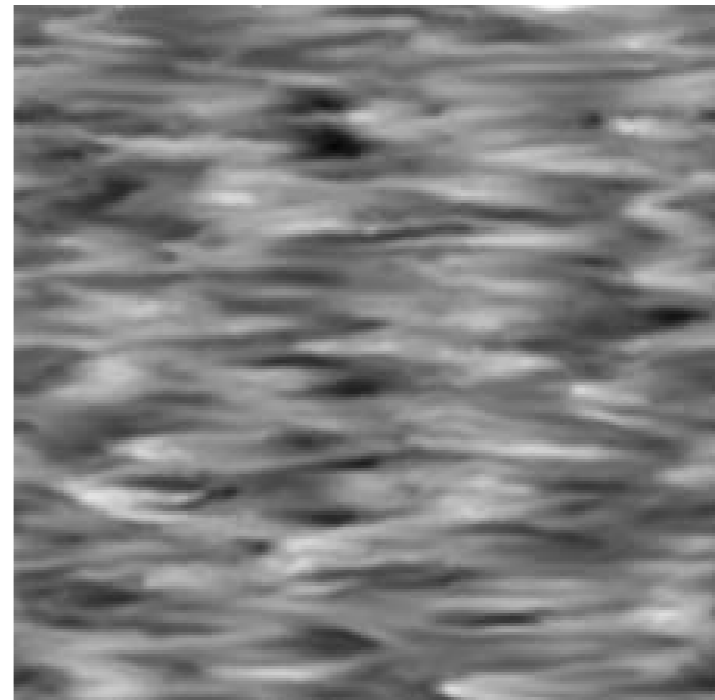
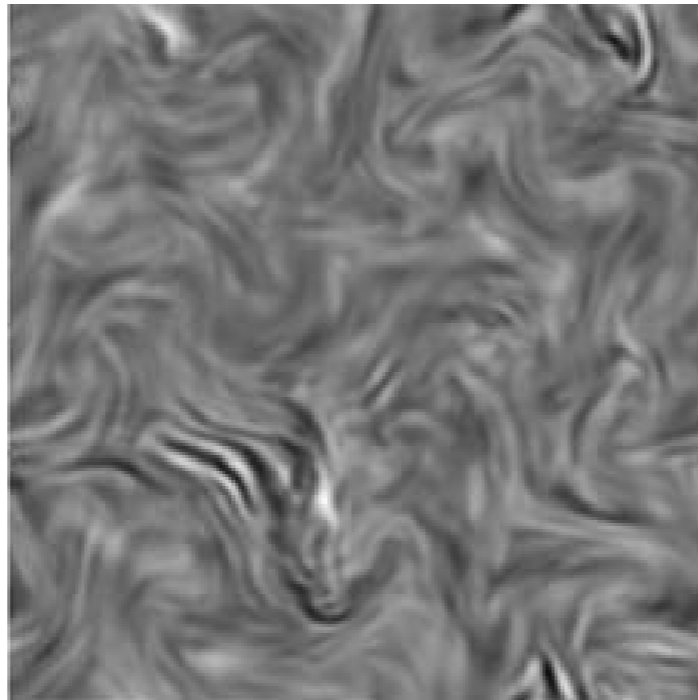
# Snapshots

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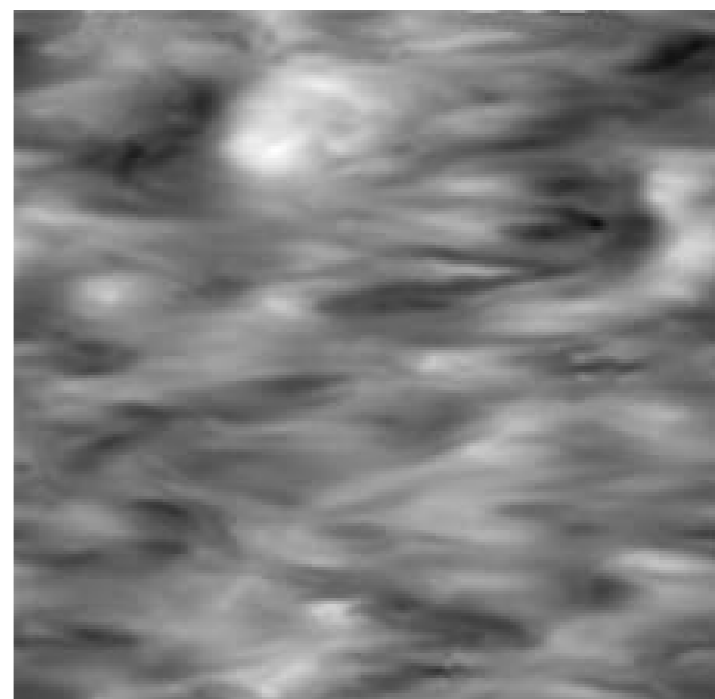
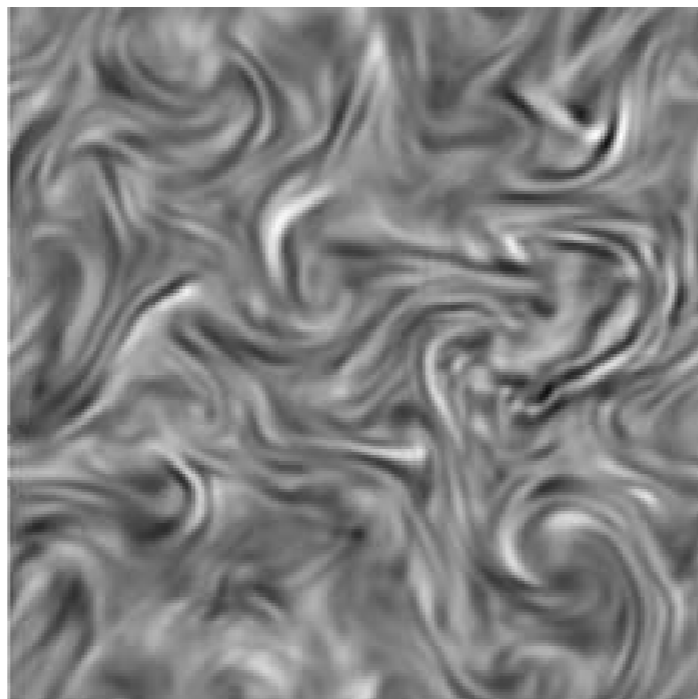
$w(x, y)$

$v(x, z)$

Ro = 0.18

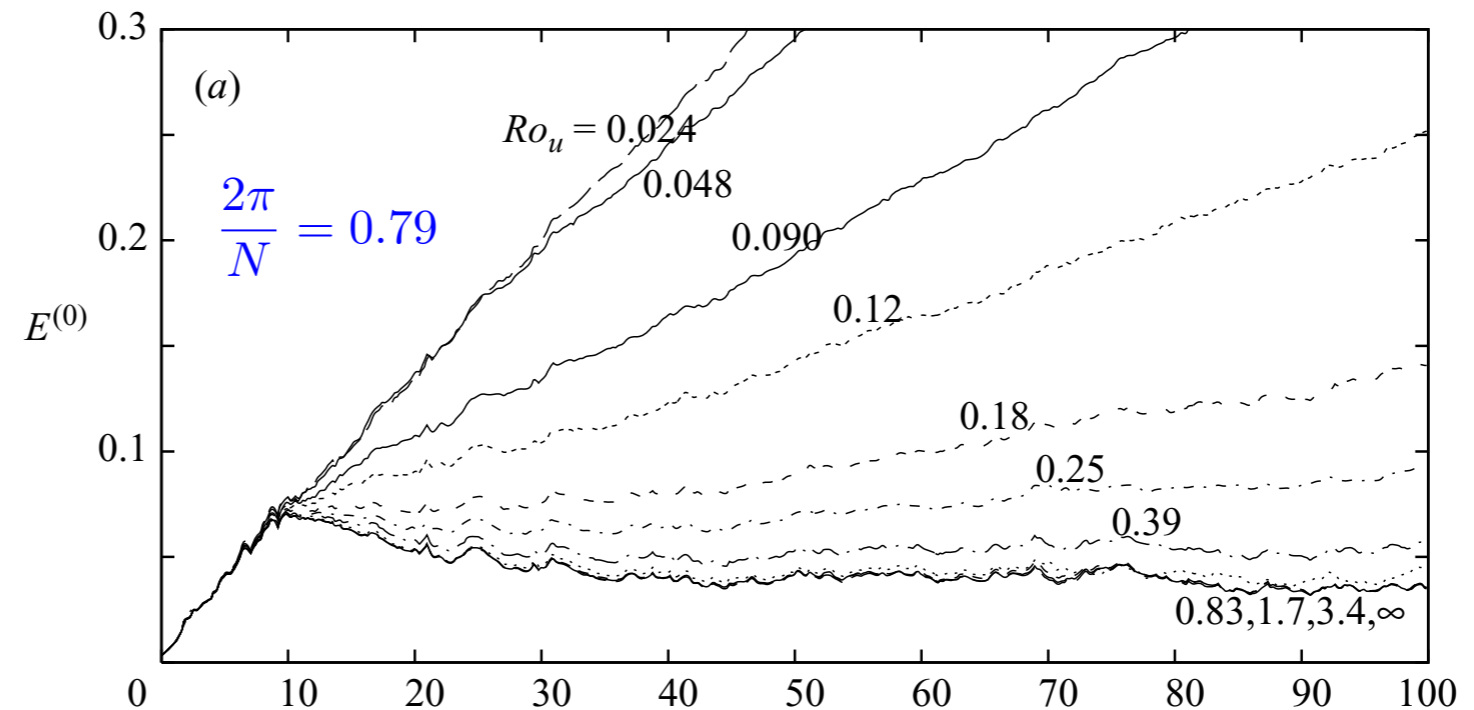


Ro = 0.09



# Why large-scale damping?

without  
damping



with  
damping

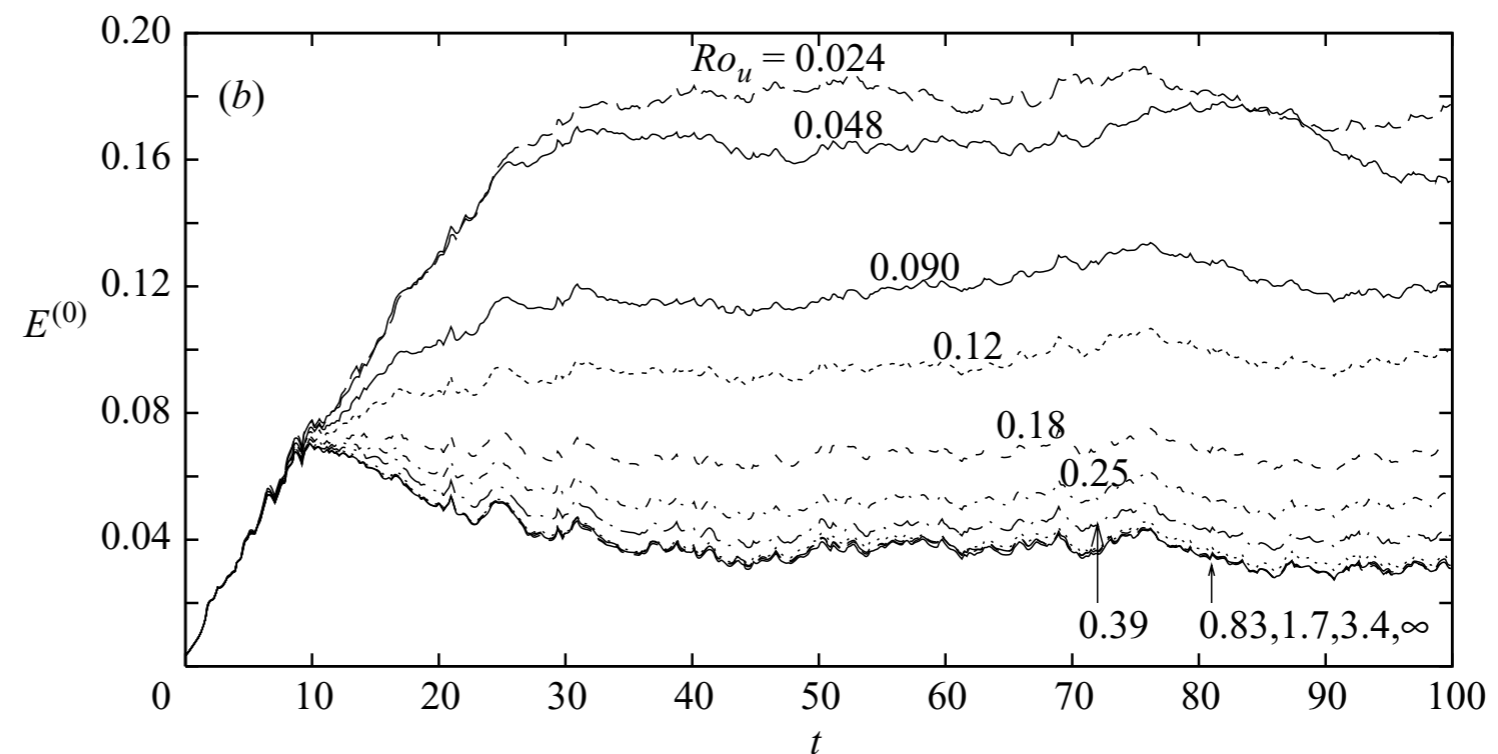


FIGURE 1. Time series of vortical energy for different  $Ro_u$ , (a) without and (b) with large-scale damping. At each time, the energy increases with decreasing  $Ro_u$ .

# Main result (in my opinion)

small-scale dissipation

$$\epsilon_s = 2\nu \sum_k (k_h^8 + k_z^8) (|\hat{u}_k|^2 + |\hat{v}_k|^2 + |\hat{w}_k|^2 + |\hat{b}'_k|^2/N^2),$$

large-scale dissipation

$$\epsilon_l = 2r_0 \sum_{1 \leq k_h \leq \sqrt{2}} (|\hat{u}_k|^2 + |\hat{v}_k|^2 + |\hat{w}_k|^2 + |\hat{b}'_k|^2/N^2),$$

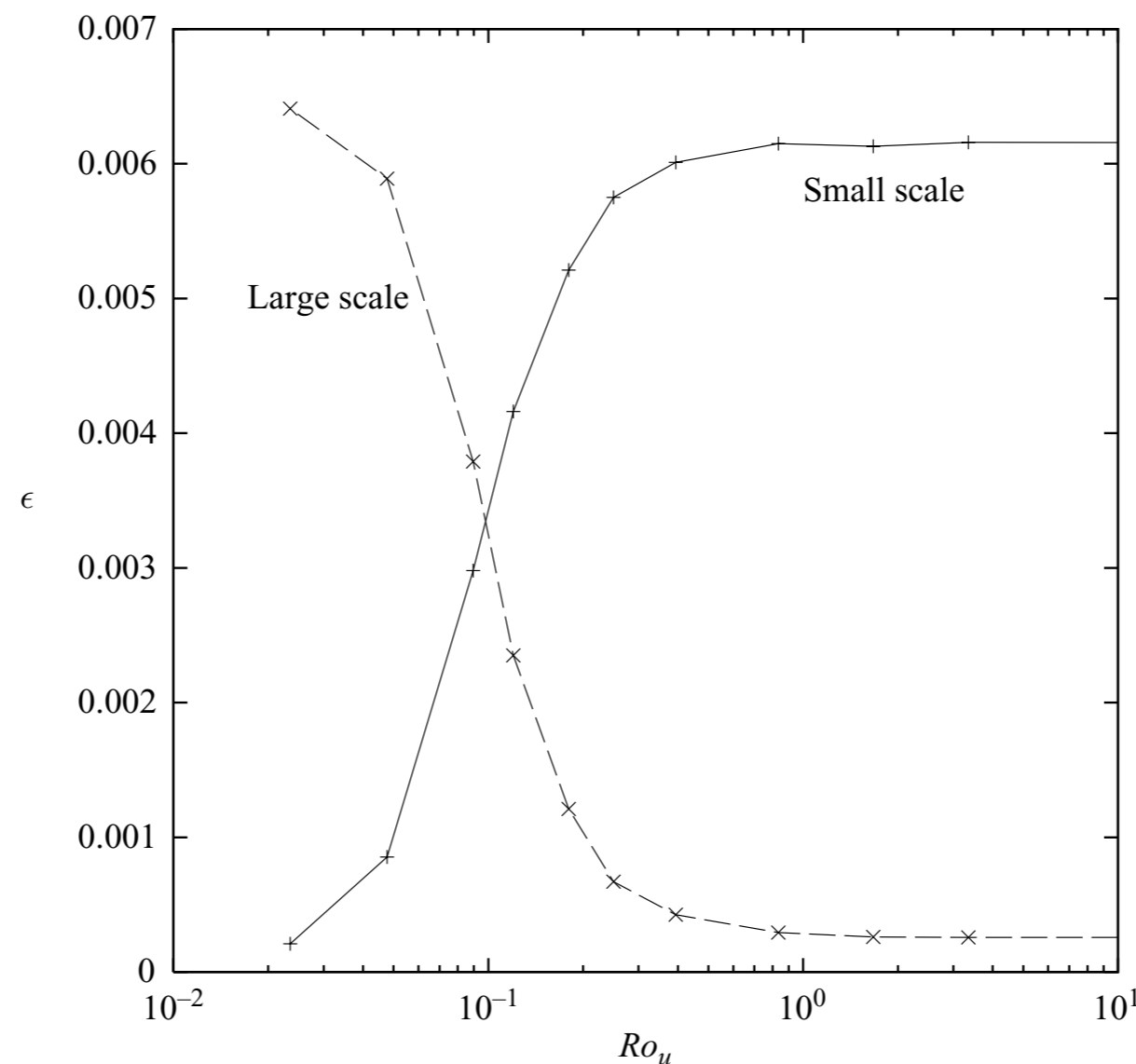


FIGURE 2. The small- and large-scale energy dissipation rates  $\epsilon_s$  and  $\epsilon_l$ .

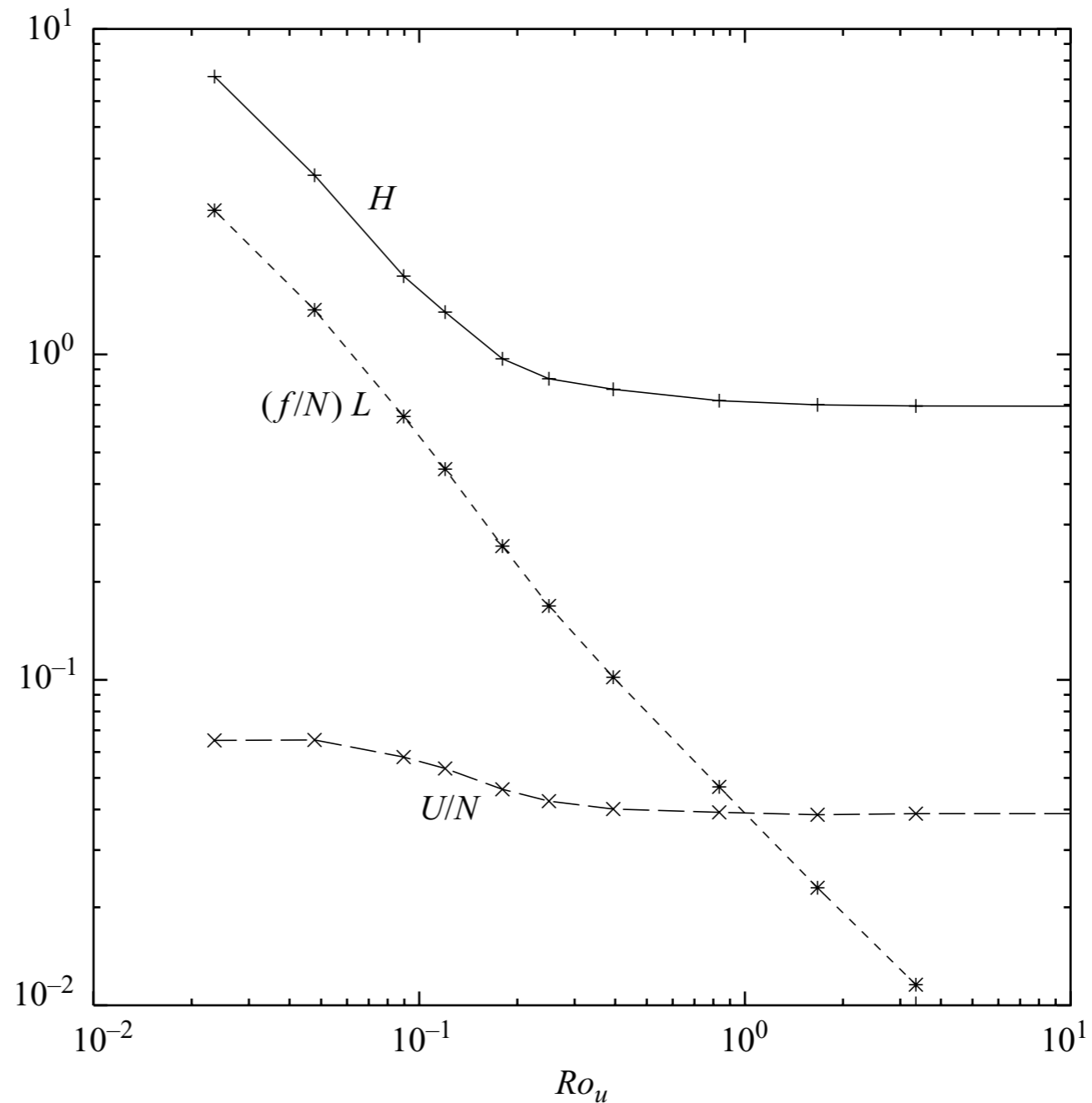
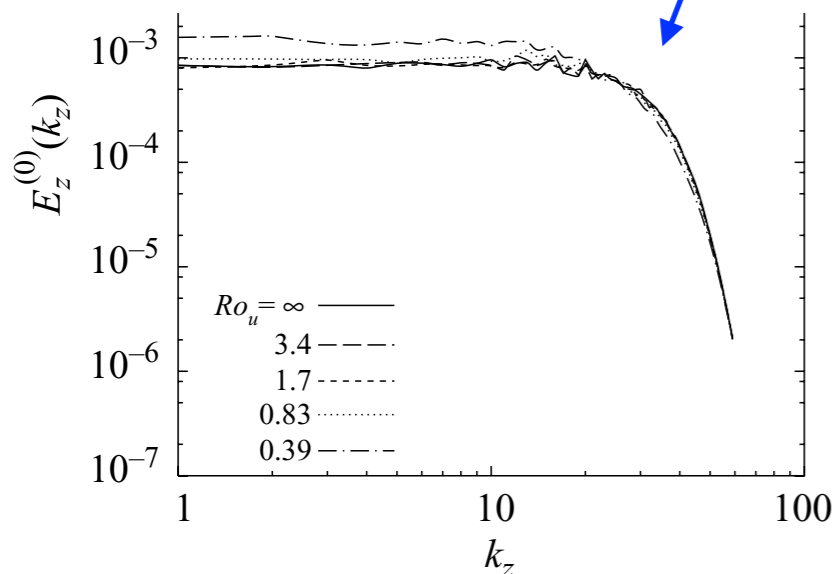
# A transition in vertical scale

$$U = \sqrt{[u^2 + v^2 + w^2]},$$

$$L = 2\pi \left( \frac{E^{(0)}}{\int k_h^{1/2} E_h^{(0)}(k_h) dk_h} \right)^2$$

$$H = 2\pi \left( \frac{E^{(0)}}{\int k_z^{1/2} E_z^{(0)}(k_z) dk_z} \right)^2$$

H (apparently)



Vertical scales as a function of  $Ro$ : the measured vertical scale  $H$ , the QG scale  $(f/N)L$ , and  $U/N$ .

# Bartello's key: the modal decomposition

---

$$u_t - fv + p_x = -\mathbf{u} \cdot \nabla u$$

$$v_t + fu + p_y = -\mathbf{u} \cdot \nabla v$$

$$w_t - b + p_z = -\mathbf{u} \cdot \nabla w$$

$$b_t + wN^2 = -\mathbf{u} \cdot \nabla b$$

$$u_x + v_y + w_z = 0$$

# Bartello's key: the modal decomposition

---

$$\hat{u}_t - f\hat{v} + ik\hat{p} = 0$$

$$\hat{v}_t + f\hat{u} + i\ell\hat{p} = 0$$

$$\hat{w}_t - \hat{b} + im\hat{p} = 0$$

$$\hat{b}_t + \hat{w}N^2 = 0$$

$$ik\hat{u} + i\ell\hat{v} + im\hat{w} = 0$$

# Bartello's key: the modal decomposition

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$$\begin{aligned}
 \hat{u}_t - f\hat{v} + ik\hat{p} &= 0 \\
 \hat{v}_t + f\hat{u} + i\ell\hat{p} &= 0 \\
 \hat{w}_t - \hat{b} + im\hat{p} &= 0 \\
 \hat{b}_t + \hat{w}N^2 &= 0 \\
 ik\hat{u} + i\ell\hat{v} + im\hat{w} &= 0
 \end{aligned}
 \quad
 \begin{aligned}
 \omega &\stackrel{\text{def}}{=} v_x - u_y \\
 \delta &\stackrel{\text{def}}{=} u_x + v_y \\
 &\longrightarrow
 \end{aligned}
 \quad
 \begin{aligned}
 \frac{\partial}{\partial t} \begin{bmatrix} \hat{\omega} \\ \hat{\delta} \\ \hat{b} \end{bmatrix} &= \mathbf{i} \begin{bmatrix} 0 & -if & 0 \\ -\frac{ifm^2}{|\mathbf{k}|^2} & 0 & -m\frac{k^2+\ell^2}{|\mathbf{k}|^2} \\ 0 & -\frac{N^2}{m} & 0 \end{bmatrix} \begin{bmatrix} \hat{\omega} \\ \hat{\delta} \\ \hat{b} \end{bmatrix} \\
 \mathbf{W}_k & \qquad \qquad \qquad \mathbf{L}_k \qquad \qquad \qquad \mathbf{W}_k
 \end{aligned}$$

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 \frac{\partial}{\partial t} \underbrace{\begin{bmatrix} \hat{\omega} \\ \hat{\delta} \\ \hat{b} \end{bmatrix}}_{\mathbf{W}_k} = \mathbf{i} \underbrace{\begin{bmatrix} 0 & -if & 0 \\ -\frac{ifm^2}{|\mathbf{k}|^2} & 0 & -m\frac{k^2+\ell^2}{|\mathbf{k}|^2} \\ 0 & -\frac{N^2}{m} & 0 \end{bmatrix}}_{L_k} \underbrace{\begin{bmatrix} \hat{\omega} \\ \hat{\delta} \\ \hat{b} \end{bmatrix}}_{\mathbf{W}_k}$$

$$\frac{\partial}{\partial t} \mathbf{W}_k = \mathbf{i} L_k \mathbf{W}_k \quad
 \begin{array}{l}
 L_k \mathbf{X}_k^{(j)} = \lambda_k^{(j)} \mathbf{X}_k^{(j)} \\
 \longrightarrow
 \end{array}
 \quad
 \frac{dB_k^{(j)}}{dt} + \mathbf{i} \lambda_k^{(j)} B_k^{(j)} = 0$$

# Bartello's key: the modal decomposition

$$\begin{aligned}
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$\mathbf{W}_k$ 
 $L_k$ 
 $\mathbf{W}_k$

$$\frac{\partial}{\partial t} \mathbf{W}_k = iL_k \mathbf{W}_k \quad \xrightarrow{L_k \mathbf{X}_k^{(j)} = \lambda_k^{(j)} \mathbf{X}_k^{(j)}} \quad \frac{dB_k^{(j)}}{dt} + i\lambda_k^{(j)} B_k^{(j)} = 0$$

frequencies  $\lambda_k^{(0)} = 0, \quad \lambda_k^{(\pm)} = (N^2 k_h^2 + f^2 k_z^2)^{1/2} / k,$

$B_k^{(0)}$ : vortical mode
  $B_k^{(\pm)}$ : wave modes

# Mode by mode

---

## Boussinesq equations

$$\frac{dB_k^{(j)}}{dt} + i\lambda_k^{(j)} B_k^{(j)} = \sum_{k=p+q} \Gamma_{kpq}^{jrs} B_p^{(r)} B_q^{(s)} + \hat{F}_k^{(j)} + \hat{D}_k^{(j)}$$

$$\lambda_k^{(0)} = 0, \quad \lambda_k^{(\pm)} = (N^2 k_h^2 + f^2 k_z^2)^{1/2} / k,$$

\*a multiple time-scale expansion to  $O(\varepsilon)$  yields the QG equation for the (0) modes

vortical modes satisfy

$$-fv + p_x = 0$$

$$fu + p_y = 0$$

$$-b + p_z = 0$$

waves have no linear PV.

$$N^2 \omega + f b_z = 0$$

# Mode by mode

## Boussinesq equations

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\*a multiple time-scale expansion to  $O(\varepsilon)$  yields the QG equation for the (0) modes

## Energy

vortical

$$E^{(0)} = \frac{1}{2} \sum_{k_h \neq 0} |B_k^{(0)}|^2,$$

wave

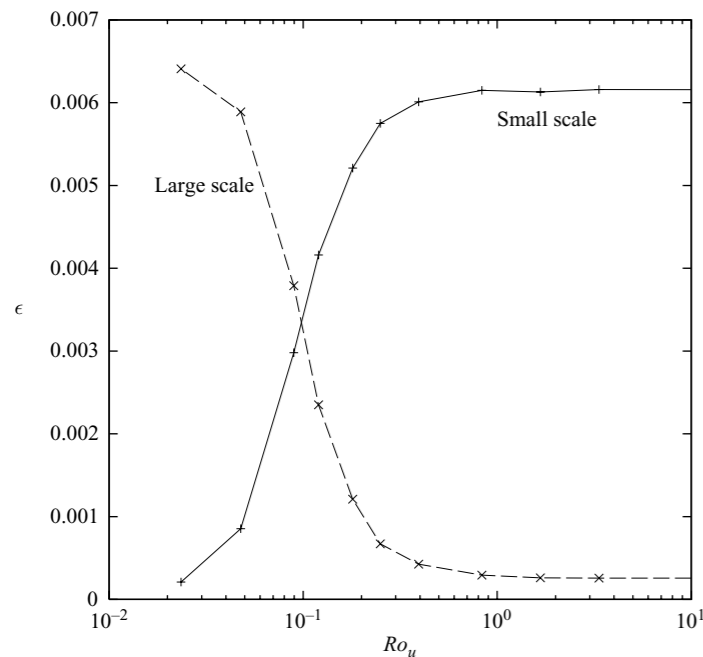
$$E^{(\pm)} = \frac{1}{2} \sum_{k_h \neq 0} |B_k^{(+)}|^2 + |B_k^{(-)}|^2,$$

“shear”

$$E^{(s)} = \frac{1}{2} \sum_{k_h=0} |\hat{u}_k|^2 + |\hat{v}_k|^2 + |\hat{b}'_k|^2 / N^2$$

Note: only the vortical mode is forced.

# Energy partition vs. Ro



Notes:

- Rotation suppresses energy transfer to wave modes.
- “spontaneous emission”  

$$e^{-1/Ro}$$

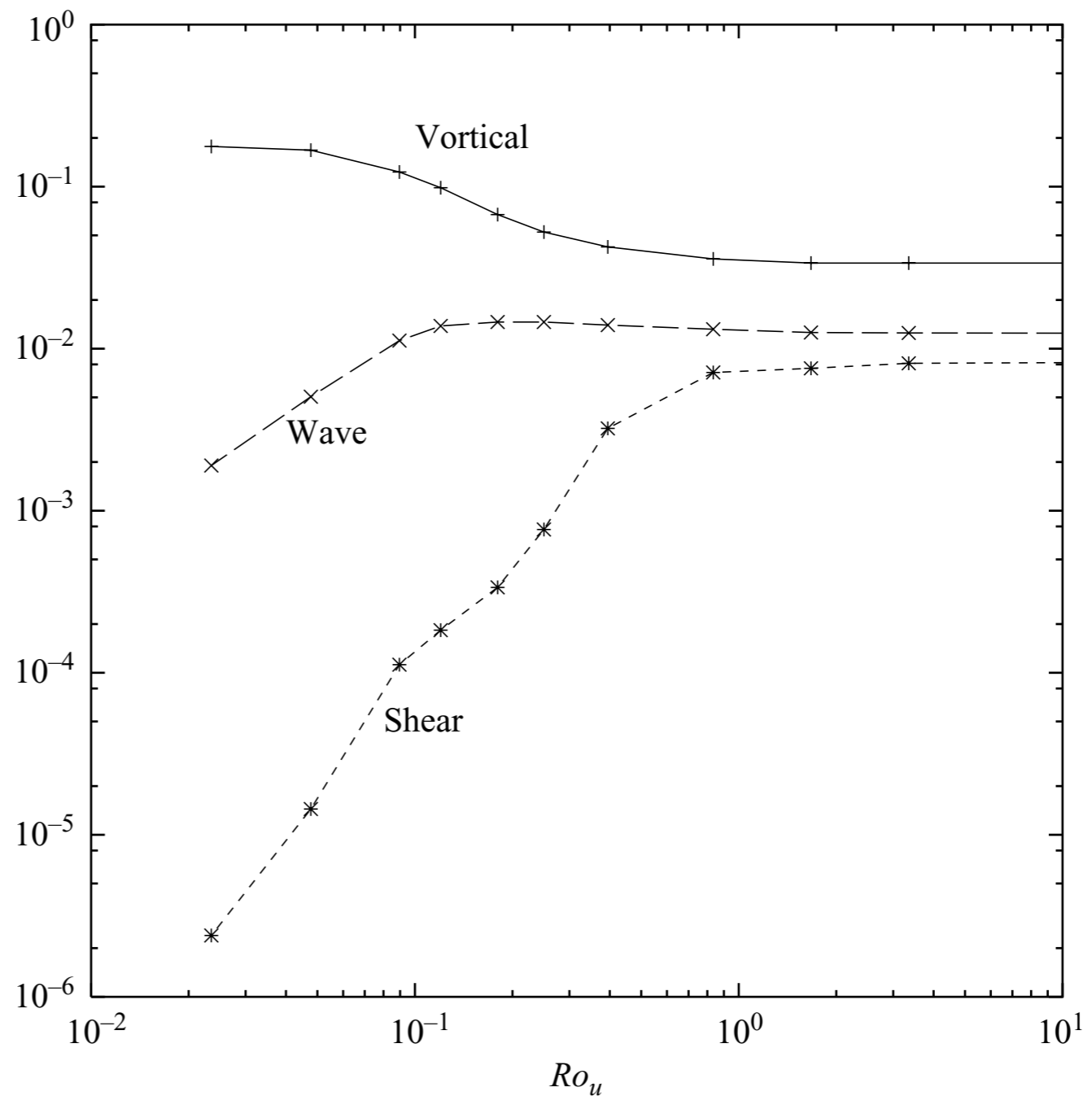


FIGURE 4. The time-averaged vortical, wave and shear mode kinetic energy as defined in §2 for the simulations with large-scale damping.

# Energy partition vs. $Ro$ and $N$

Low  $Ro$  Notes:

- Energy in vortical and shear modes is independent of  $N/f$
- Energy in wave modes is not?

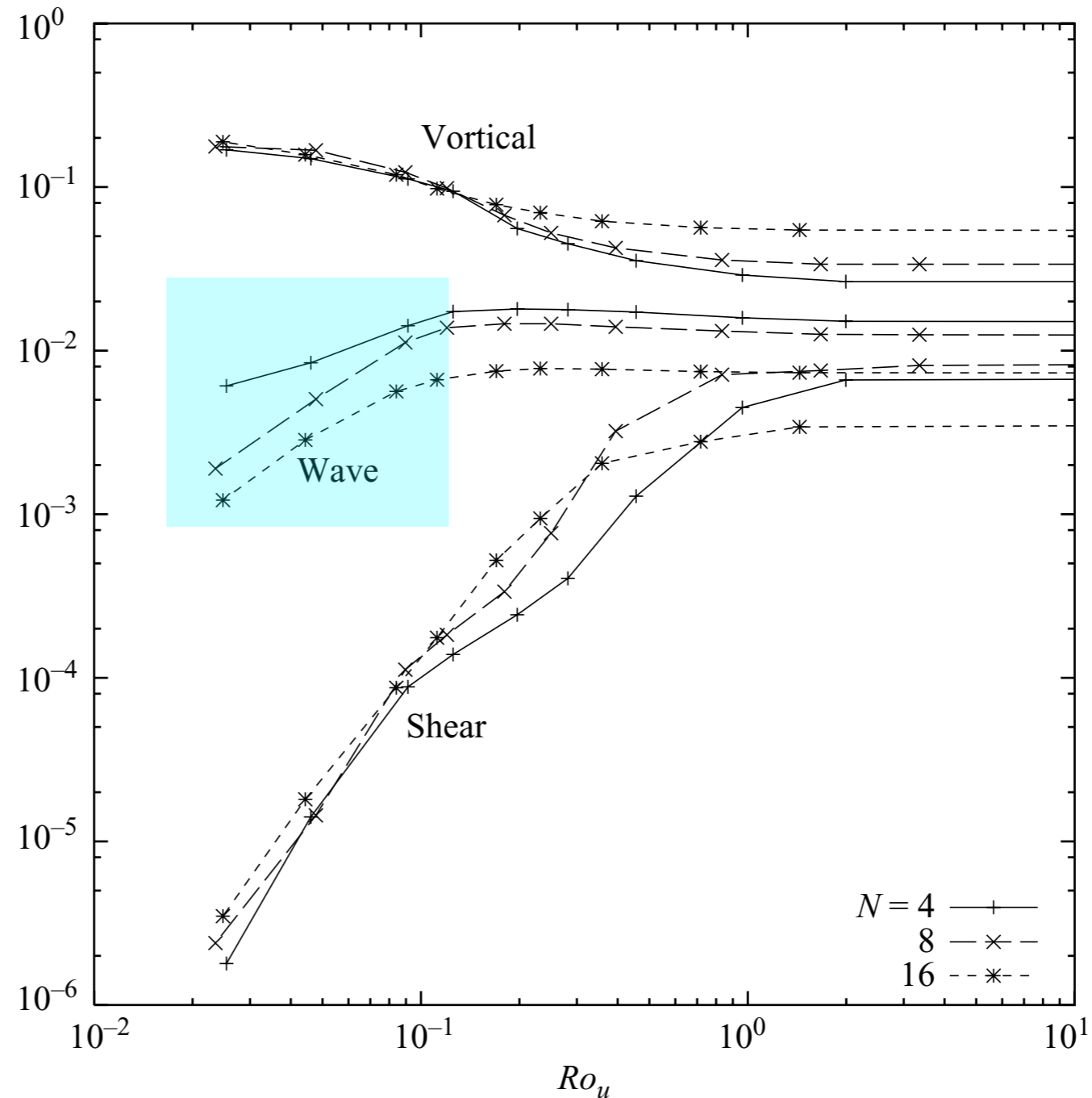
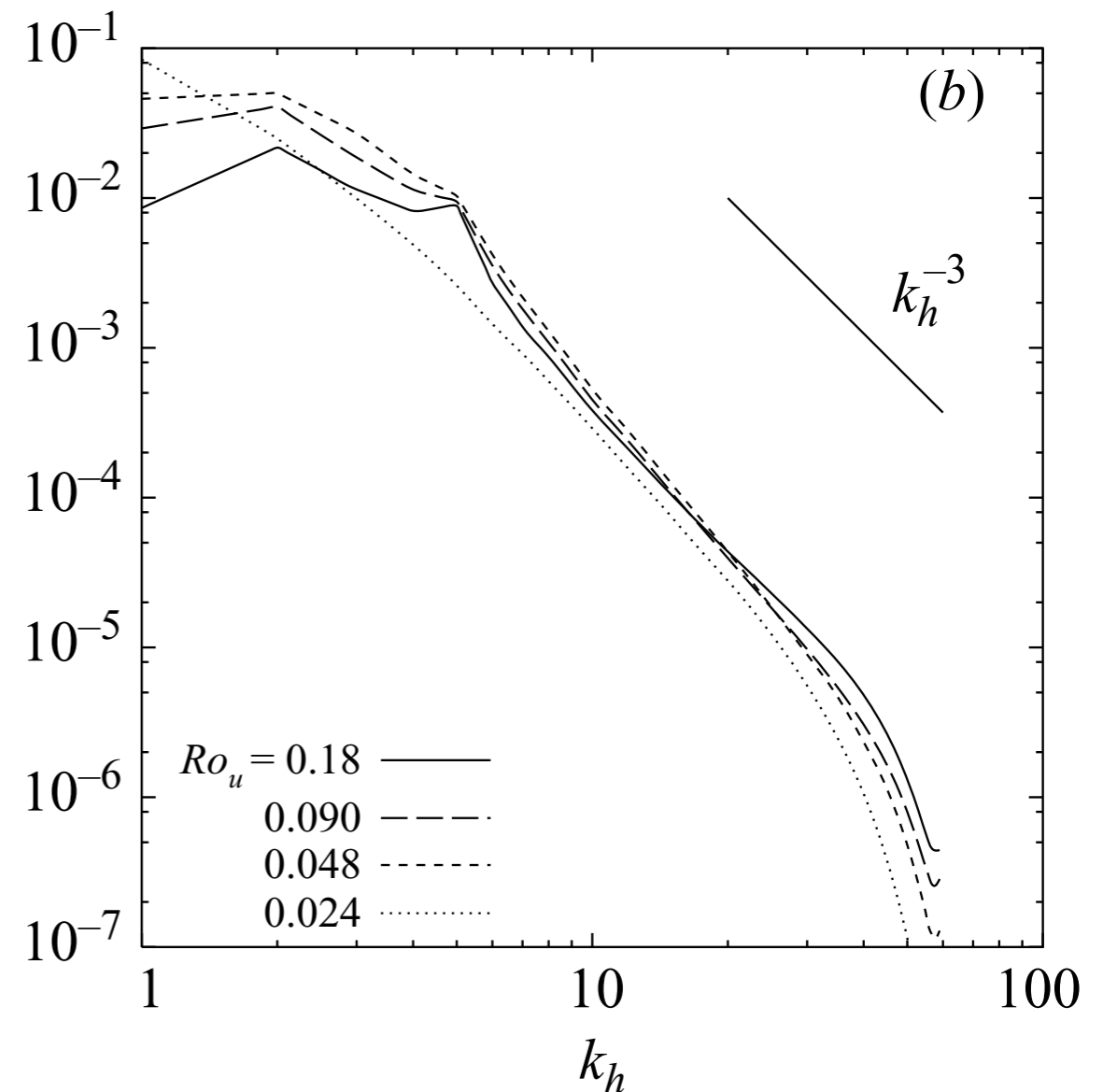
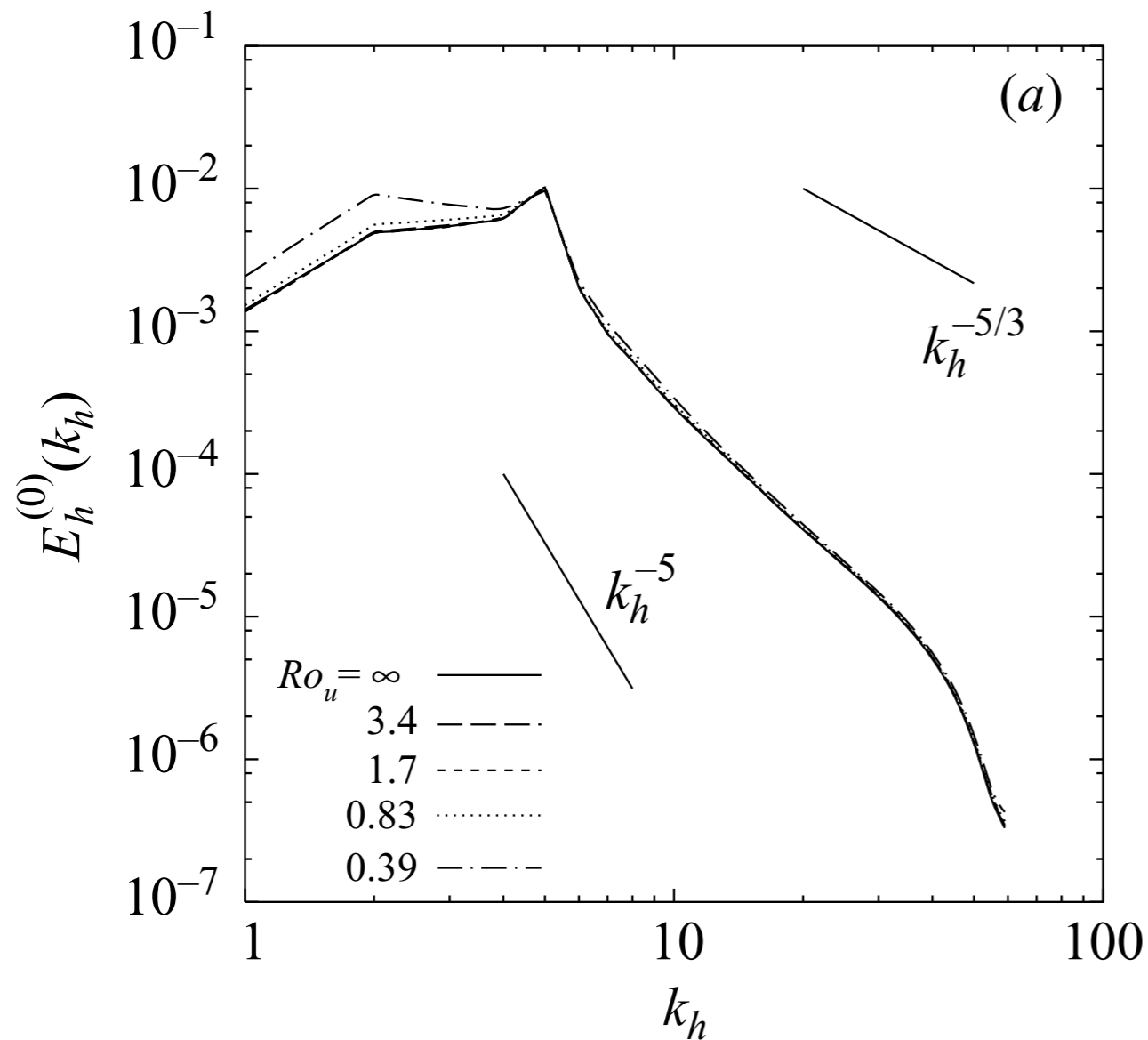


FIGURE 11. The time-averaged vortical, wave and shear mode kinetic energy as defined in § 2 for the sets of simulations with  $N = 4$ ,  $N = 8$  (as in figure 4) and  $N = 16$ .

# But what about the *spectra*?!!?



Comments:

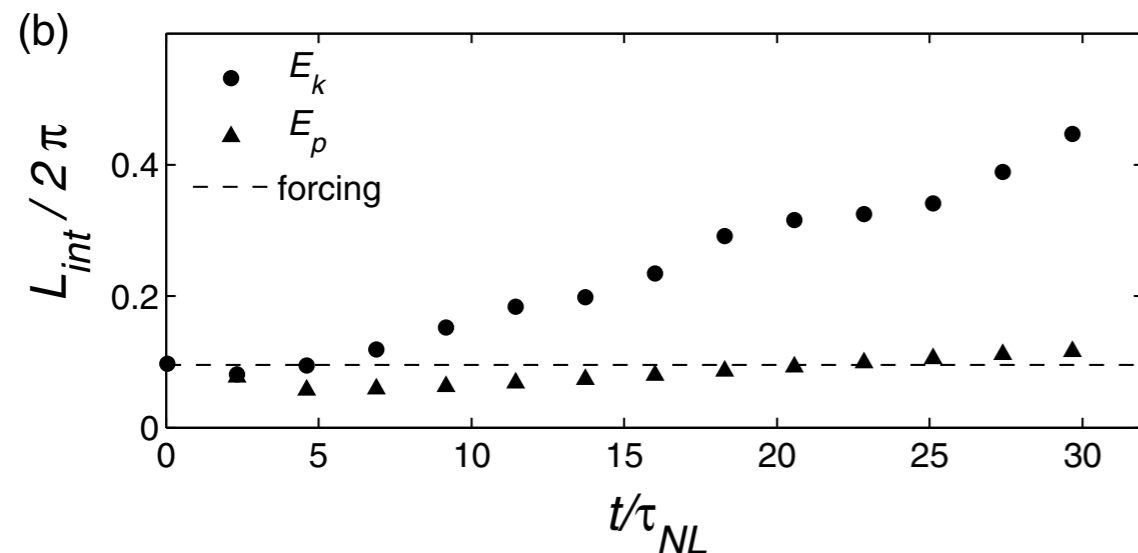
1. Spectra steepen at low  $Ro$ , but the effect is *very* subtle on a log-log plot.
2. Resolution is too low for a proper inertial range.

# Resolving the Paradox of Oceanic Large-Scale Balance and Small-Scale Mixing

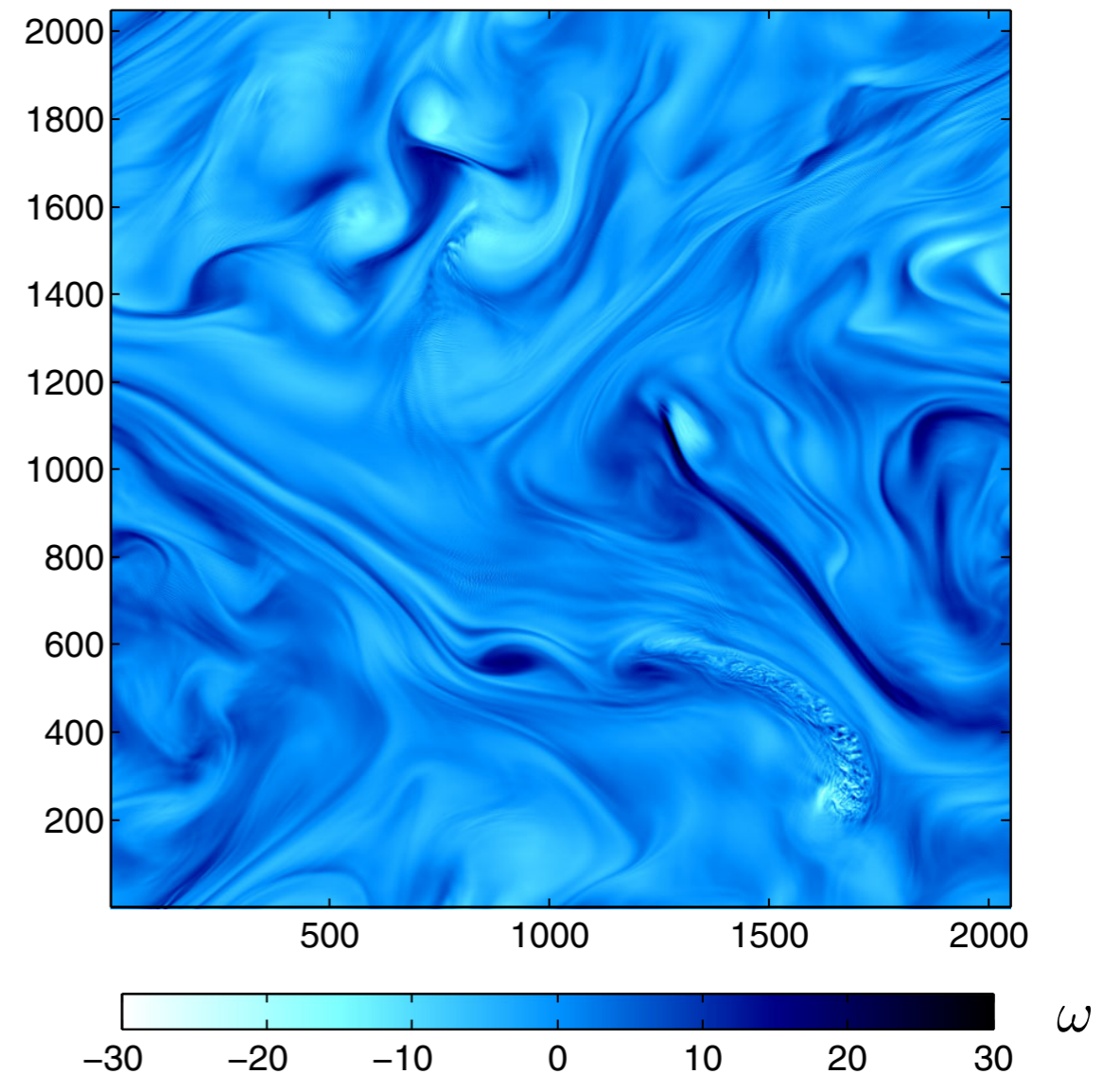
R. Marino,<sup>1,2,3</sup> A. Pouquet,<sup>4,1</sup> and D. Rosenberg<sup>5</sup>

1

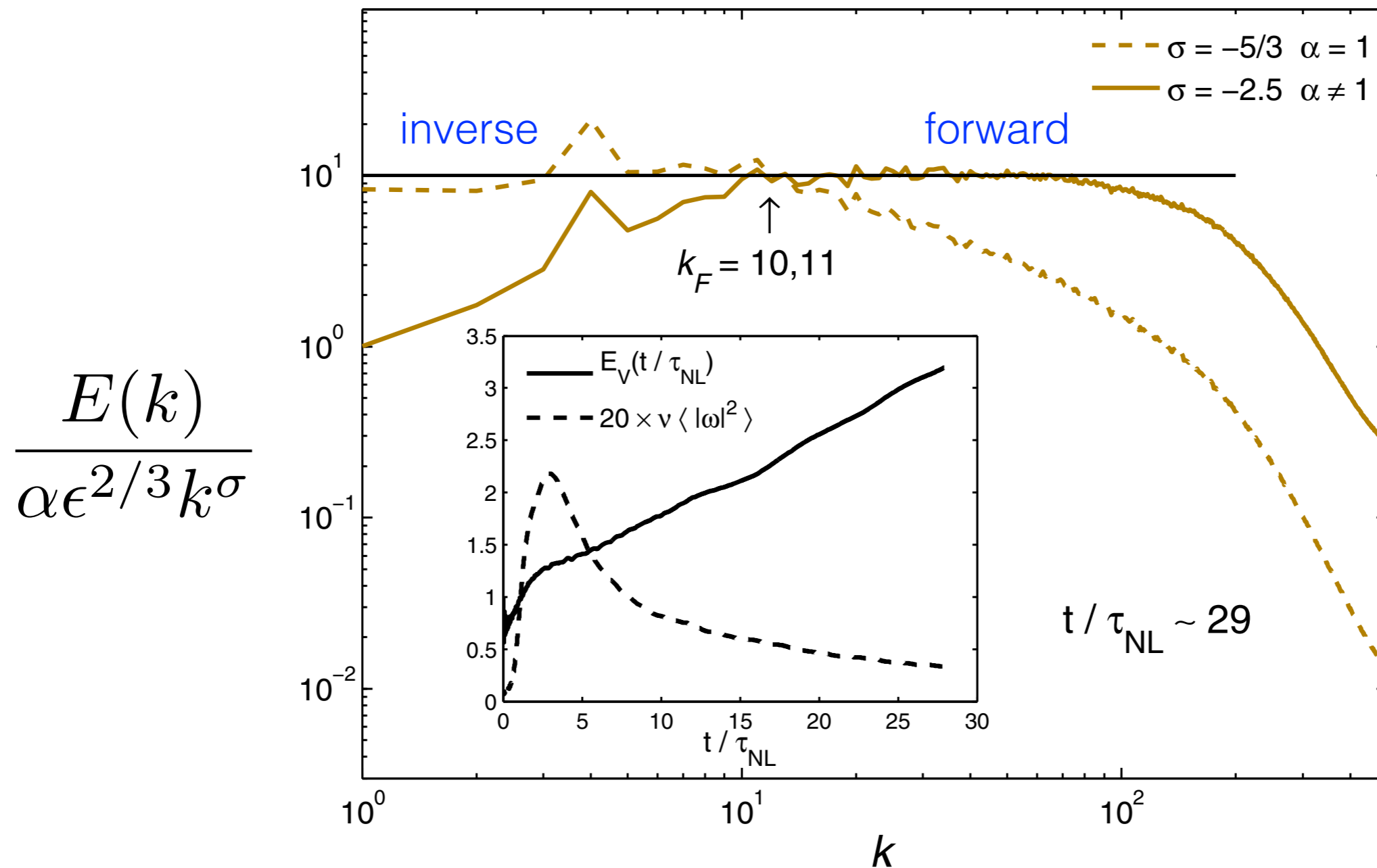
- Boussinesq simulations at  $1024^3$  and  $2048^3$  resolution
- Forced at  $k = 10, 11$
- “DNS”: no hyperviscosity or large-scale drag



The simplest observation stemming from this extensive high-resolution study is that the flux ratio varies substantially.



# Dual cascades



# A speculative scaling

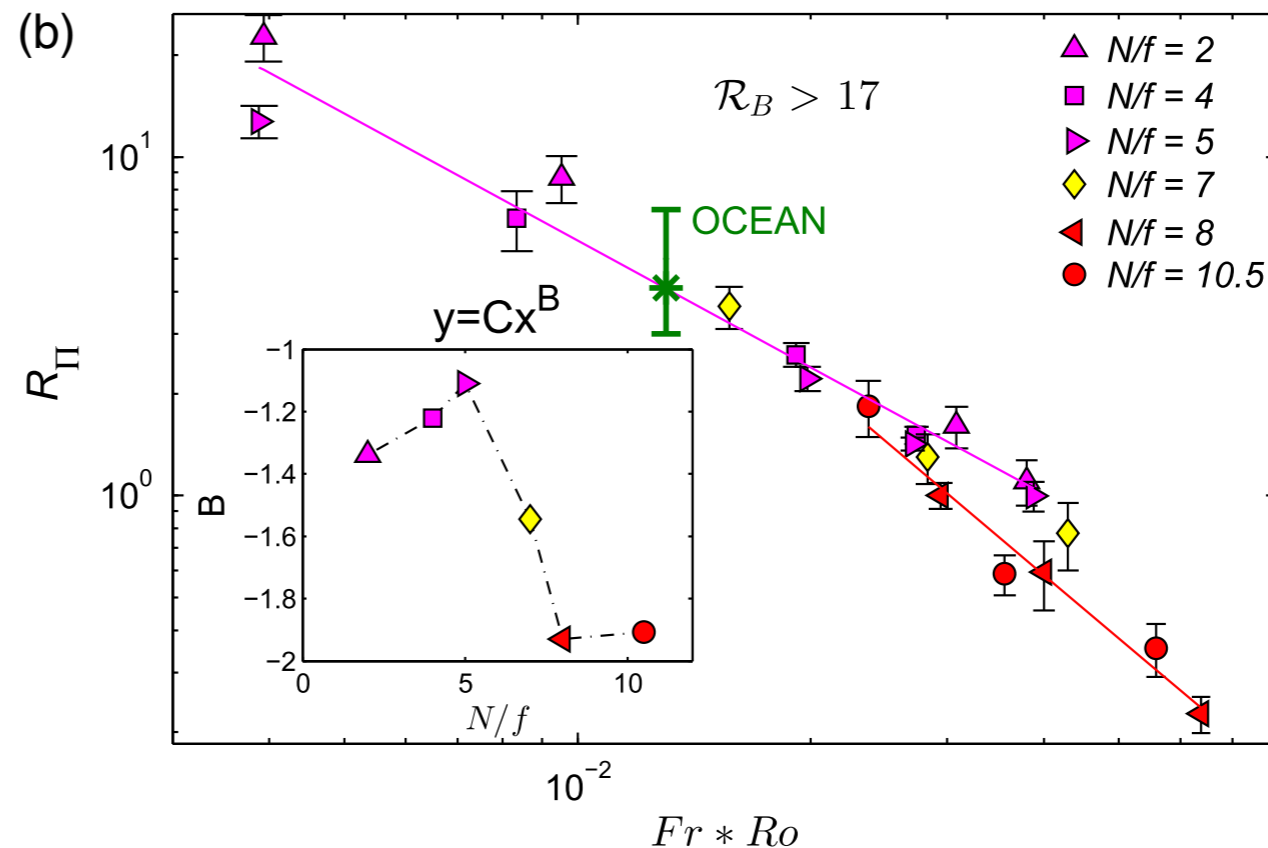
$$R_{\Pi} = \frac{\text{flux to large scales}}{\text{flux to small scales}} = \left| \frac{\epsilon_L}{\epsilon_S} \right|$$

$$\epsilon_S \sim Fh \quad \text{and} \quad \epsilon_L \sim \frac{1}{Ro} \quad \rightarrow \quad R_{\Pi} \sim \frac{1}{Fh \cdot Ro}$$

stratification controls  
forward cascade

rotation controls  
inverse cascade

sort of works  
for small  $N/f$ .



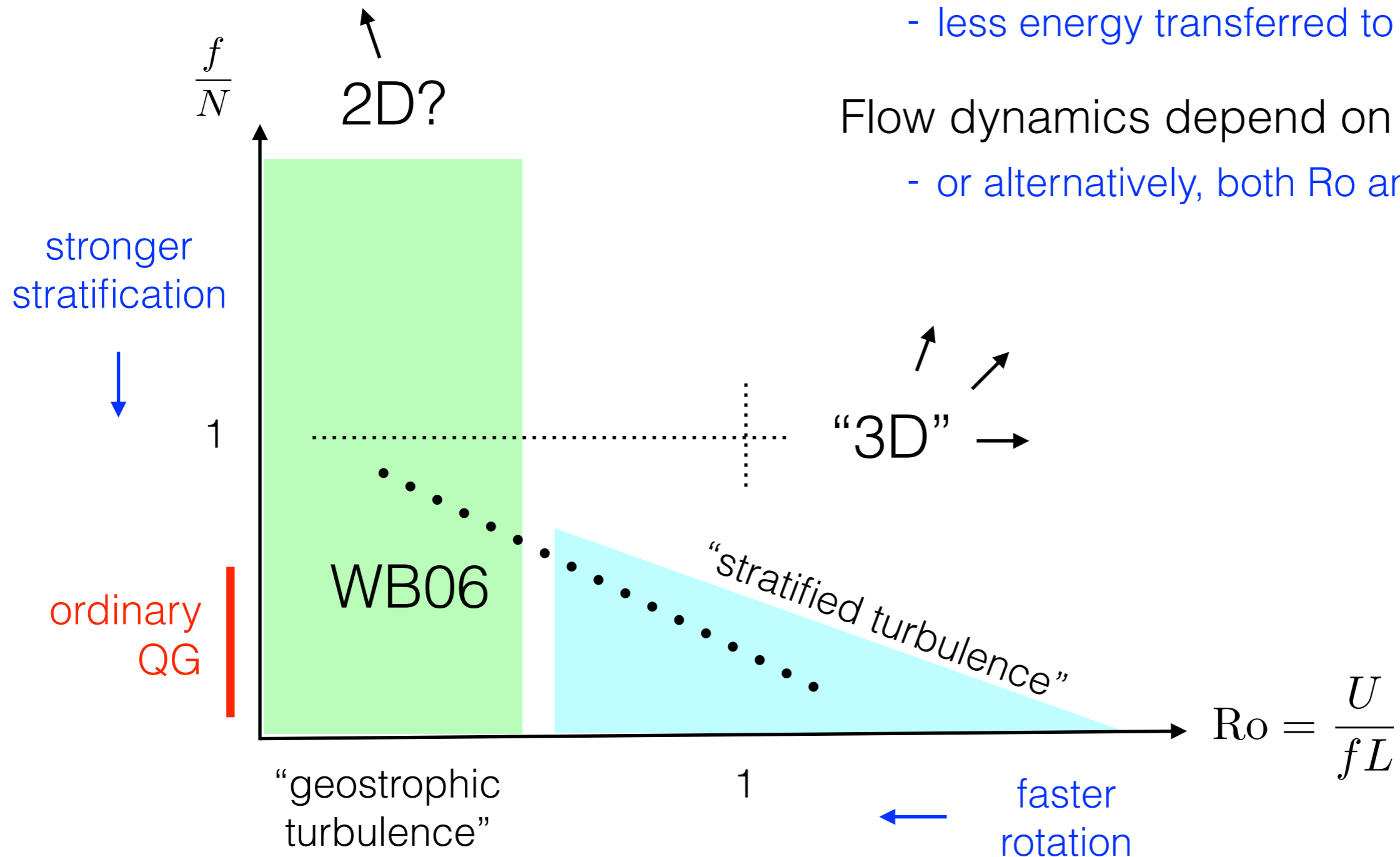
# Rotating, stratified turbulence

Fixed  $N$ , increasing  $f$  implies

- more energy goes upscale
- less energy transferred to waves

Flow dynamics depend on  $Ro$  and  $f/N$

- or alternatively, both  $Ro$  and  $Fr$



# Questions

---

- Waves are energetic and directly forced.

Does direct forcing of waves change geostrophic turbulent dynamics?

- Boundary processes can produce small-scales.

“Intrinsic cascade” versus direct transfer to small-scales

- Non-uniform stratification, rotation?

Relationship between inhomogeneous and homogeneous dynamics?

# Summary and questions

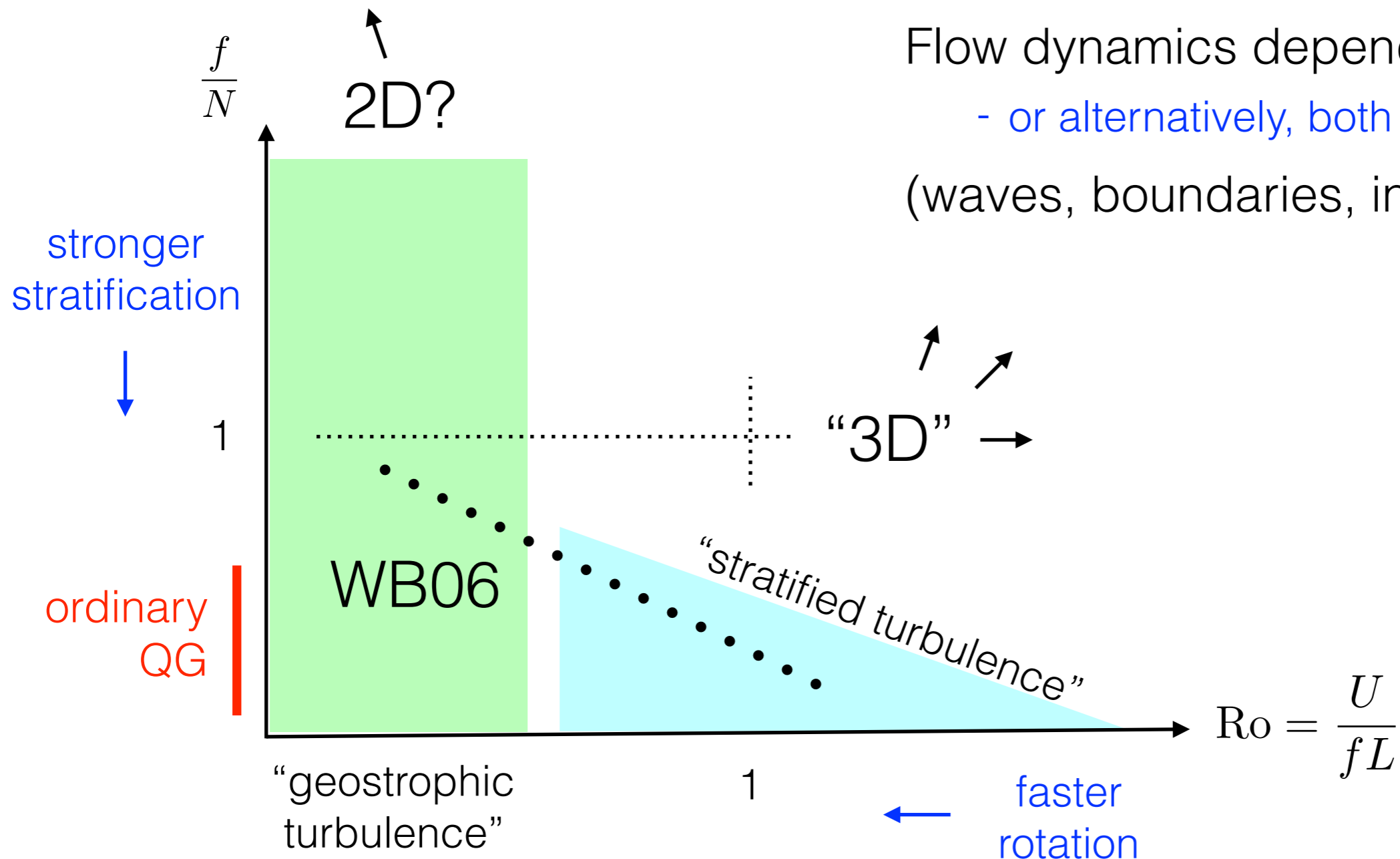
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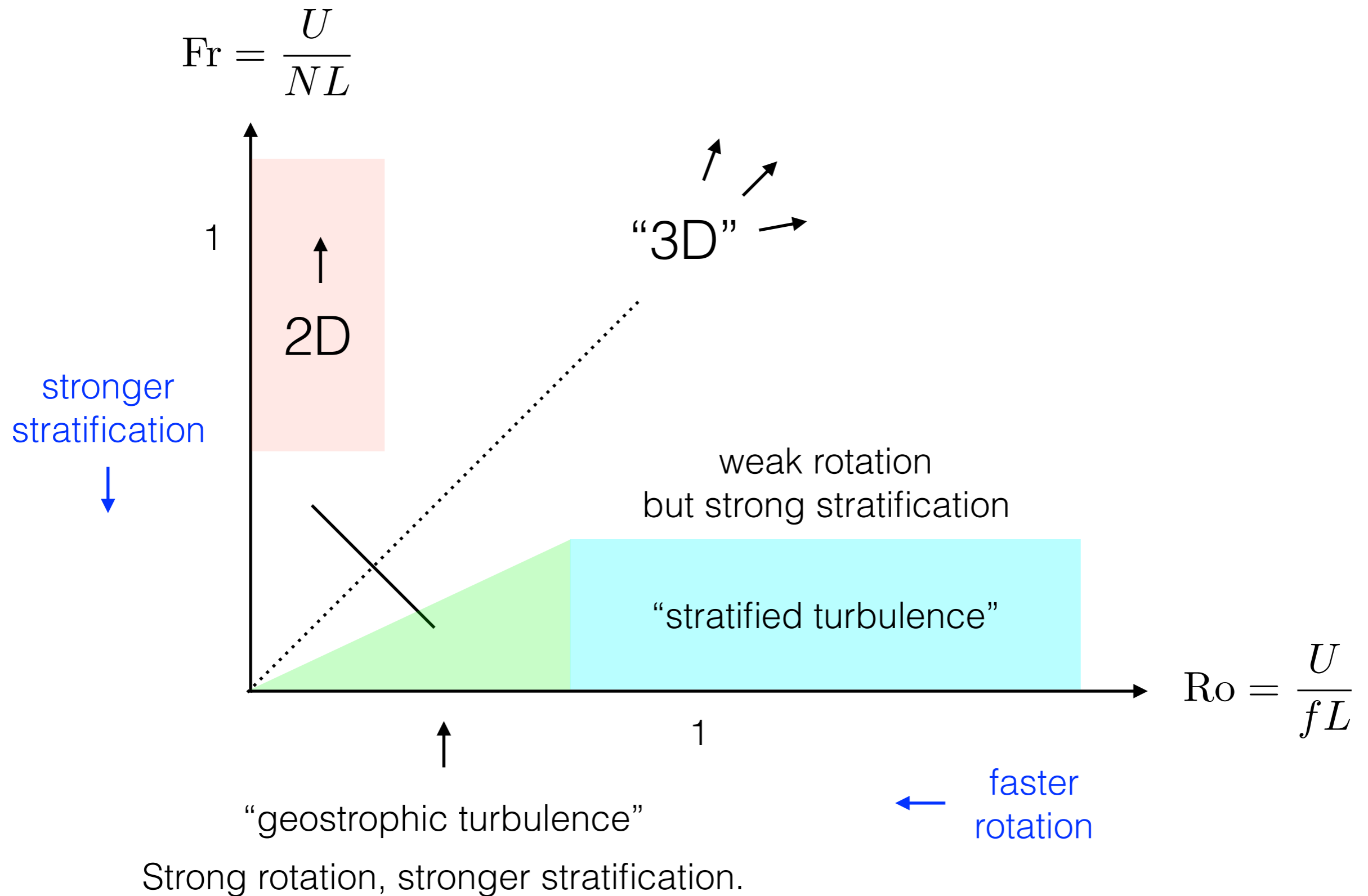
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- or alternatively, both  $Ro$  and  $Fr$

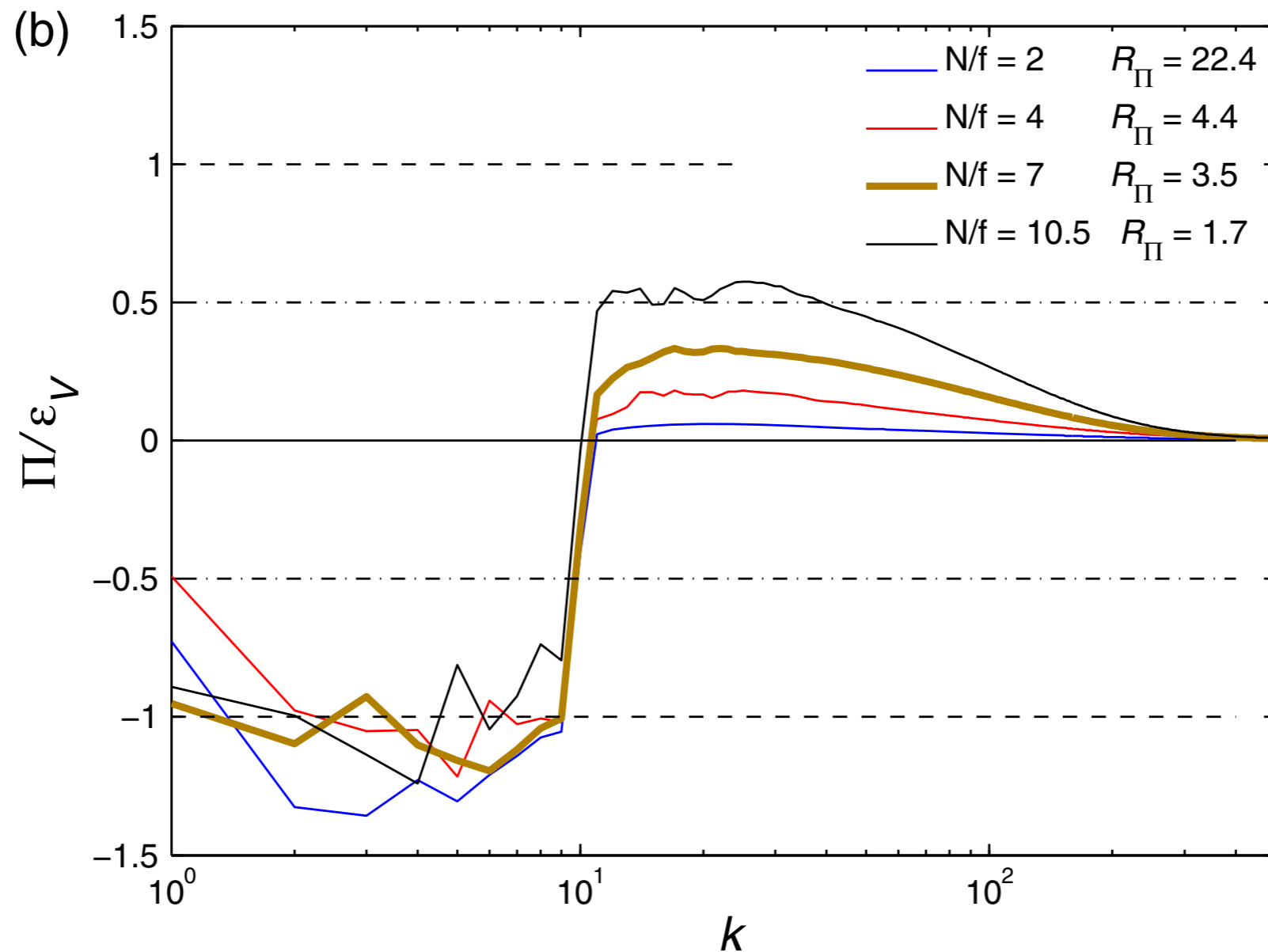
(waves, boundaries, inhomogeneity?)



# Rotating, stratified turbulence



# The dual cascade

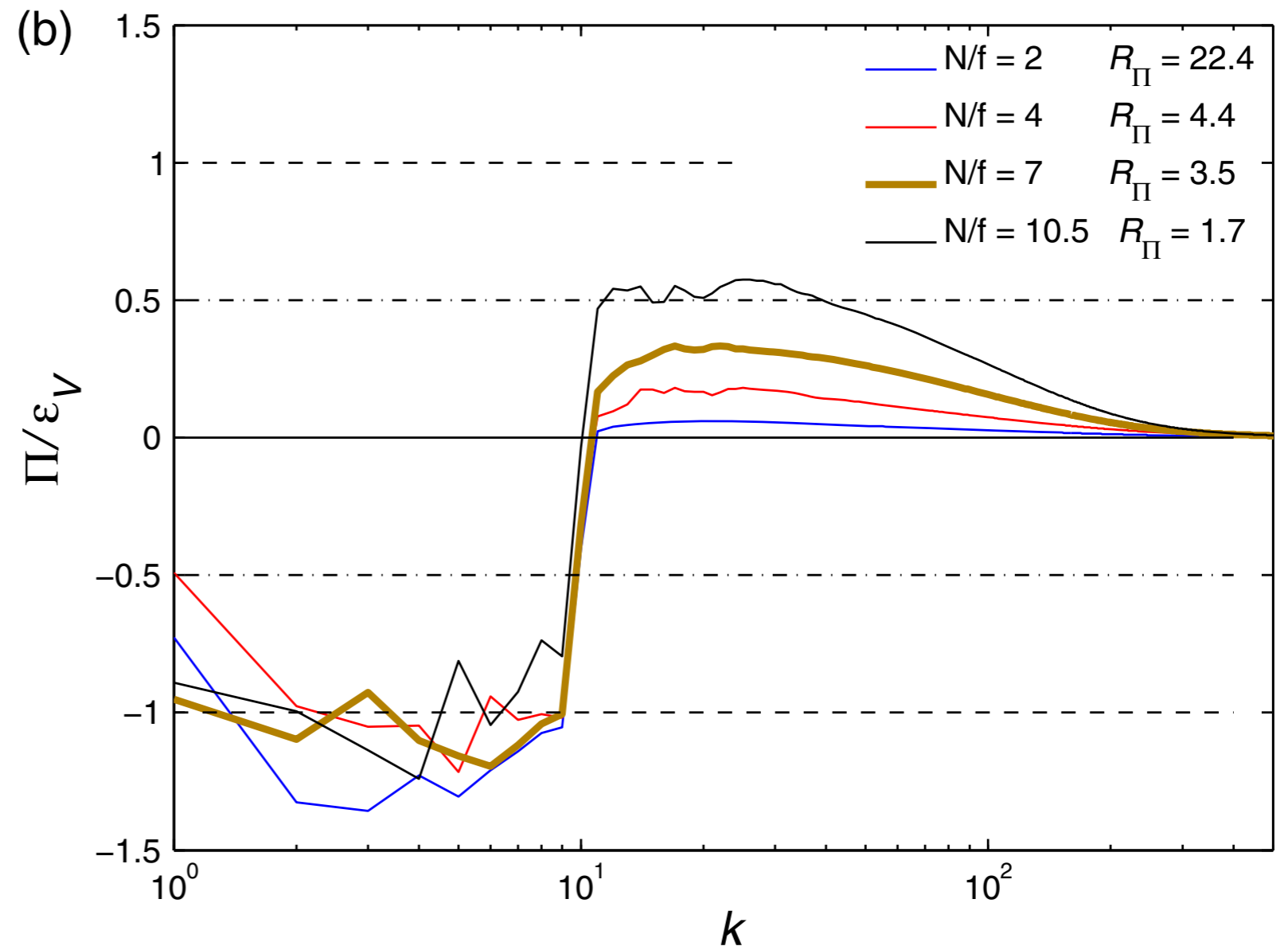


$$\Pi_V(k) = \int_{k_{\min}}^k T_V(q) dq, \quad T_V(q) = - \sum_{\mathcal{C}_q} \hat{\mathbf{u}}_{\mathbf{q}}^{\star} \cdot (\widehat{\mathbf{u} \cdot \nabla \mathbf{u}})_{\mathbf{q}}$$

# “Dual cascades”

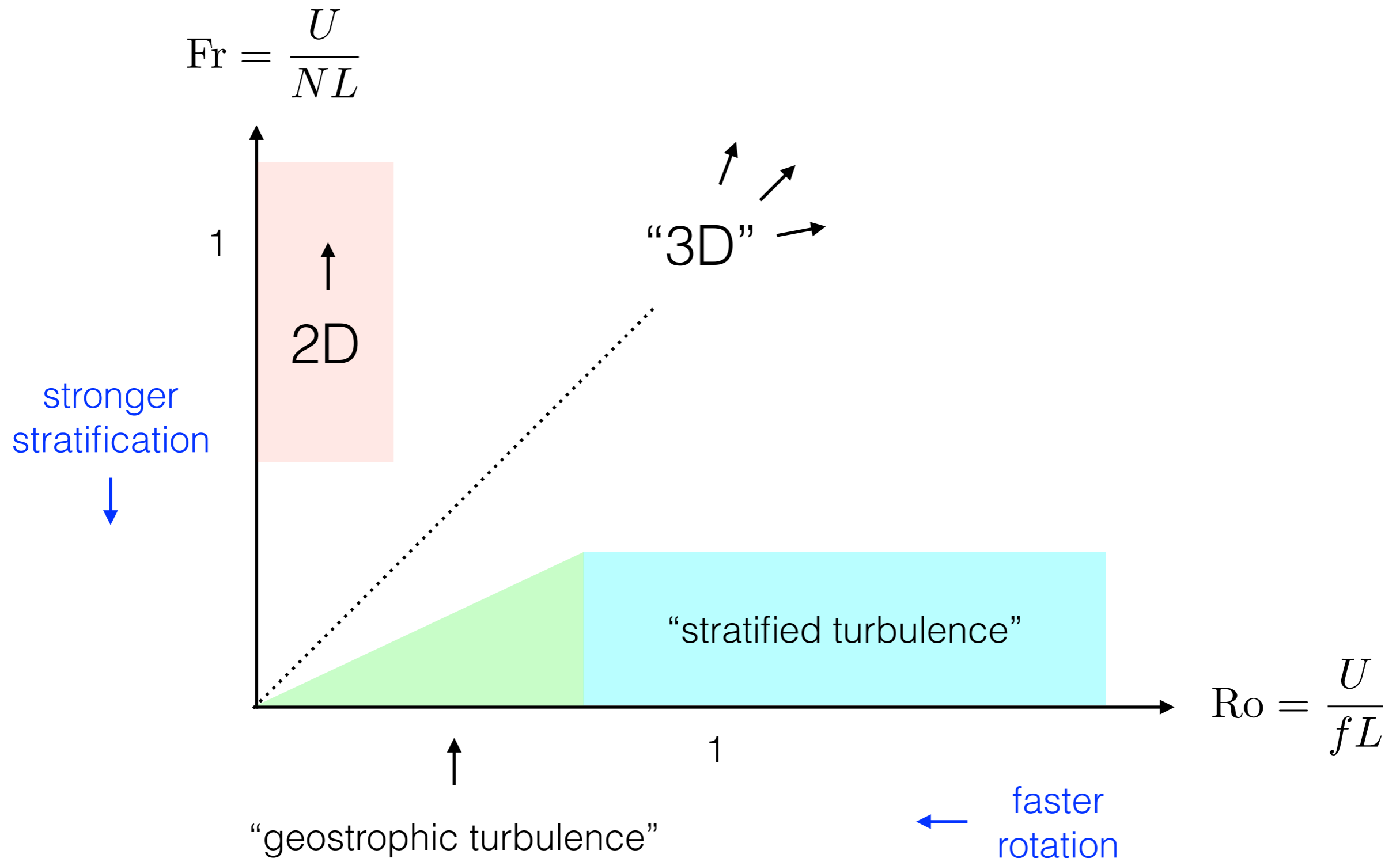
Notes:

- Increasing  $N/f$  implies increased forward cascade.



$$\partial_t E(k) + \int_0^k \Pi(p) dp = -\epsilon_F - \epsilon_S$$

# Rotating, stratified turbulence



# Shear mode

“shear” 
$$E^{(S)} = \frac{1}{2} \sum_{k_h=0} |\hat{u}_k|^2 + |\hat{v}_k|^2 + |\hat{b}'_k|^2 / N^2$$

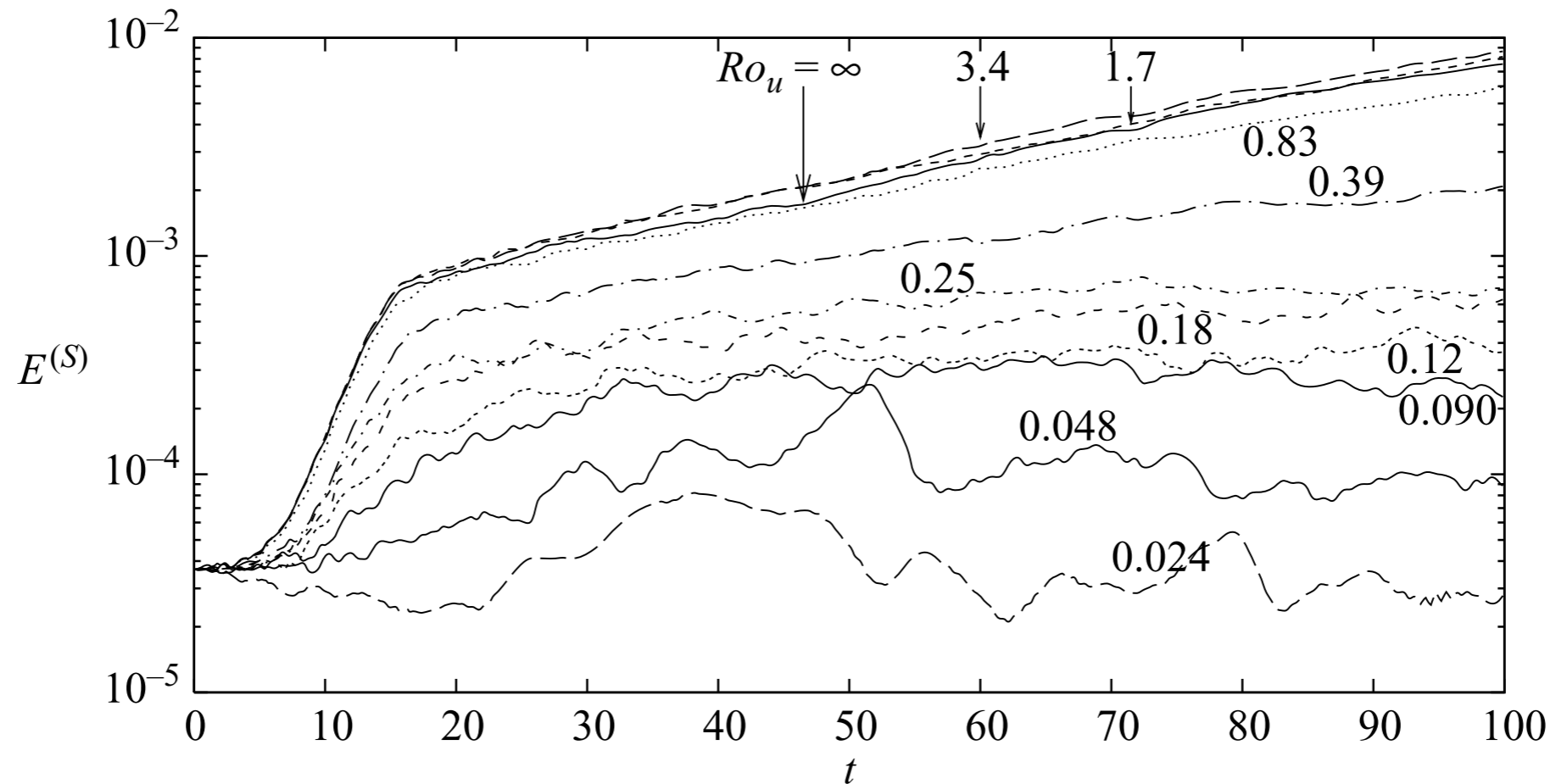


FIGURE 3. Time series of shear mode kinetic energy for different Rossby numbers without large-scale damping. When damping is employed, the growth is slightly enhanced.

# “Dual cascades”

