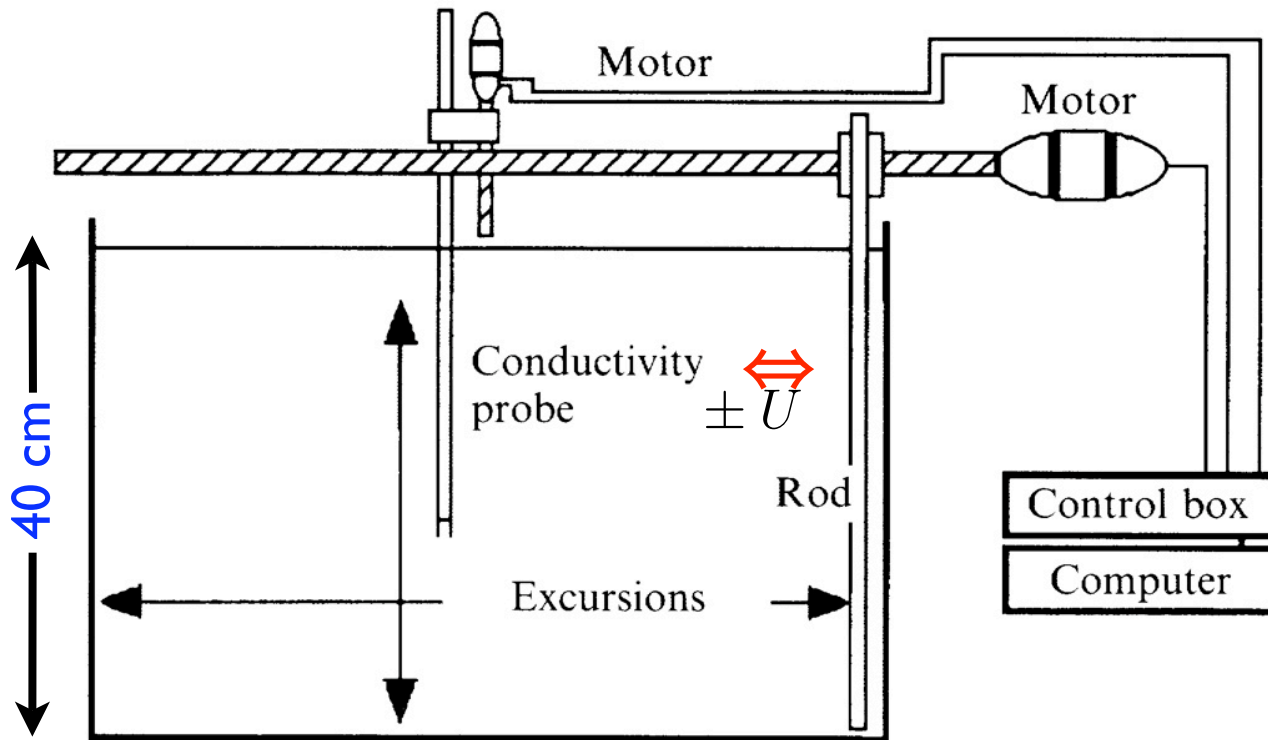


Negative diffusion: jets, steps and layers

Bill Young with Neil Balmforth, Stefan Llewellyn Smith,
Aldo Manfroï and Andy Thompson

Scripps Institution of Oceanography

An experiment: mixing a stable salt gradient



Park, Whitehead & Gnanadeskian (1994)
 Also Ruddick, McDougall & Turner (1989)
 Rehmann & Koseff (2004a,b)
 Linden (1978), Holford & Linden (1997)

Start with a strongly stable density gradient:

$$b = N^2 z$$

With molecular diffusion, the mixing time is:

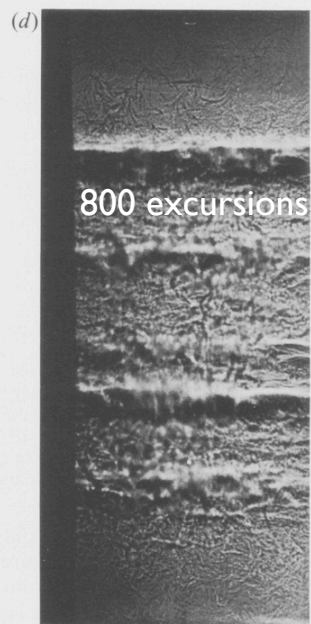
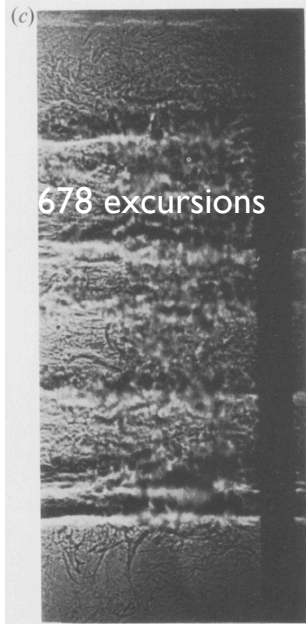
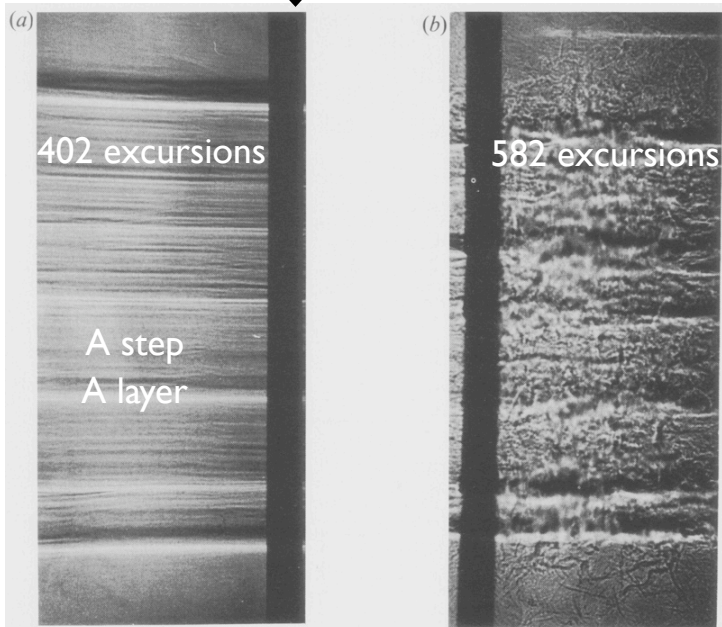
$$t_{\text{diff}} = \frac{H^2}{\kappa} \geq 1 \text{ year}$$

With stirring, the experiment lasts a few hours.

$$\rho = \rho_0 [1 - g^{-1}b] \leftarrow \text{“buoyancy”} \rightarrow b = -g\beta(S - S_0)$$

Turbulence in the wake of the rod

↓ Rod, D=2.26cm



$$\sqrt{Ri} = \frac{ND}{U} = 0.56$$

$$Re = \frac{UD}{\nu} = 547$$

(weakly stratified)

Shadowgraph
visualization of the
turbulent wake

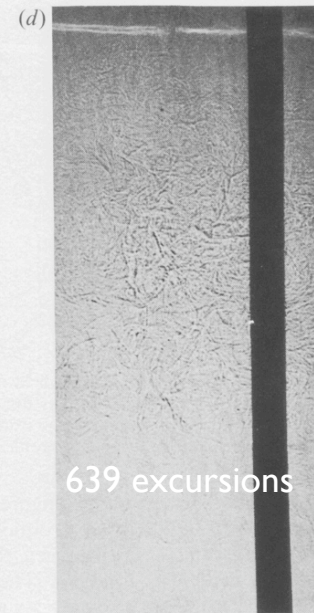
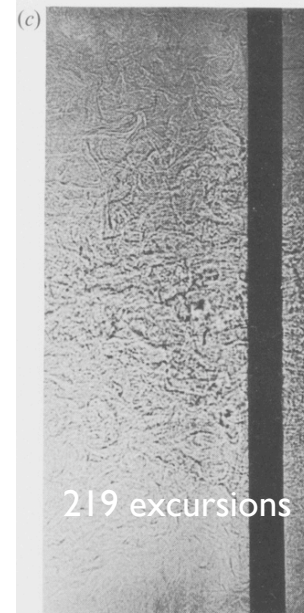
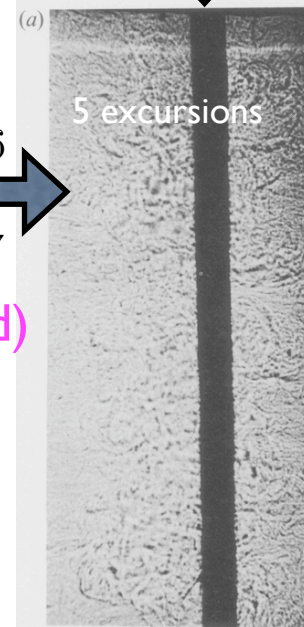
$$\sqrt{Ri} = \frac{ND}{U} = 1.46$$

$$Re = \frac{UD}{\nu} = 547$$

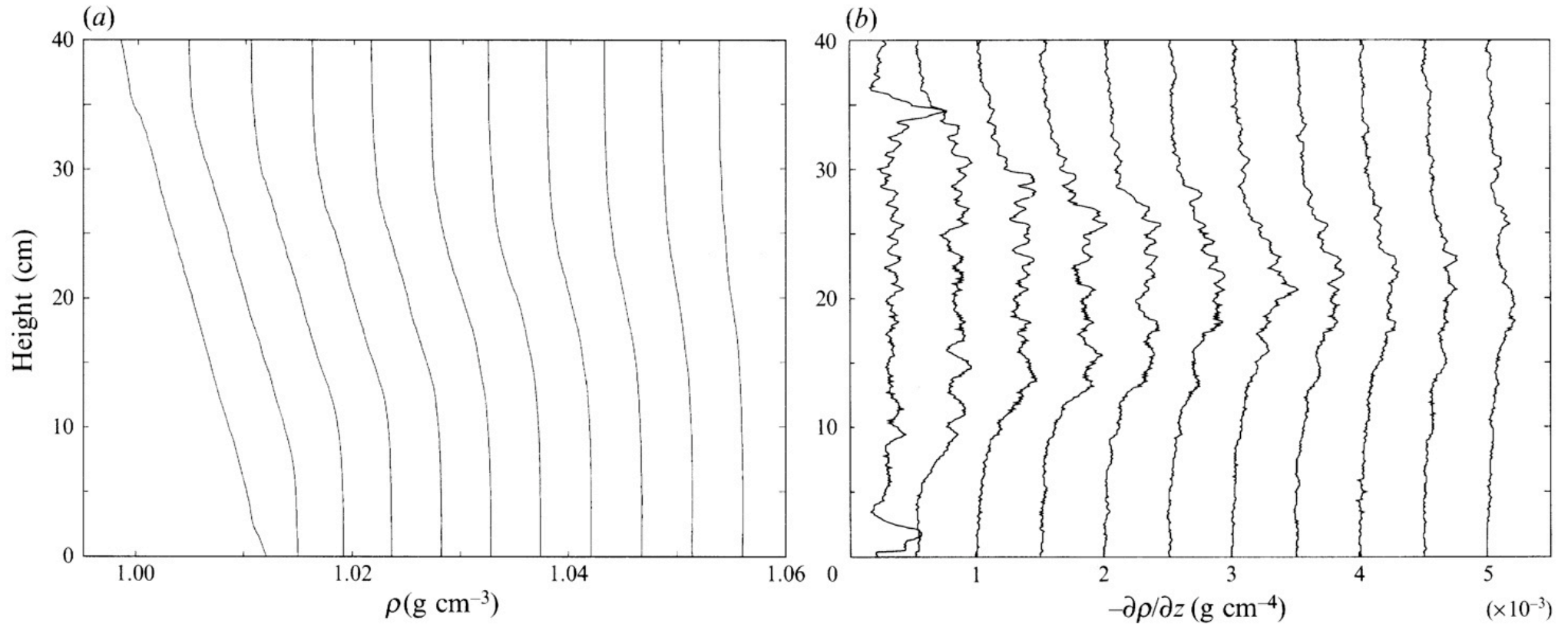
(strongly stratified)

From PWG94

↓ Rod, D=2.26cm ↓



Strong stirring, PWG94

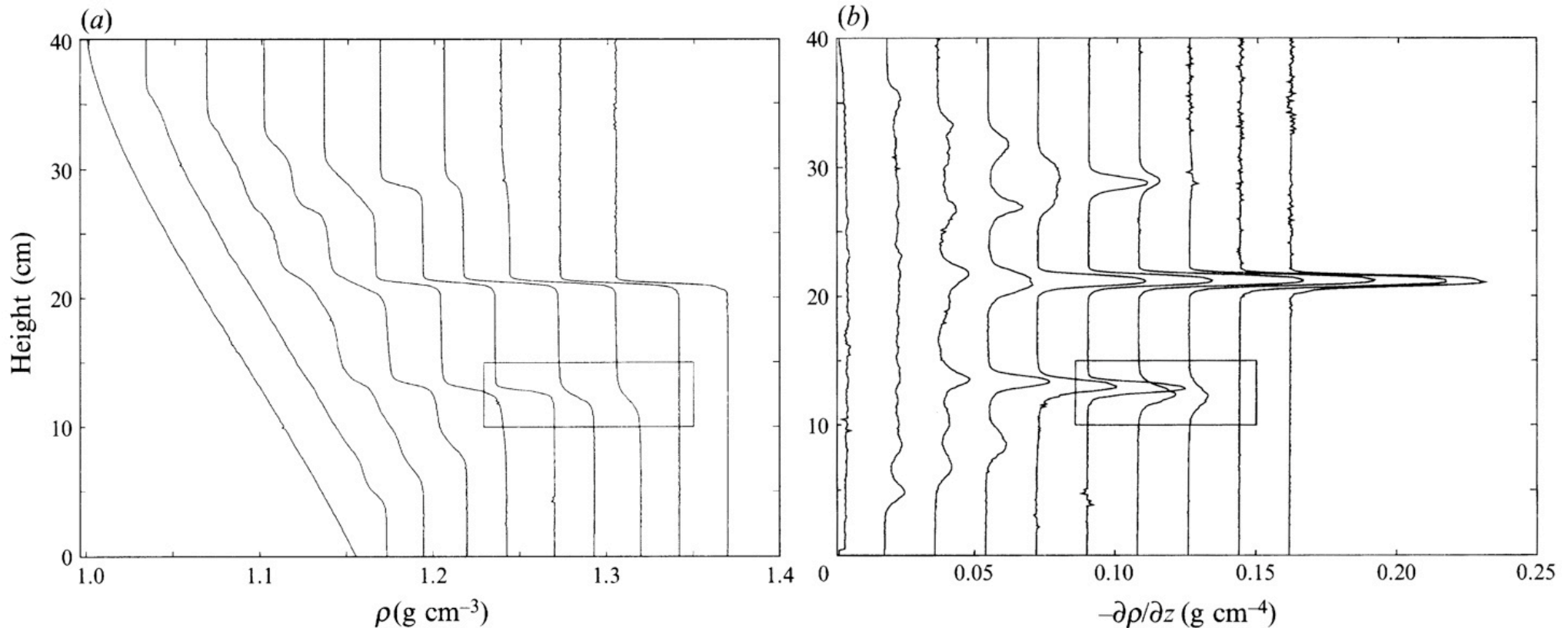


$$\sqrt{Ri} = \frac{ND}{U} = 0.56 \quad \text{and} \quad Re = \frac{UD}{\nu} = 547$$

Weakly stratified: turbulent mixing looks like accelerated molecular diffusion.

$$\rho = \rho_0 [1 - g^{-1}b] \leftarrow \text{“buoyancy”} \rightarrow b = -g\beta(S - S_0)$$

Moderate stirring PWG94: layer formation

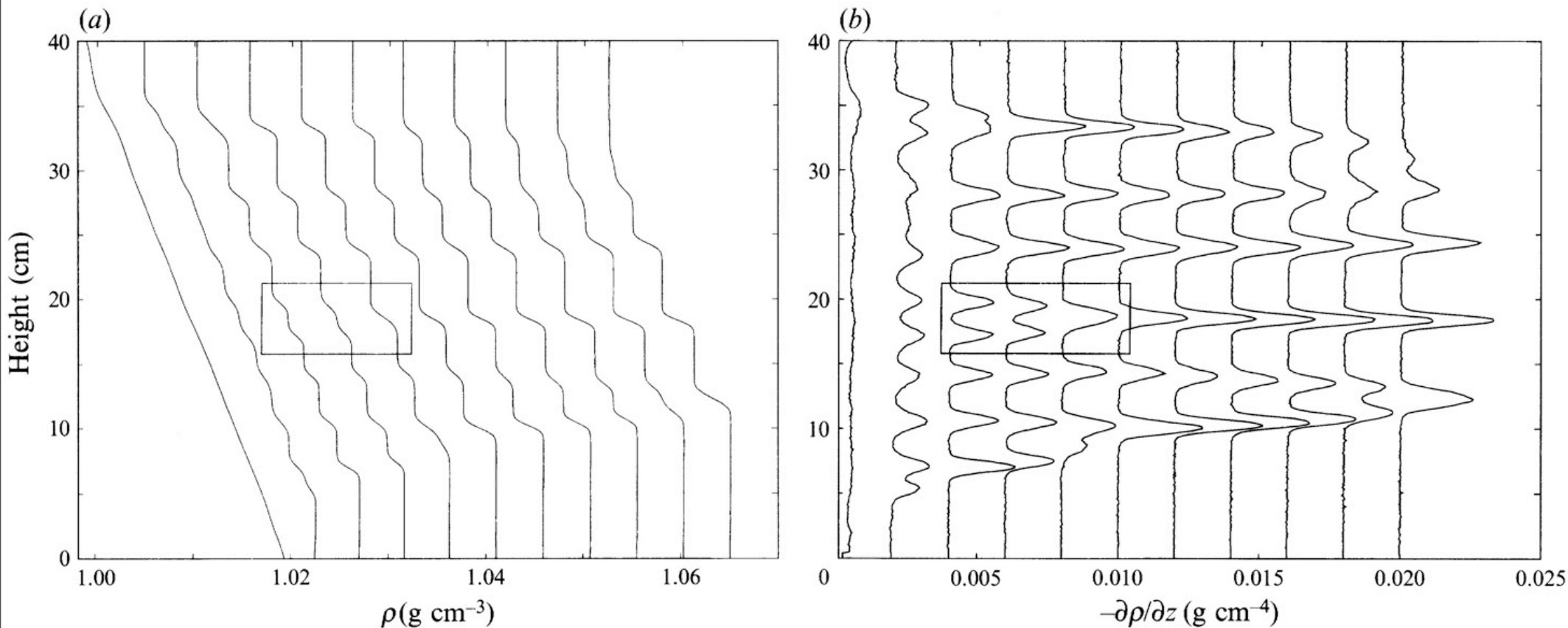


$$\sqrt{Ri} = \frac{ND}{U} = 1.83 \quad \text{and} \quad Re = \frac{UD}{\nu} = 547$$

Moderately stratified: turbulent mixing amplifies buoyancy gradients.

$$\rho = \rho_0 [1 - g^{-1}b] \leftarrow \text{“buoyancy”} \rightarrow b = -g\beta(S - S_0)$$

Weak stirring PWG94: the interior regime

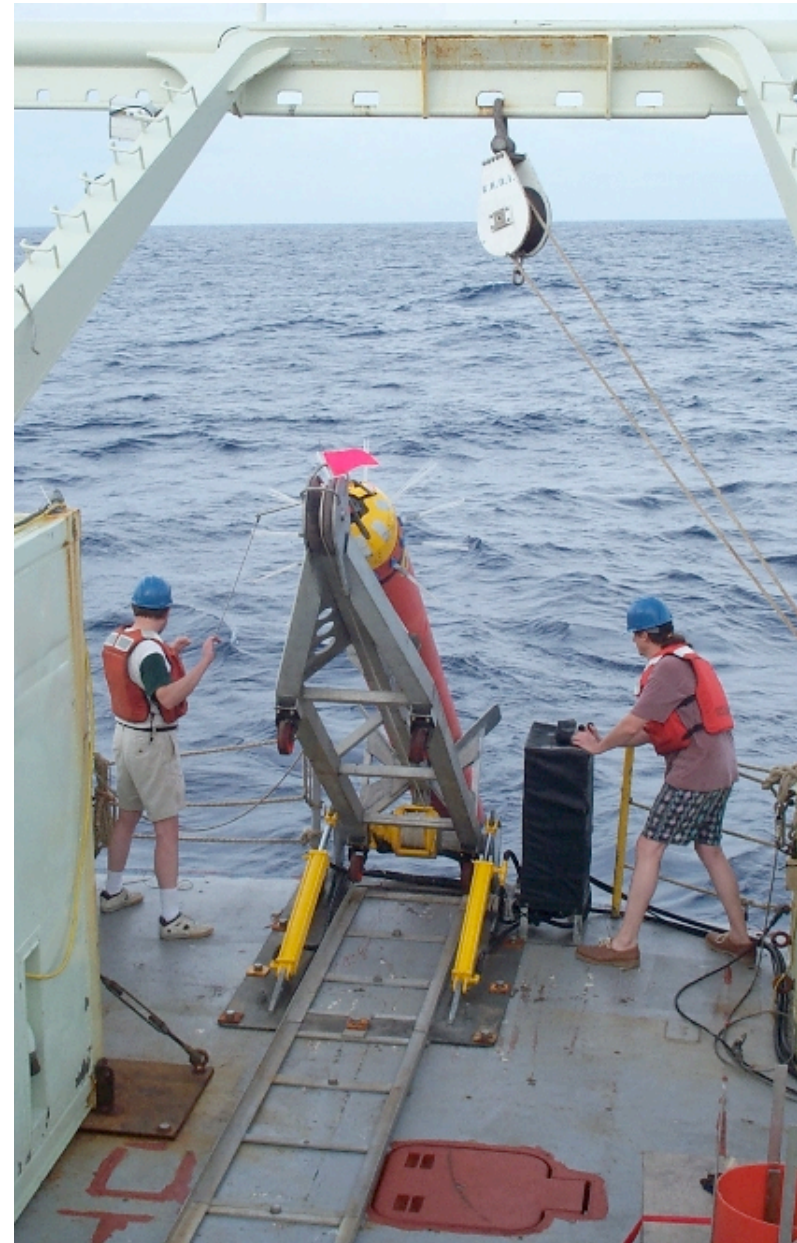
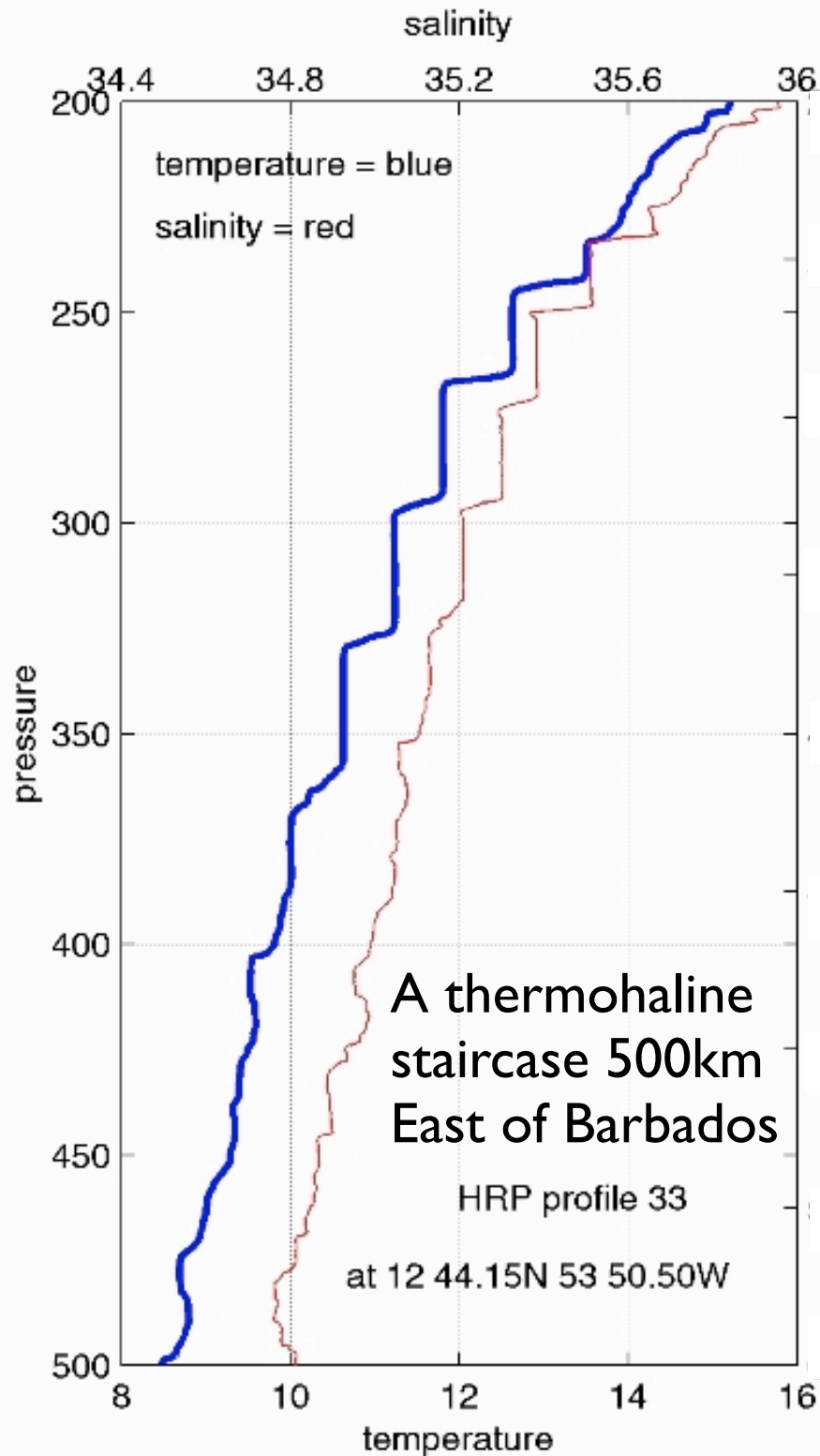


$$\sqrt{Ri} = \frac{ND}{U} = 1.64 \quad \text{and} \quad Re = \frac{UD}{\nu} = 226$$

Strongly stratified: steps and layers everywhere....

$$\rho = \rho_0 [1 - g^{-1}b] \leftarrow \text{“buoyancy”} \rightarrow b = -g\beta(S - S_0)$$

Steps & layers in the ocean

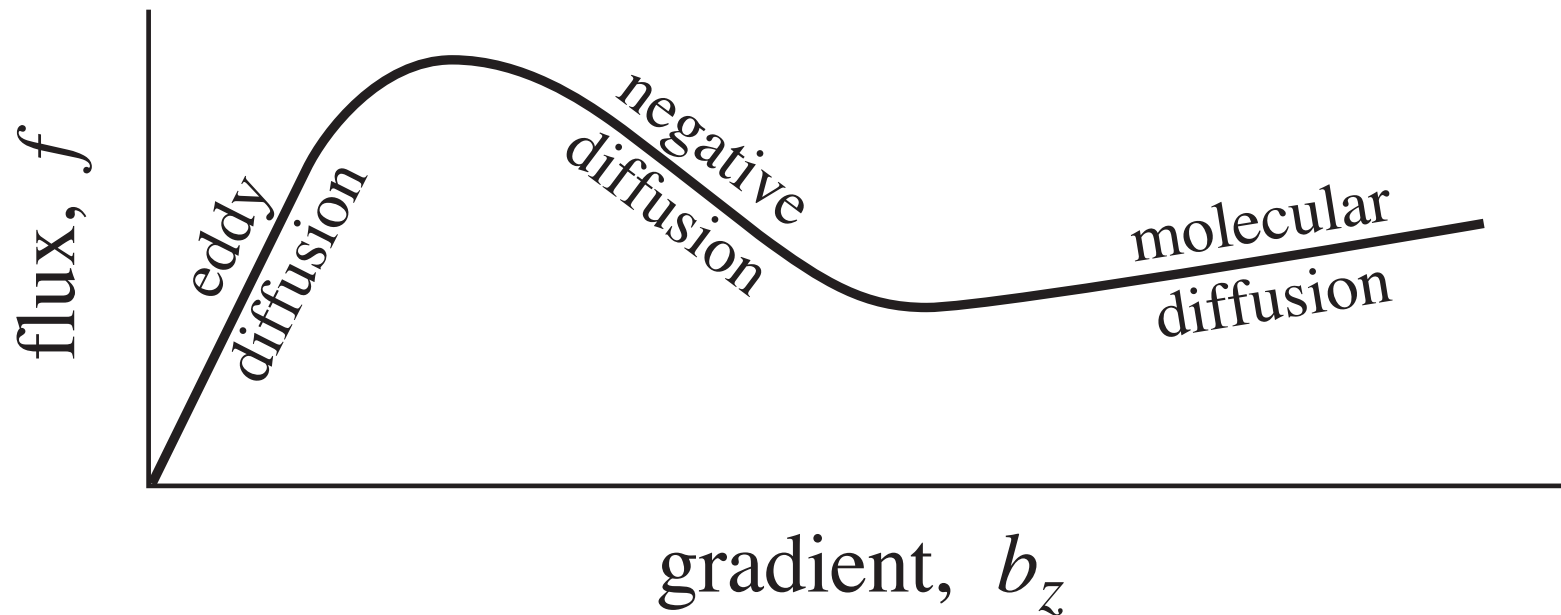


WHOI Ocean Mixing Group

“Is strongly stratified turbulence stable?”

(Phillips 1972, Posmentier 1977)

No, not if stable buoyancy gradients suppress mixing: the turbulent flow spontaneously becomes spatially inhomogeneous.



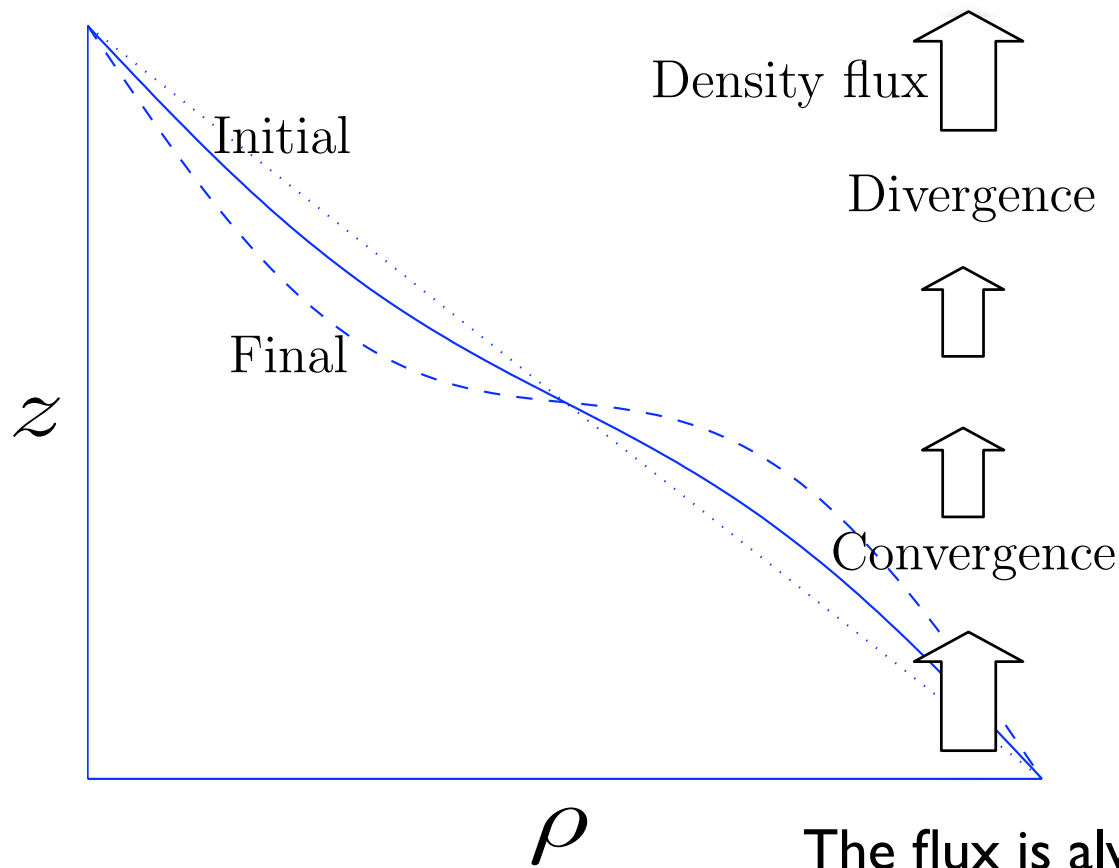
Conservation of mean buoyancy:

$$b_t = \frac{\partial f}{\partial z} = f'(b_z) b_{zz}, \quad f = -\overline{w'b'} + \kappa b_z$$

The linear instability of Phillips & Posmentier

P&P explain the onset of layering as a **negative-diffusion instability**:

$$b_t = f_z \quad \text{and} \quad b = N^2 z + \hat{b}_z \quad \Rightarrow \quad \hat{b}_t = f'(N^2) \hat{b}_{zz}$$



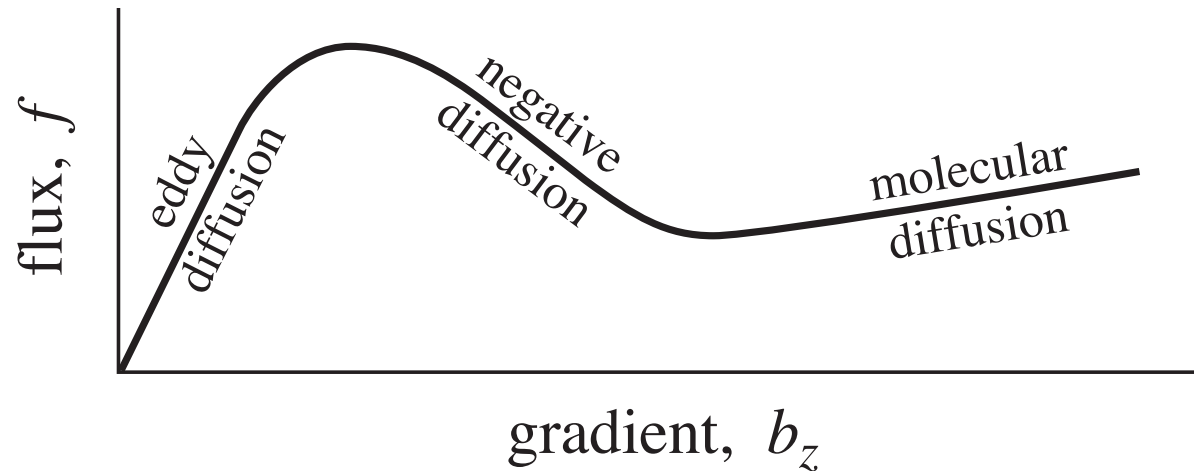
The diffusivity is:

$$D = \frac{df}{dg}$$

(not f/g !?)

The flux is always down-gradient.

Problems with the P & P model



$$b_t = f(b_z)_z$$

- (1) There is no mechanism to arrest interface steepening.
- (2) The problem is ill-posed: there is no high wavenumber cut-off.

$$\hat{b}_t = f'(N^2) \hat{b}_{zz}$$



Beyond the linear instability?

To explain the experiments we need a more elaborate model (BLSY1998):

$$e(z, t) = \text{TKE} \quad \text{and} \quad b(z, t) = \text{mean buoyancy}$$

Physical arguments suggest the model:

$$b_t = (\ell e^{1/2} b_z)_z \quad e_t = \beta(\ell e^{1/2} e_z)_z - \ell e^{1/2} b_z - \alpha \ell^{-1} e^{3/2} + \mathcal{P}$$

conversion TKE → PE   dissipation of TKE, ε

The mixing length:

$$\frac{1}{\ell^2} = \frac{1}{D^2} + \gamma \frac{b_z}{e}$$

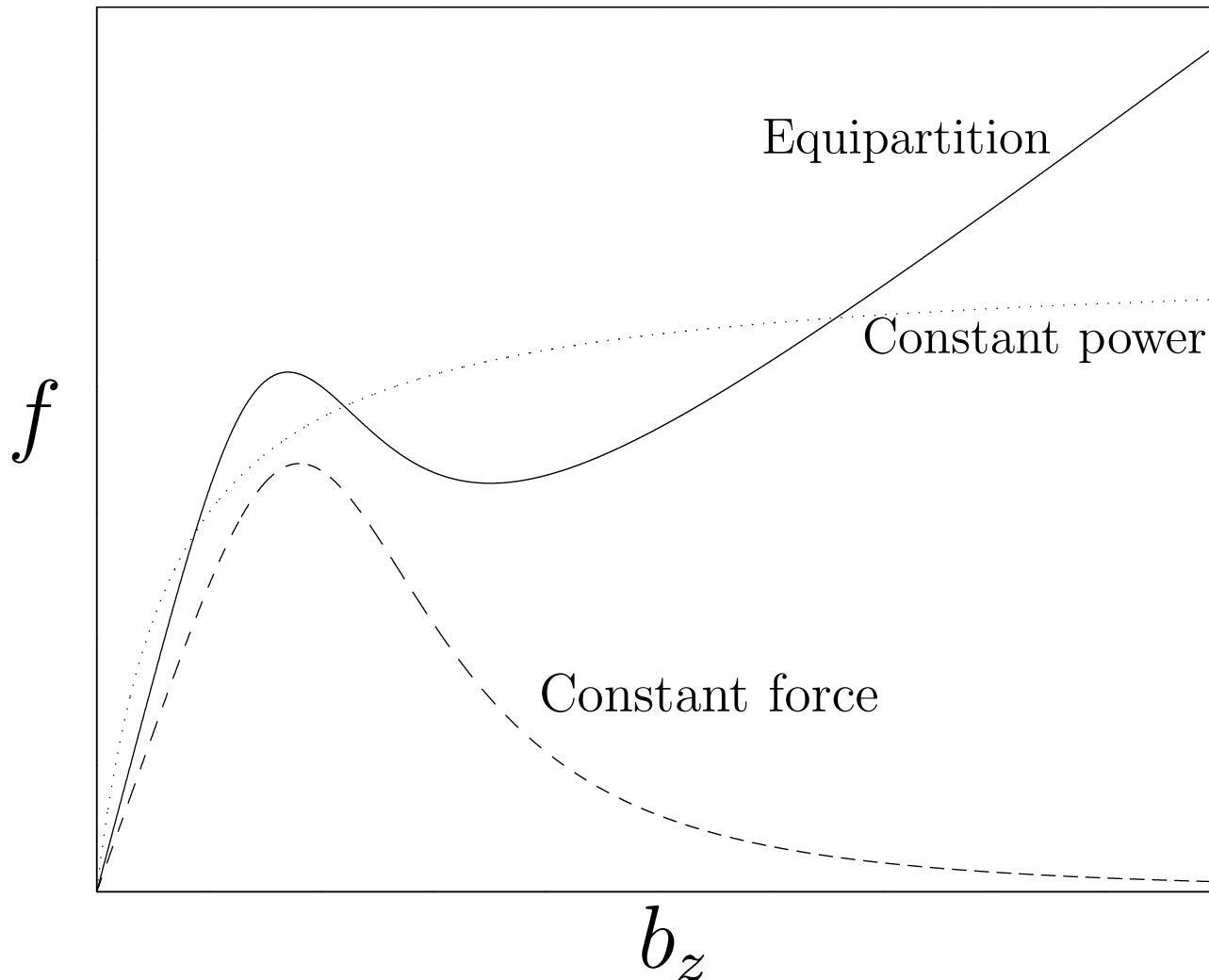
Conservation of energy:

$$\frac{d}{dt} \int_0^H e - zb \, dz = \int_0^H \mathcal{P} - \alpha \ell^{-1} e^{3/2} \, dz$$

We must specify the energy production \mathcal{P}

Completing the model

$$b_t = (\ell e^{1/2} b_z)_z \quad e_t = \beta(\ell e^{1/2} e_z)_z - \ell e^{1/2} b_z - \alpha \ell^{-1} e^{3/2} + \mathcal{P}$$



The most satisfactory assumption is:

$$\mathcal{P} = \alpha \frac{e^{1/2}}{\ell} U^2$$

TKE density relaxes to the rod speed:

$$e_t = \dots + \frac{e^{1/2}}{\ell} (U^2 - e)$$

eddy turnover rate

Linear stability of the spatially uniform solution

The non-dimensional system is:

$$g_t = (\ell e^{1/2} g)_{zz},$$

$$e_t = \beta (\ell e^{1/2} e_z)_z - \ell e^{1/2} g + r^{-1} \ell^{-1} (1 - e) e^{1/2},$$

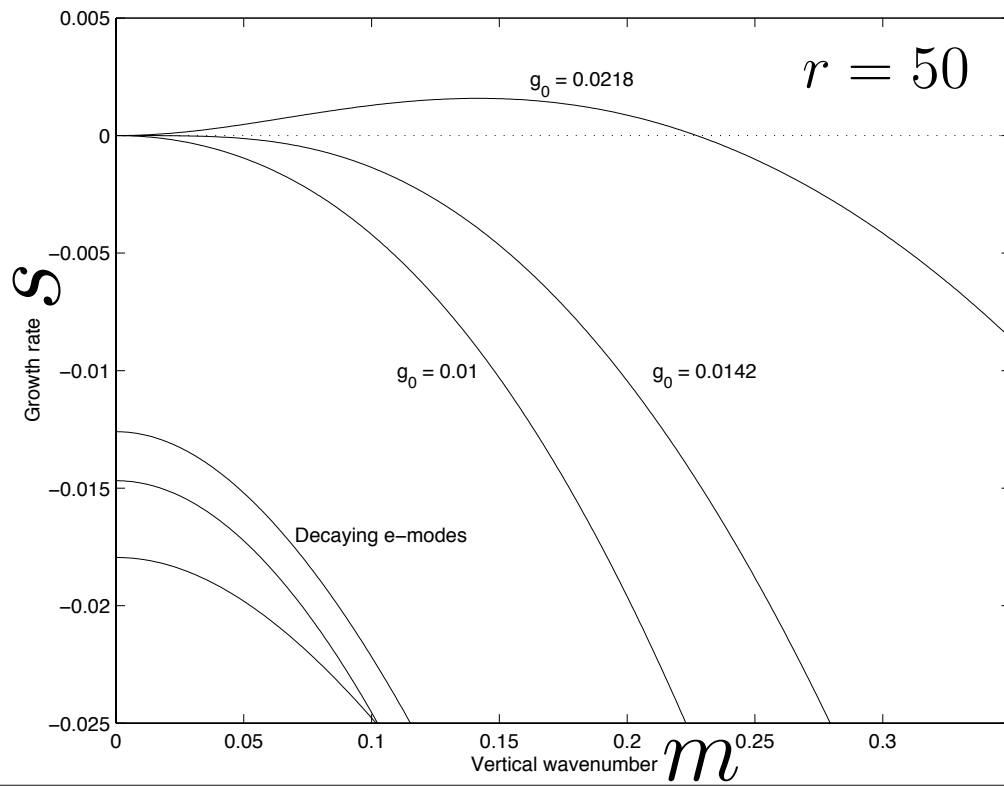
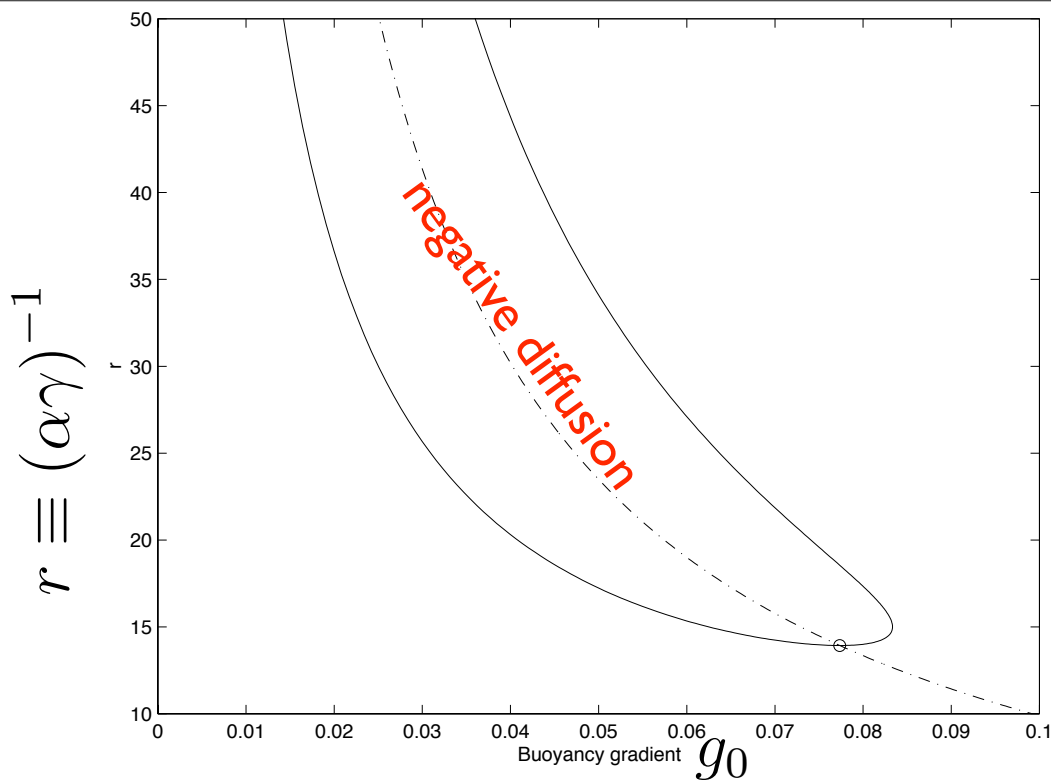
$$\ell = \left(\frac{e}{e + g} \right)^{1/2}, \quad \text{and} \quad g \equiv b_z.$$

Linearize around the homogeneous state:

$$g = g_0 + g_1 e^{st+imz}$$

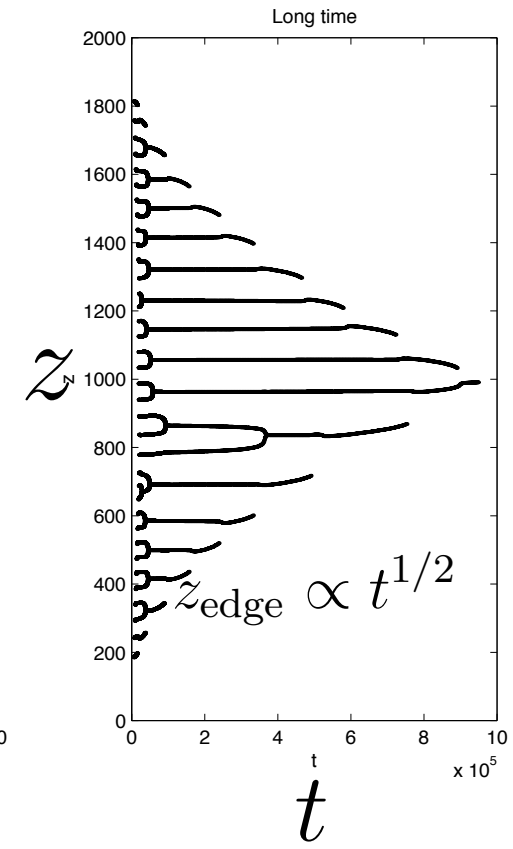
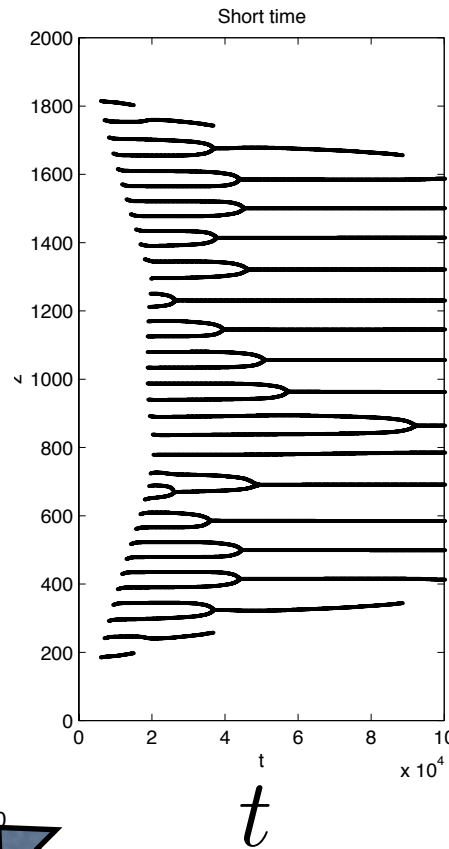
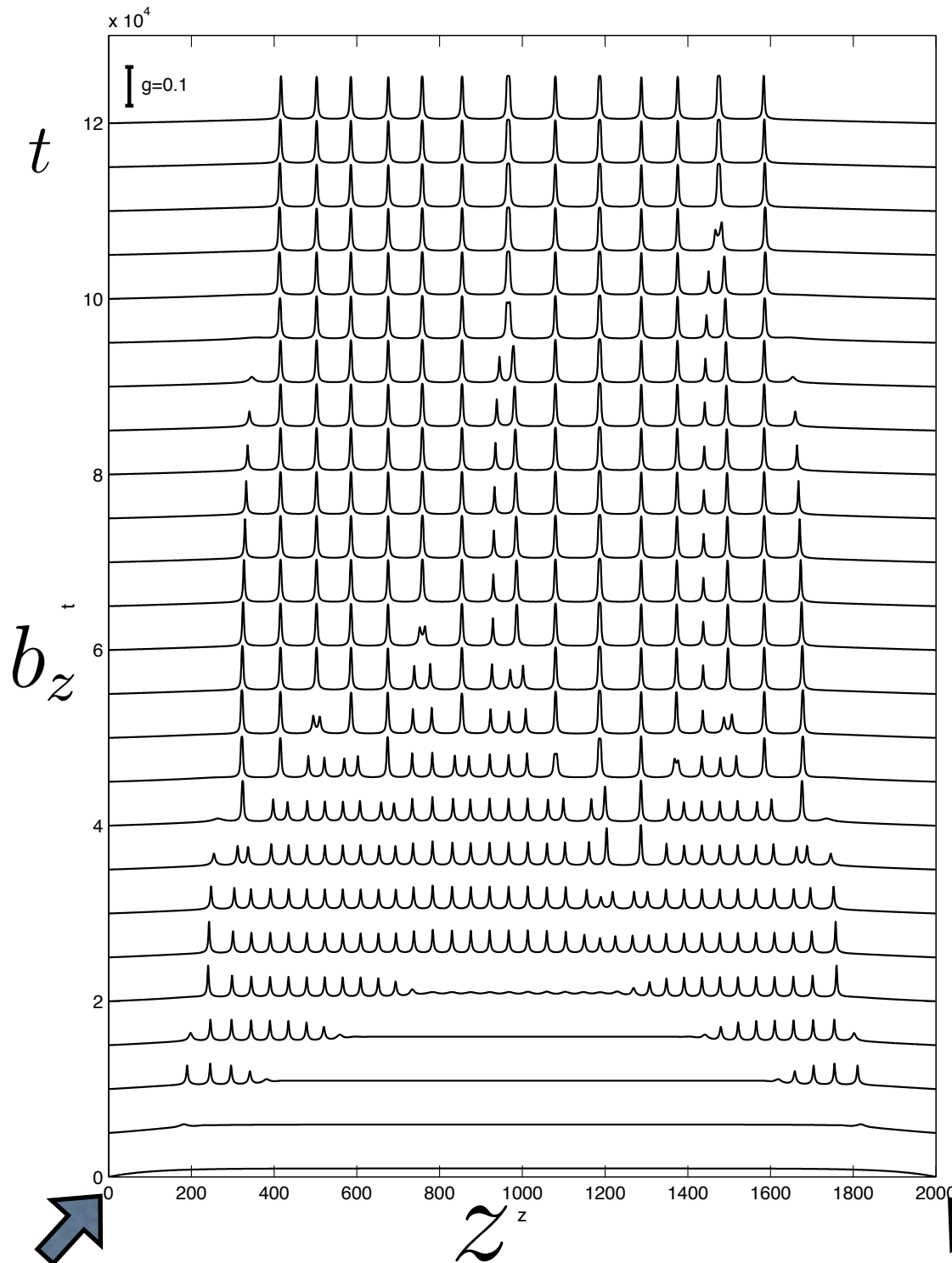
$$e = e_0 + e_1 e^{st+imz}$$

Note β is necessary for the high wavenumber cut-off.



A solution of the model

- (1) linear instability (P&P).
- (2) pattern development via merger.
- (3) invasion of the edge layers and ultimate homogenization.

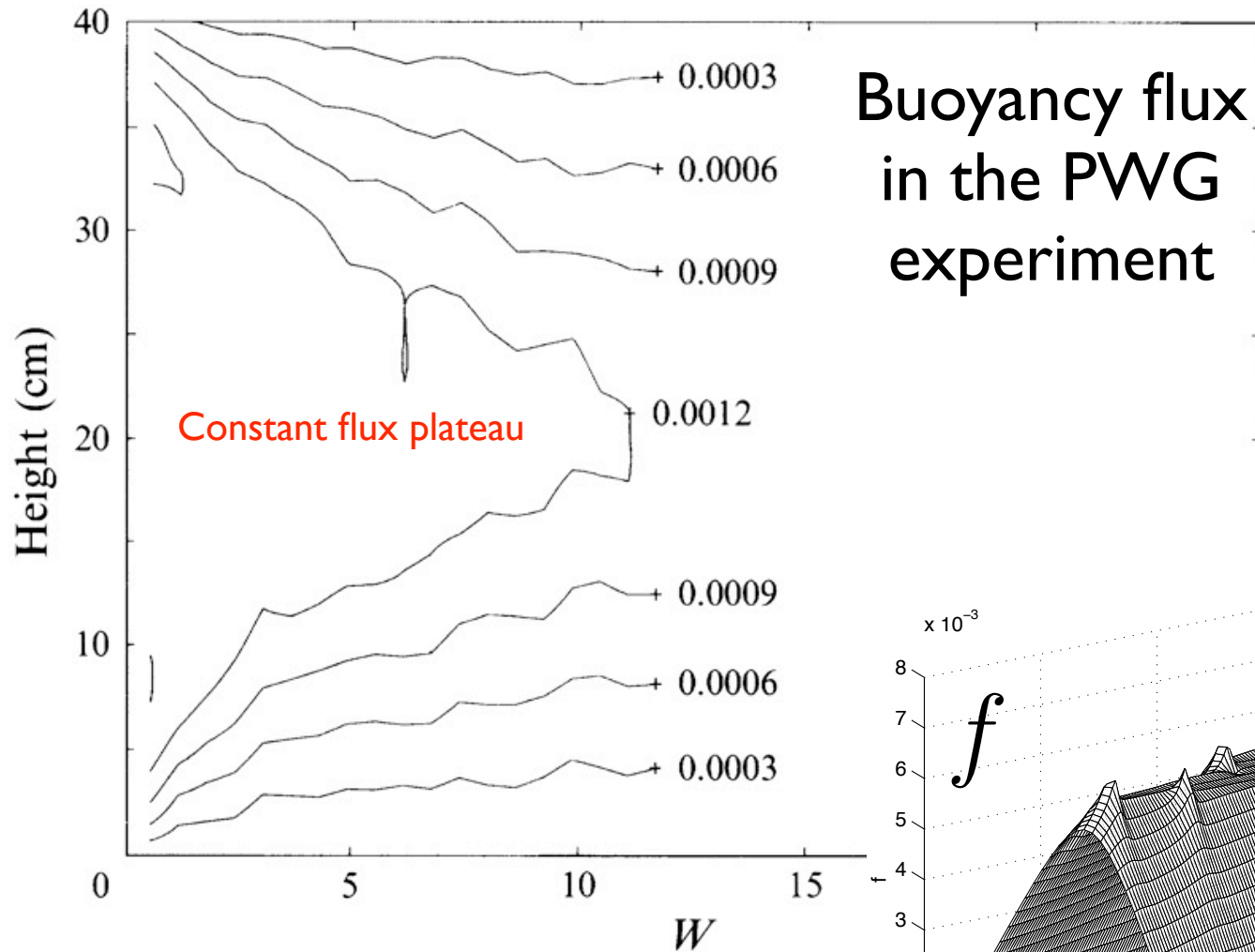


no flux

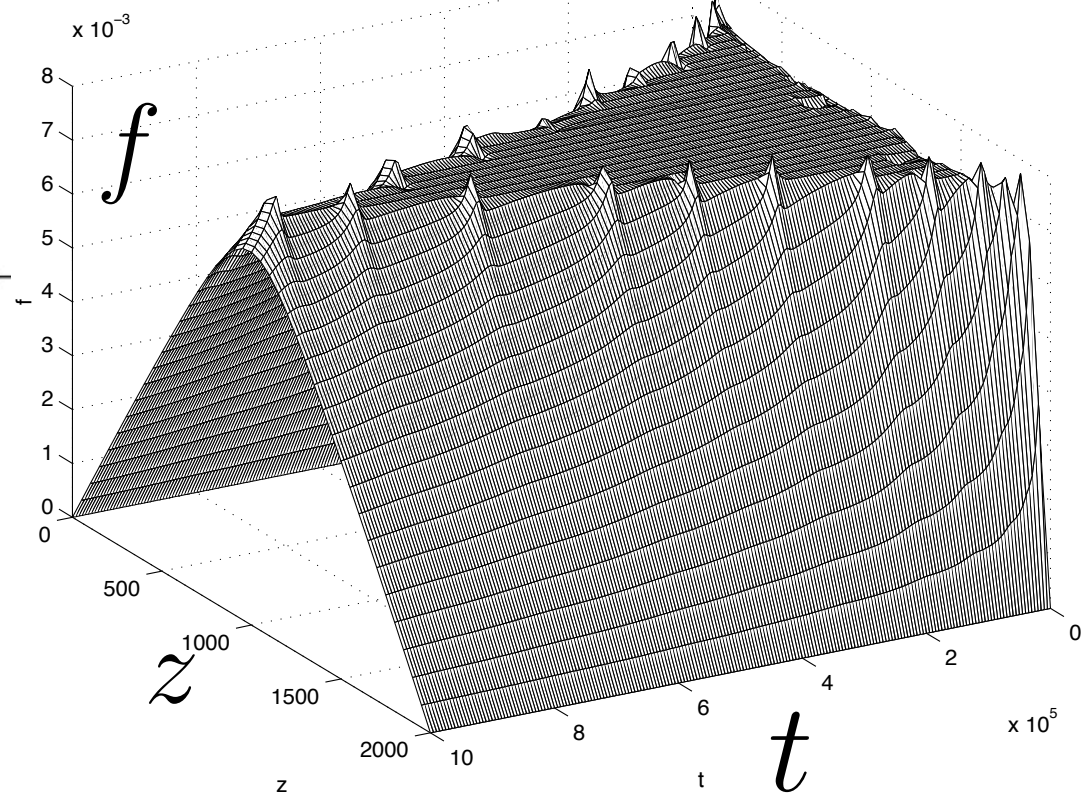
no flux

$r = 50$

The spiky
interior has
constant flux

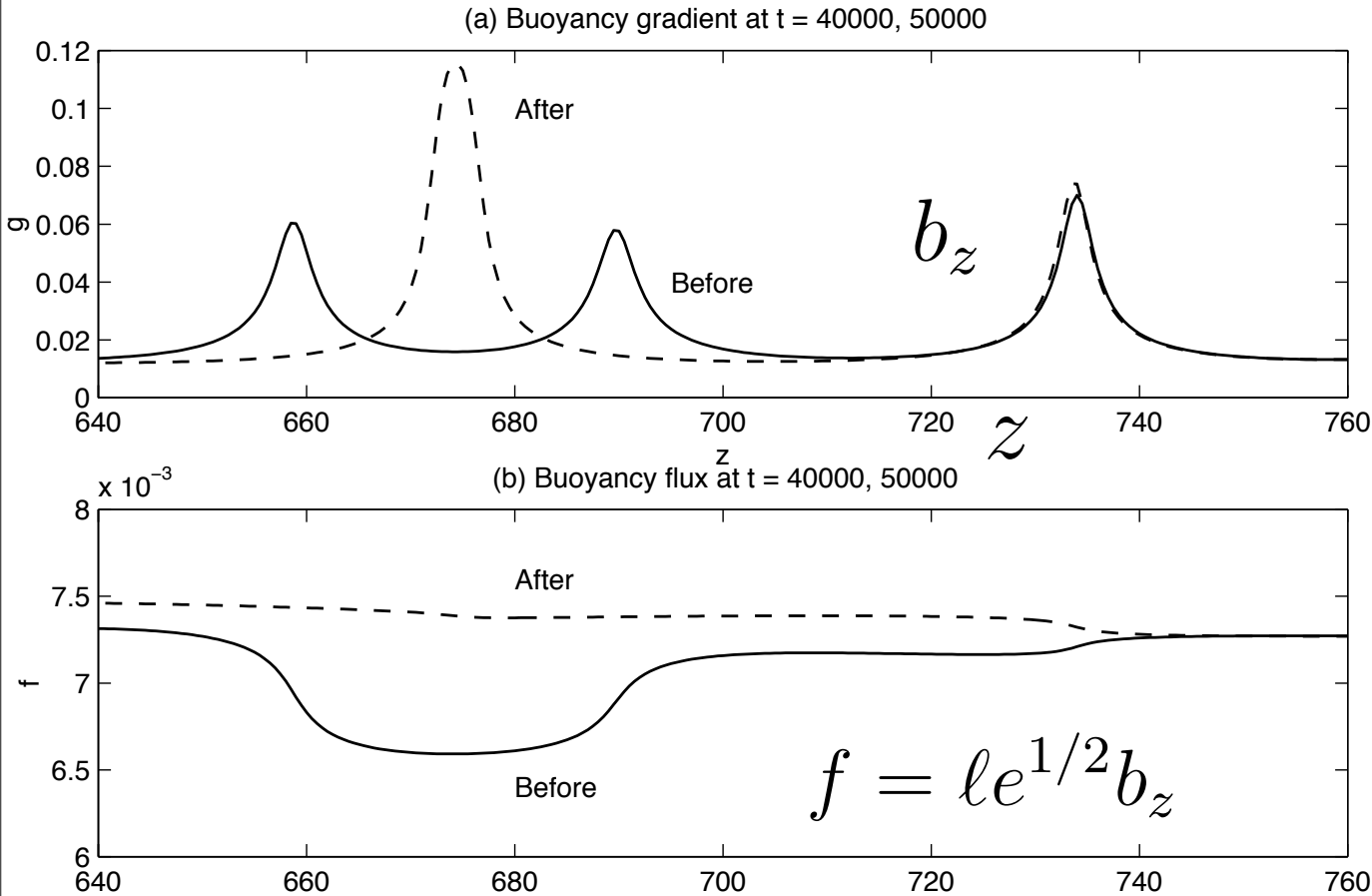


Buoyancy flux
in the BLSY model



$$f = \ell e^{1/2} b_z$$

Mergers in the interior maintain constant flux



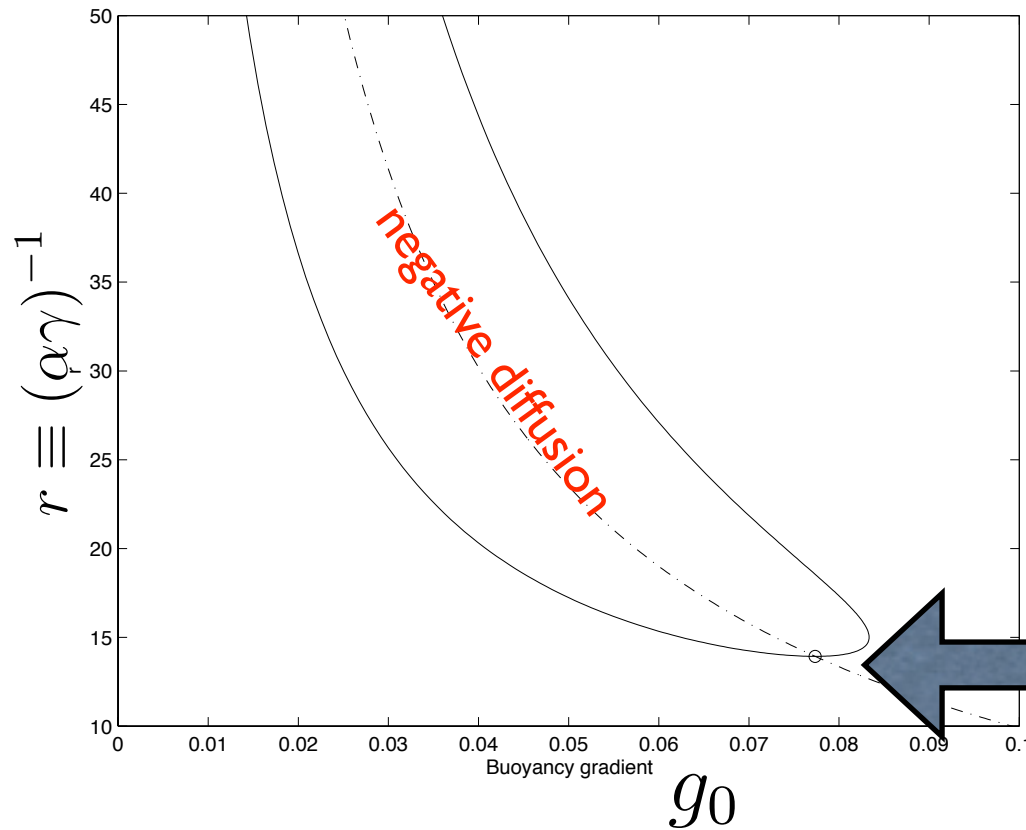
If the flux is slightly non-uniform then the steps slowly migrate, leading to layer merger.

This merger process keeps the interior flux close to constant (and we can calculate the constant).

General lessons?

At the bottom of the banana the system can be reduced via an amplitude expansion.

The **critical point** is:



$$r_{\star} = 7 + 4\sqrt{3}, \quad g_{0\star} = \frac{1}{\sqrt{3}} - \frac{1}{2}$$

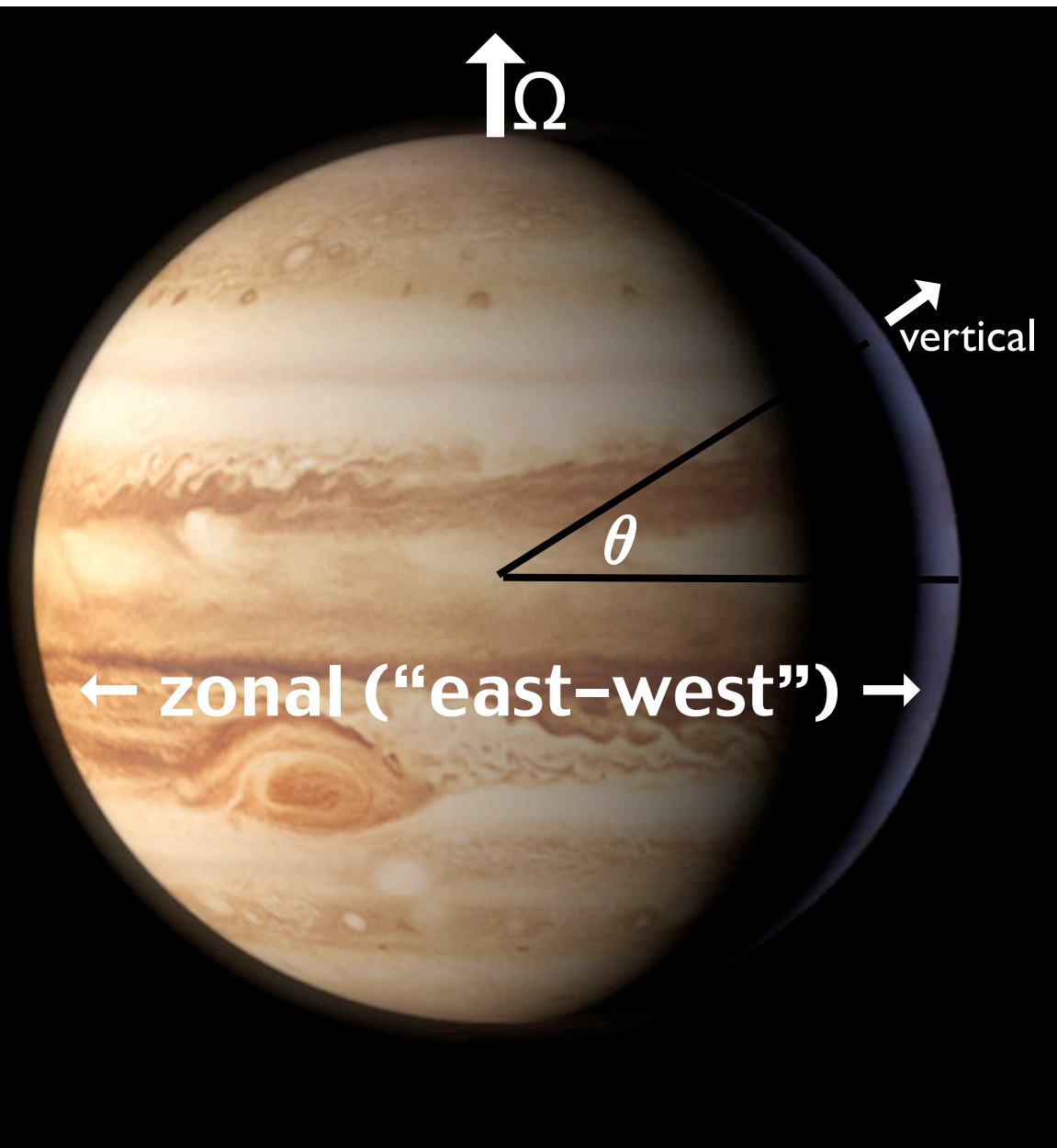
The expansion begins like this:

$$r = r_{\star} + \epsilon^2 r_2 \quad g = g_{0\star} + \epsilon [g_1 + A(z, t)] + O(\epsilon^2)$$

and leads to the **Cahn-Hilliard equation**:

$$A_t = \left[\pm A - A_{zz} \pm A^2 + A^3 \right]_{zz}$$

The CH equation - derived by CH from thermodynamic arguments - is the simplest complete description of negative diffusion (and **negative viscosity**).....



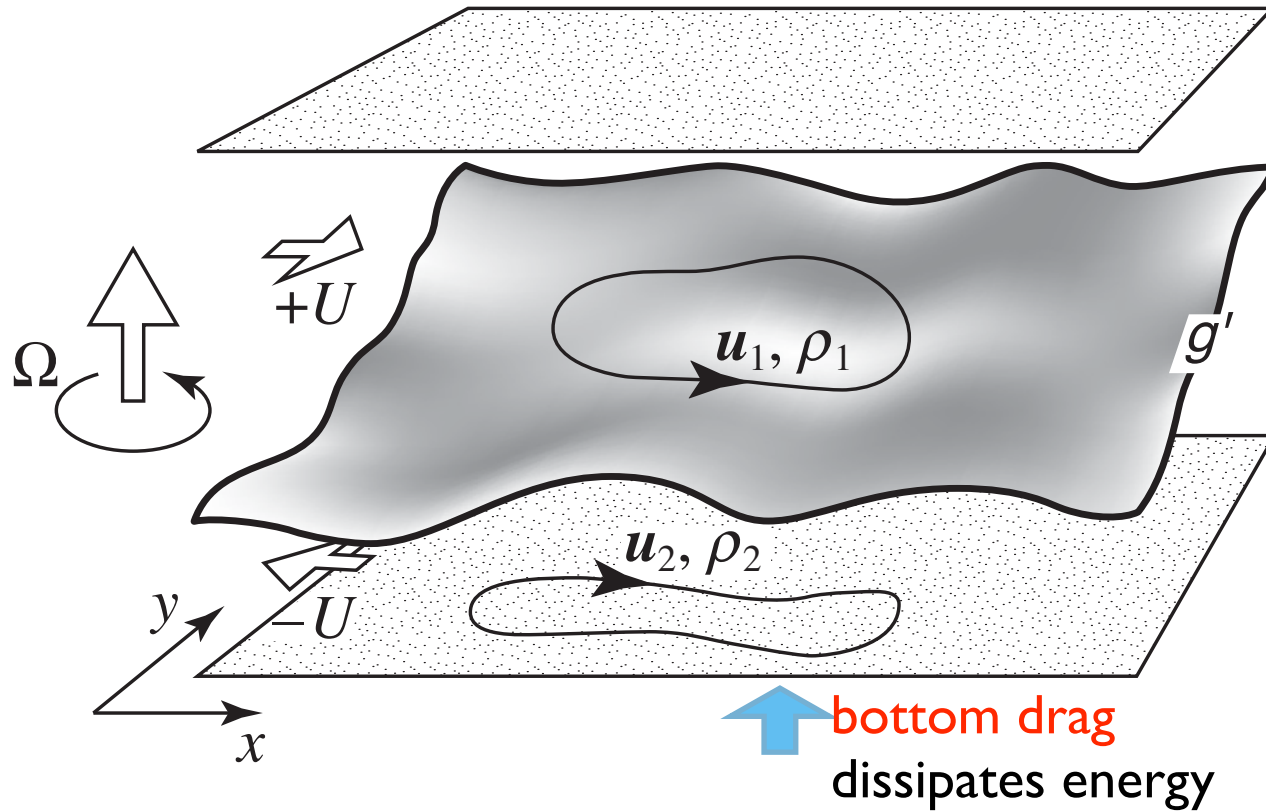
Negative viscosity: the prime example is zonal flows in the ocean and atmosphere.

This is a fluid "stratified" by vertical vorticity

In a thin spherical layer only the local-vertical component of Ω is important (the β effect).

$$2\Omega_{\text{vert}} = 2\Omega \times \sin \theta \approx \underbrace{2\Omega \sin \theta_0}_{f_0} + \underbrace{2\Omega R^{-1} \cos \theta_0 \times R(\theta - \theta_0)}_{\beta \times y}$$

Stratified turbulence in a rapidly rotating β -plane fluid



Available Potential Energy in the tilted interface is released by **baroclinic instability**.

$$\lambda = \frac{\sqrt{2g'H}}{f_0} \sim 10 \text{ to } 40 \text{ km}$$

↑ ocean

Geostrophic balance: $(u_1, v_1) = \left(-\frac{\partial \psi_1}{\partial y}, \frac{\partial \psi_1}{\partial x} \right)$ $\psi_1 \propto$ pressure in layer 1

The quasigeostrophic approximation

$$q_{1t} + Uq_{1x} + (\beta + \lambda^{-2}U) \psi_{1x} + J(\psi_1, q_1) = -\nu \nabla^8 q_1,$$

$$q_{2t} - Uq_{2x} + (\beta - \lambda^{-2}U) \psi_{2x} + J(\psi_2, q_2) = -\kappa \nabla^2 \psi_2 - \nu \nabla^8 q_2.$$

Potential Vorticity: $q_n = \psi_{nxx} + \psi_{nyy} + \frac{1}{2} \lambda^{-2} (-)^n (\psi_1 - \psi_2)$

From the above, we obtain the energy equation:

$$U^2 \lambda^{-2} \langle \psi_x \tau \rangle \approx \kappa \langle |\nabla \psi_2|^2 \rangle \quad \text{where } \langle \rangle = \text{average over } x, y \text{ and } t$$

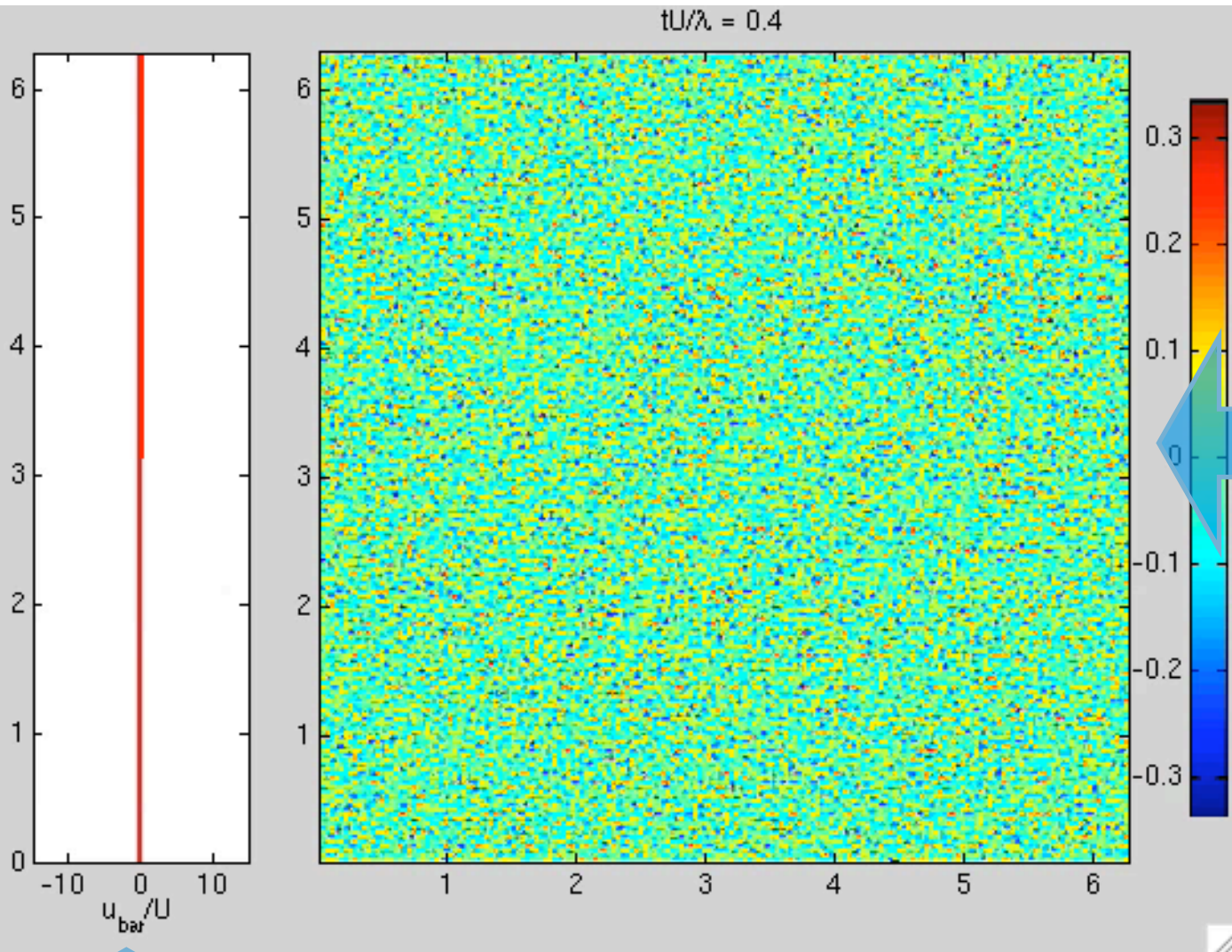
heat flux 
bottom drag 

The release of **APE** by baroclinic eddies is proportional to the **heat flux**, and also to the supply the mechanical energy required to balance bottom friction.

Above: $\psi \equiv \frac{1}{2}(\psi_1 + \psi_2),$ $\tau = \frac{1}{2}(\psi_1 - \psi_2)$

 The barotropic mode

 The baroclinic mode (“heat”).



Spin-up: growth of linearly unstable disturbances

Upper layer PV

The most unstable linear disturbance is:

$$\psi_n \propto \exp [ik_x(x - ct)]$$

$$k_y = 0 \Rightarrow J(\psi, \nabla^2 \psi) = 0$$

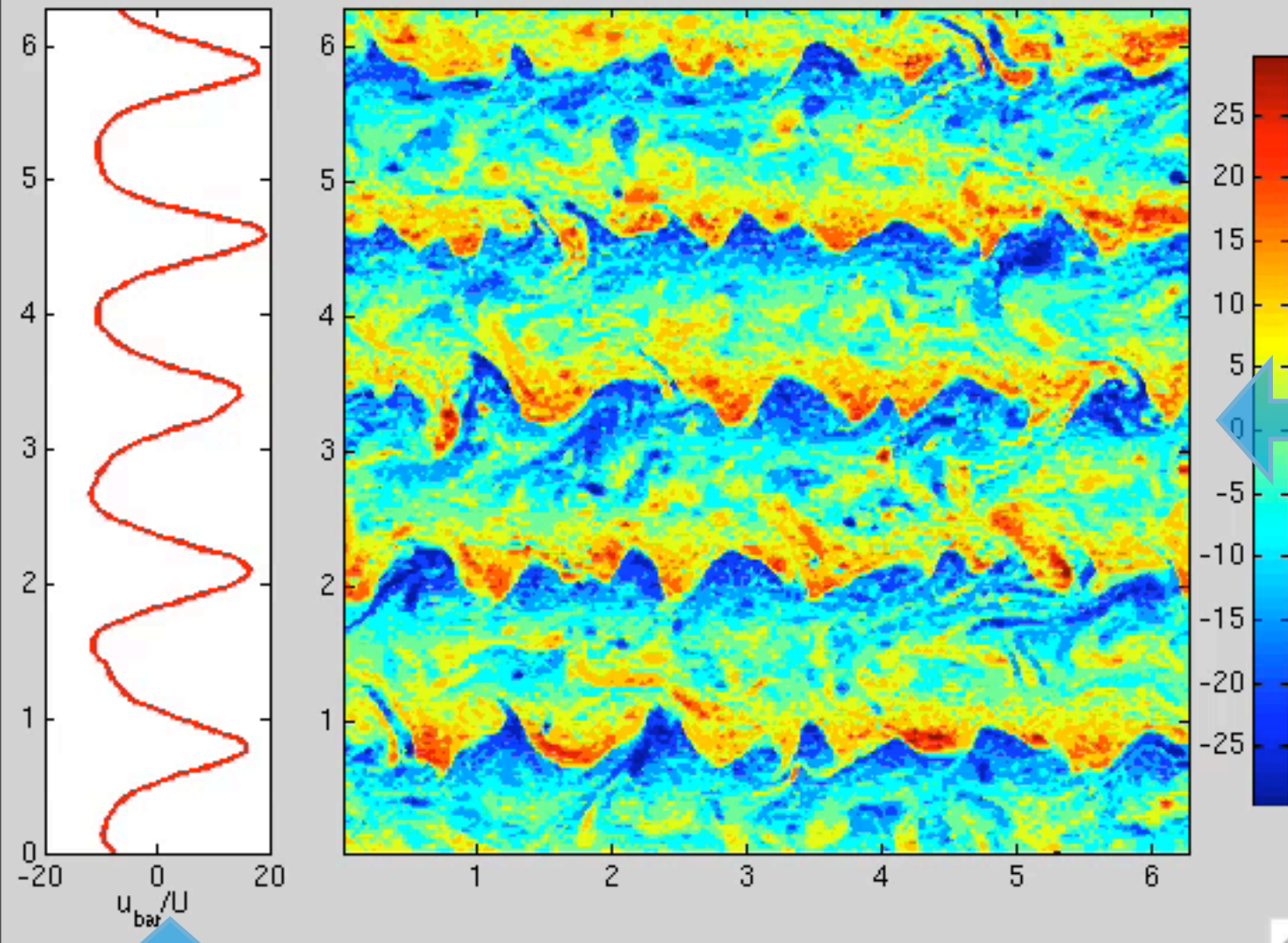
Exponential growth is stopped by a secondary instability.

Barotropic zonally-averaged zonal flow: a **negative-viscosity** instability.

$$\bar{u}(y, t) \equiv L^{-1} \oint u(x, y, t) dx$$

$$L = 50\pi \times \lambda$$

$tU/\lambda = 0.25$



Statistically steady
turbulence

Upper layer PV

$L = 50\pi \times \lambda$

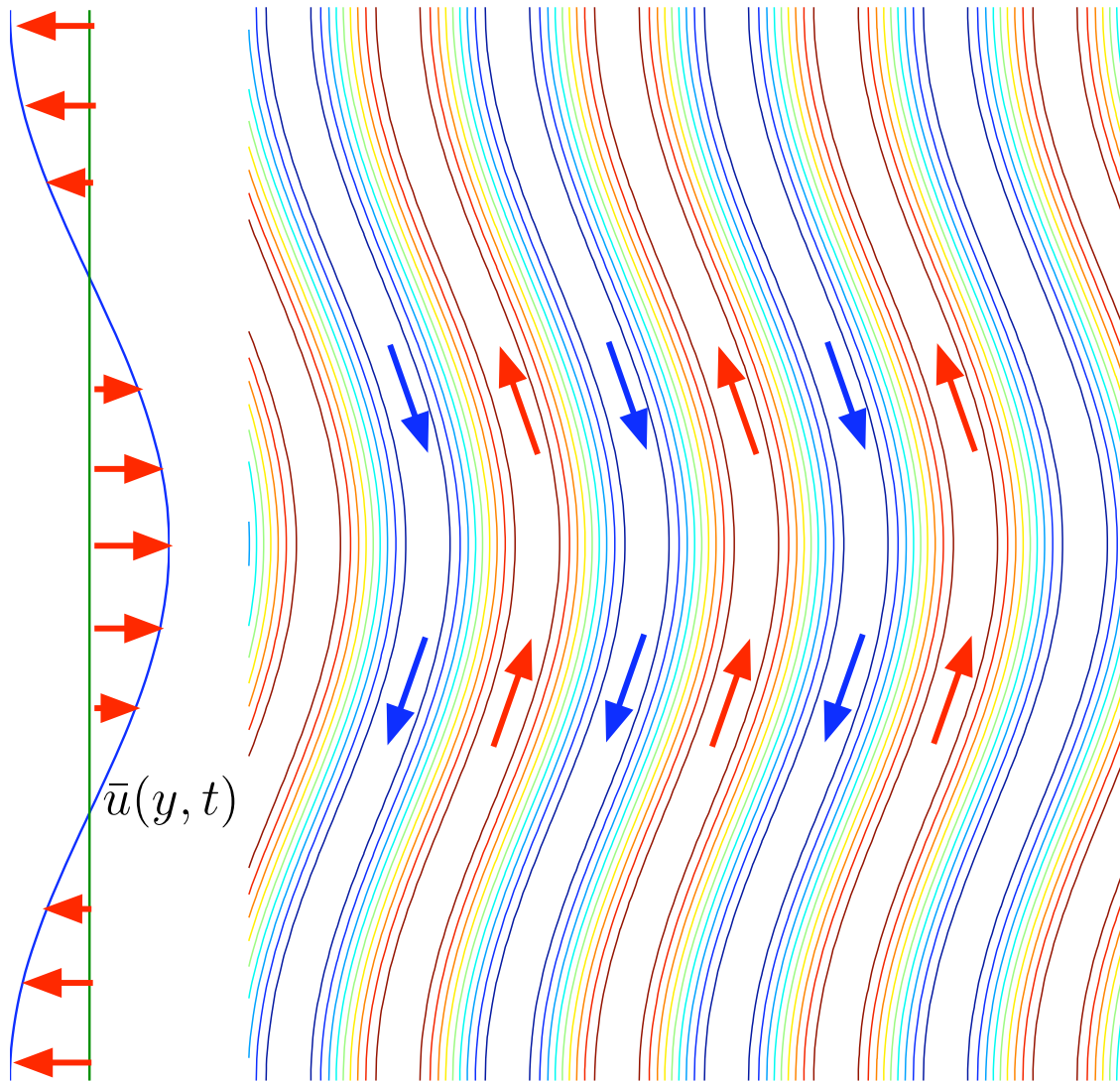
u_{bar}/U

Barotropic zonal

mean flow $\bar{u}(y, t) \sim 10U$

(Williams 1979, Panetta 1993)

Physical basis of negative viscosity



$$\overline{v'u'} < 0$$

$$\bar{u}_y < 0$$

$$\bar{u}_t + (\overline{u'v'})_y = -\kappa\bar{u}$$

$$\overline{v'u'} > 0$$

$$\bar{u}_y > 0$$

Weak zonal distortion of the elevator mode un-mixes momentum and so maintains the jets against bottom drag.

$$\psi' = a \cos [k (x - \bar{u}(y)t)] \longrightarrow \overline{u'v'} \sim +\frac{1}{2}(ka)^2 t \bar{u}_y \longrightarrow \bar{u}_t = -\frac{1}{2}(ka)^2 t \bar{u}_{yy} - \kappa\bar{u}$$

The Kolmogorov problem



An illustrative model of **negative viscosity** is the stability of:

$$\Psi = -\Psi_{\max} \cos kx, \quad V = \Psi_x = k\Psi_{\max} \sin kx$$

The 2D Navier-Stokes equation is:

$$\nabla^2 \psi_t + R_c(1 + \epsilon^2) \sin x (\nabla^2 \psi + \psi)_y + J(\psi, \nabla^2 \psi) + \beta \psi_x = \nabla^4 \psi - \kappa \nabla^2 \psi$$

↑ differential rotation
and bottom drag

This flow is unstable (in d=2) if:

$$R \equiv \frac{\Psi_{\max}}{\nu} > R_c = \sqrt{2}$$

(Meshalkin & Sinai 1961)

The Kolmogorov problem - continued

The weakly nonlinear expansion of:


$$\nabla^2 \psi_t + R_c(1 + \epsilon^2) \sin x (\nabla^2 \psi + \psi)_y + J(\psi, \nabla^2 \psi) + \beta \psi_x = \nabla^4 \psi - \kappa \nabla^2 \psi$$

starts like this:

$$\psi = \underbrace{A(\epsilon y, \epsilon^4 t)}_{Y \text{ \& } T} + \epsilon \sin x R_c A_Y + O(\epsilon)^2$$

Again we obtain the **Cahn-Hilliard** equation:

$$U_T = -\mu U - \left[(2 - \beta^2)U + 3U_{YY} + 2\beta U^2 - \frac{2}{3}U^3 \right]_{YY}$$



Notation: $U \equiv -A_Y$

The Taylor-Prandtl debate: mix momentum or vorticity?

The Kolmogorov problem - continued

The weakly nonlinear expansion of:


$$\nabla^2 \psi_t + R_c(1 + \epsilon^2) \sin x (\nabla^2 \psi + \psi)_y + J(\psi, \nabla^2 \psi) + \beta \psi_x = \nabla^4 \psi - \kappa \nabla^2 \psi$$

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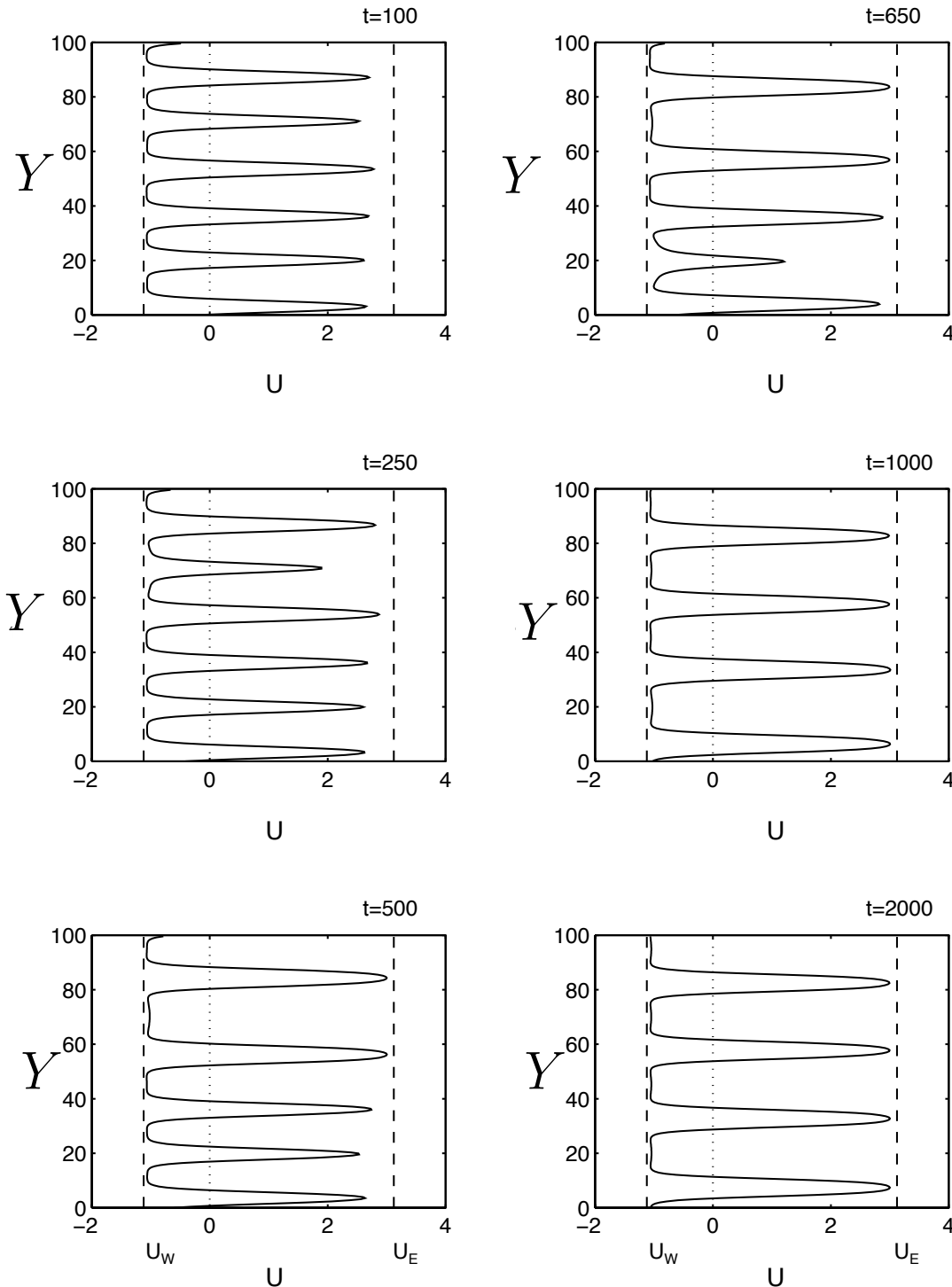
Notation: $U \equiv -A_Y$

The Taylor-Prandtl debate: mix momentum or vorticity?
One point to Prandtl for the weakly nonlinear case above.

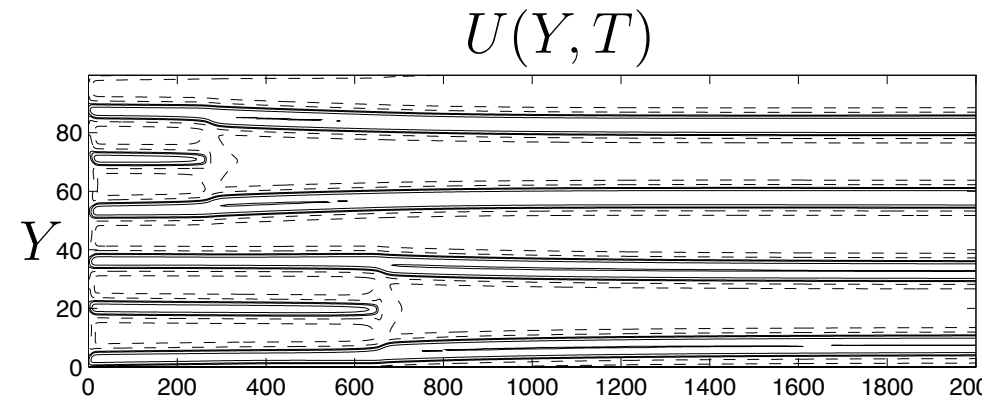
(Sivashinsky 1985, Manfroi & Young 1999).

Solution of the CH equation, $\beta=1$

$$U_T = -\frac{1}{100}U - \left[U + 3U_{YY} + 2U^2 - \frac{2}{3}U^3 \right]_{YY}$$




- (1) Initial jet spacing according to linear instability.
- (2) Jet spacing increases due to merger.
- (3) Mergers are halted by bottom drag (not the Rhines scale).
- (4) E-W asymmetry due to U^2 .





Back to Baroclinic instability

The mechanical energy budget is: $U \lambda^{-2} \underbrace{\langle \psi_x \tau \rangle}_{=DU} \approx \underbrace{\kappa \langle |\nabla \psi_2|^2 \rangle}_{=\varepsilon}$

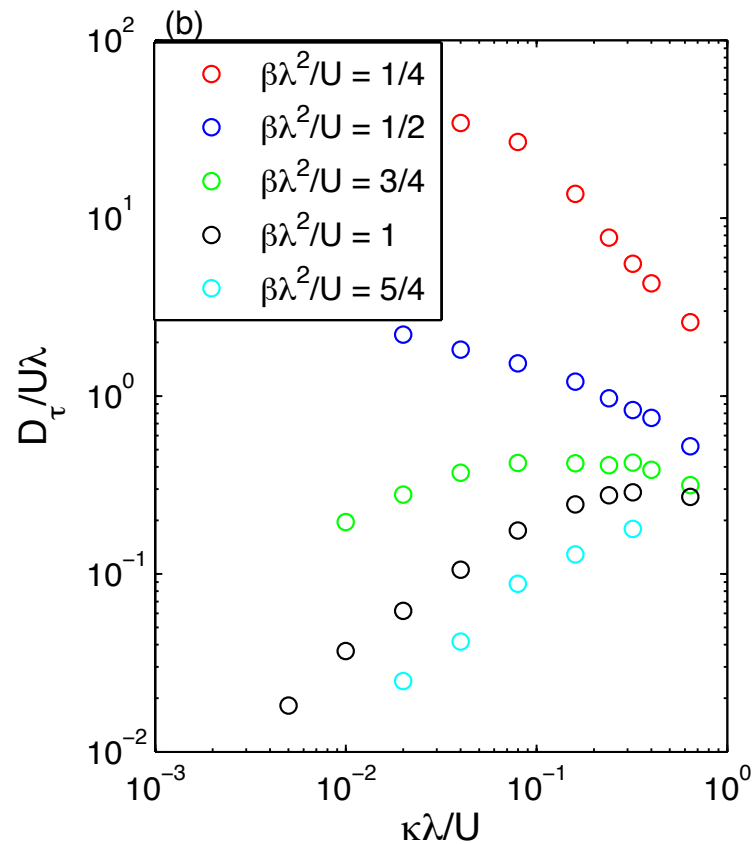
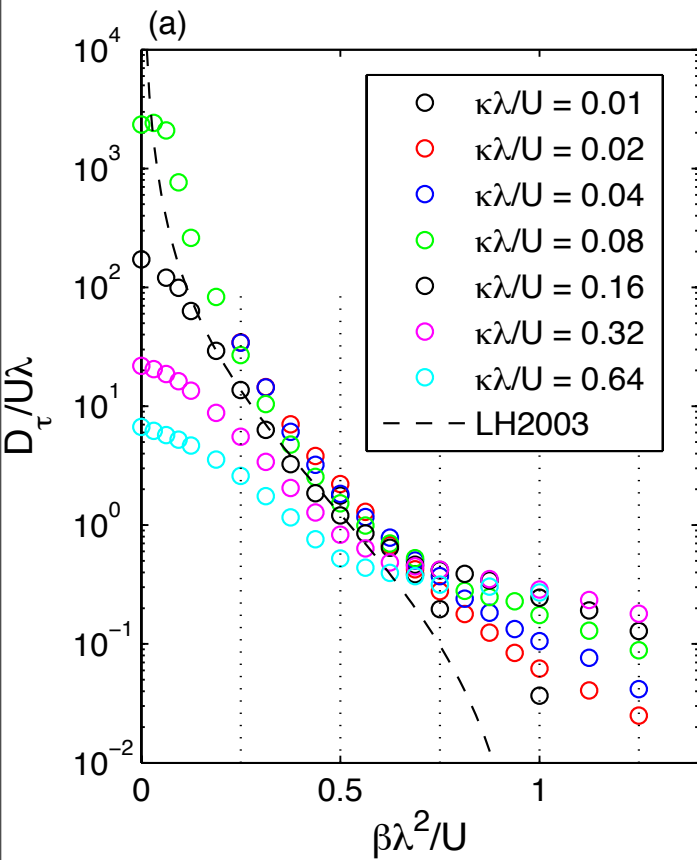
Dimensional analysis (HH 1980): $D = U \lambda \times D_* \left(\frac{\lambda}{L}, \frac{\kappa \lambda}{U}, \frac{\beta \lambda^2}{U}, \frac{\nu}{U L^7} \right)$

Always important 

Small and unimportant  

The leading contender (LH 2003): $D_{\tau}^{LH03} = U \lambda \times 1.75 \beta_*^{-2} (1 - \beta_*)^{5/2}$

Note: no dependence on bottom drag and no zonal jets.....



100 simulations
 $L = 50\pi \times \lambda$

A satisfactory
 theory for D
 must include
 bottom drag.....

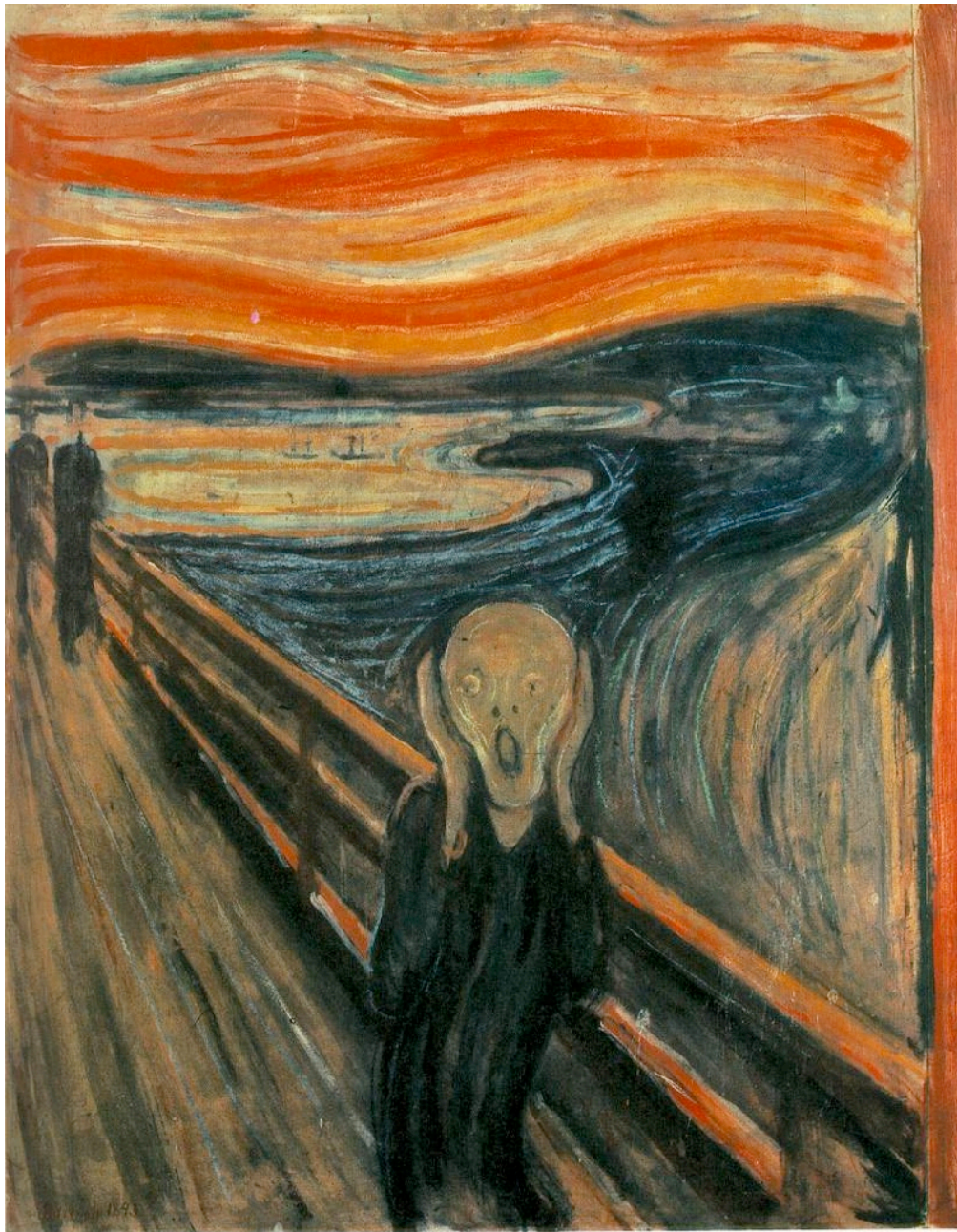
$$D = U\lambda \times D_* \left(\frac{\lambda}{L}, \frac{\kappa\lambda}{U}, \frac{\beta\lambda^2}{U}, \frac{\nu}{UL^7} \right)$$

e.g., $D_{\tau}^{LH03} = U\lambda \times 1.75\beta_*^{-2}(1 - \beta_*)^{5/2}$

Conclusion

- Is turbulence stable? Not always.
- For experiments like PWG there is an almost-convincing theory (BLSY).
- There is no equivalent theory for baroclinic turbulence problem. *why?*
- Rhines scaling for the jets doesn't work either *what!*

Conclusion



- Is turbulence stable? Not always.
- For experiments like PWG there is an almost-convincing theory (BLSY).
- There is no equivalent theory for baroclinic turbulence problem. *why?*
- Rhines scaling for the jets doesn't work either *what!*

Taylor's identity:

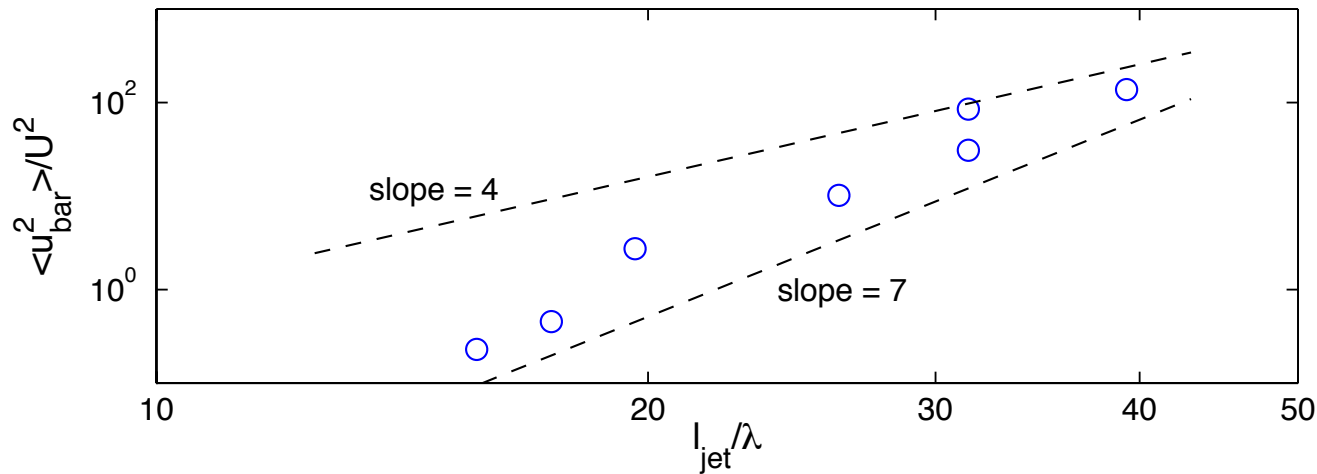
$$\overline{v_1'q_1'} + \overline{v_2'q_2'} = (\overline{u_1'v_1'} + \overline{u_2'v_2'})_y \quad \Rightarrow \quad \int \overline{v_1'q_1'} + \overline{v_2'q_2'} dy = 0$$

is incompatible with PV diffusion:

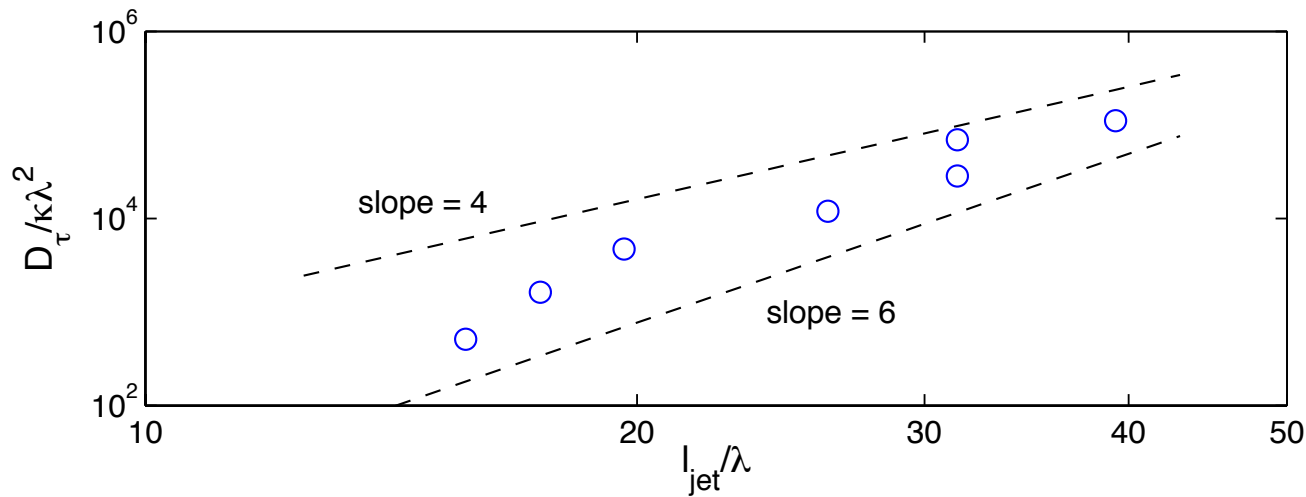
$$\overline{v_1'q_1'} = -D_1\bar{q}_{1y} \quad \overline{v_2'q_2'} = -D_2\bar{q}_{2y}, \quad D_n = \sqrt{el}?$$

Rhines scaling?

$$u_J \sim \beta \ell_J^2 \Rightarrow \frac{\langle \bar{u}^2 \rangle}{U^2} \sim \beta_*^2 \left(\frac{\ell_J}{\lambda} \right)^4$$

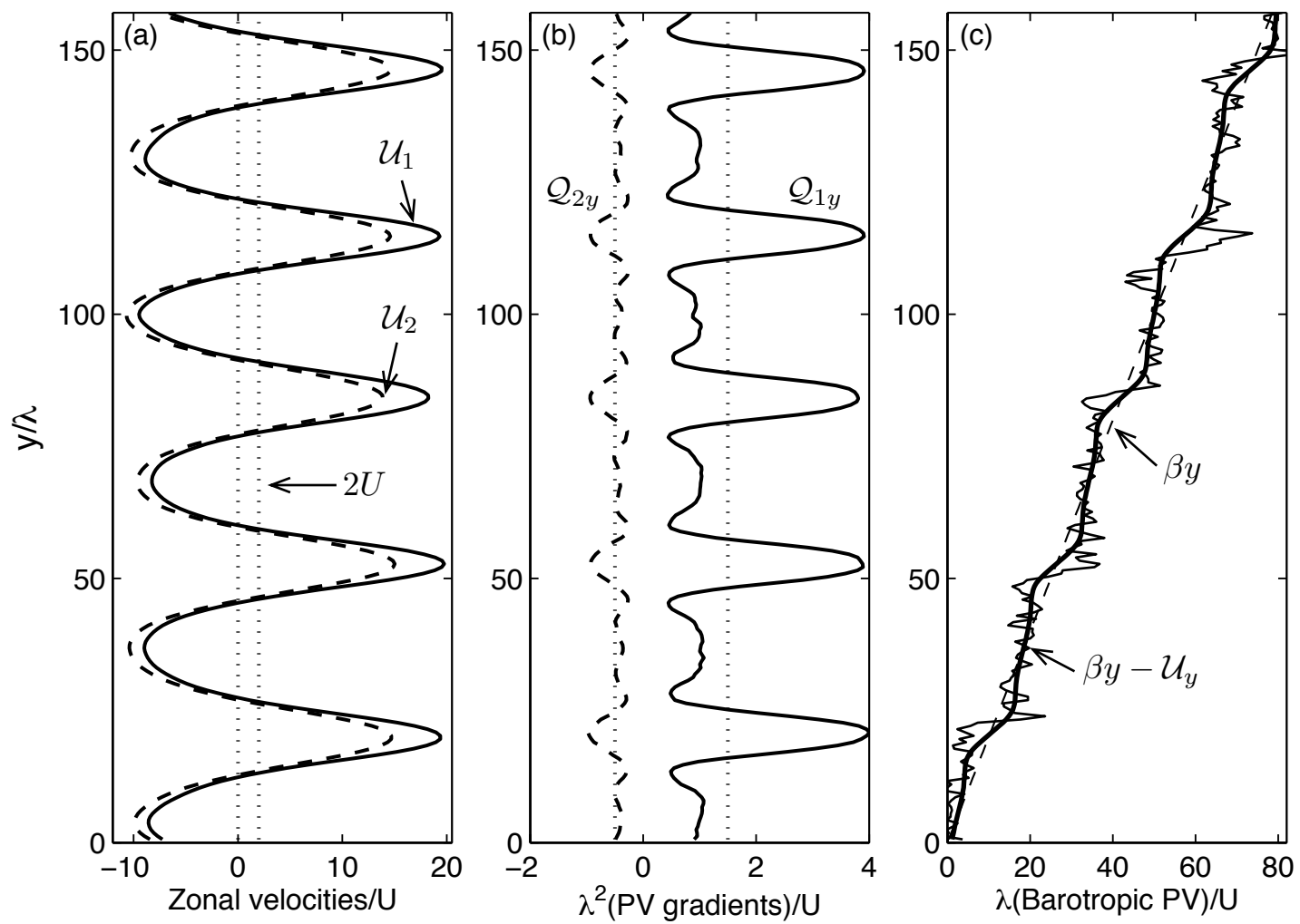


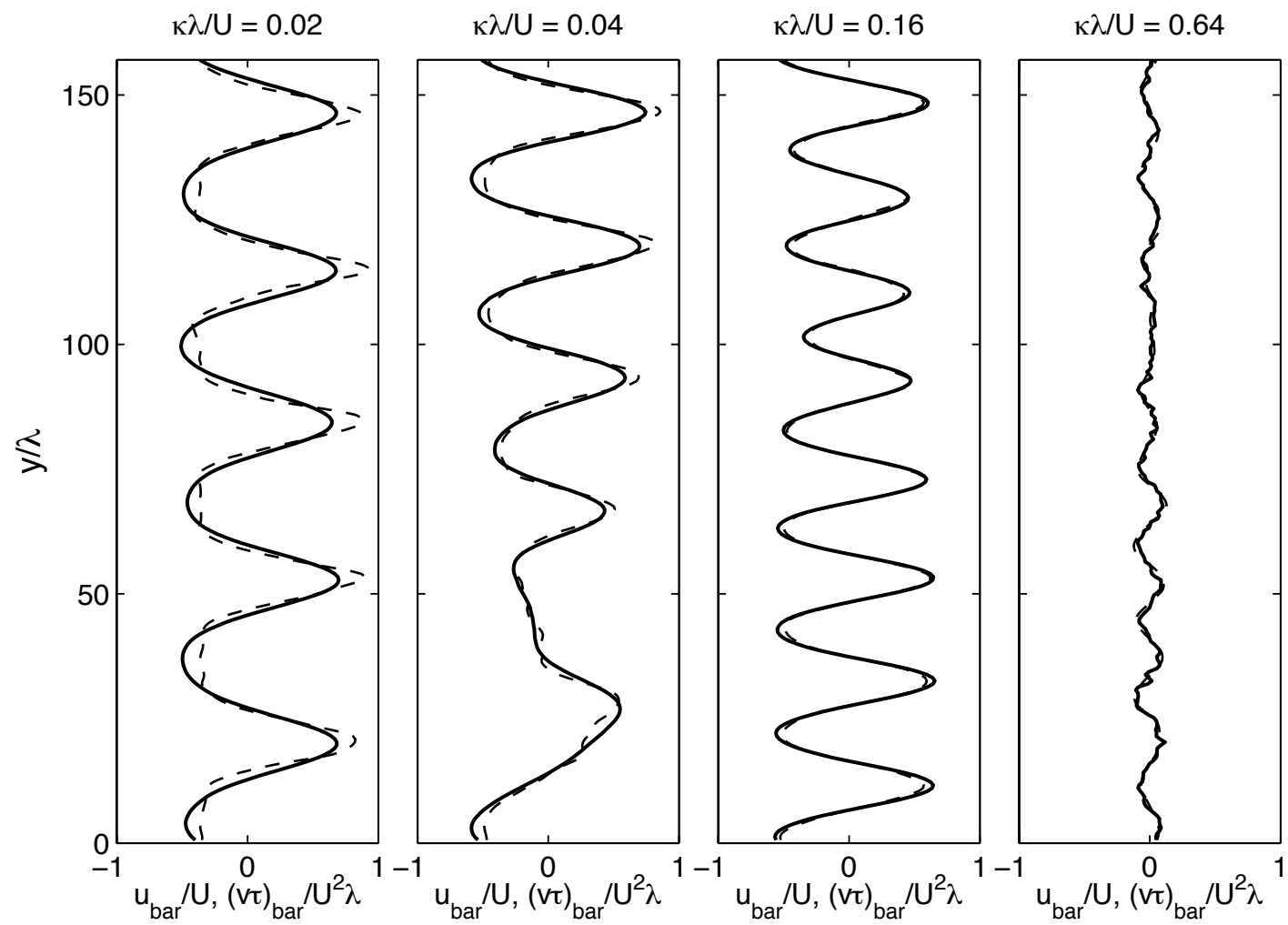
$$\beta_* = 1/2$$



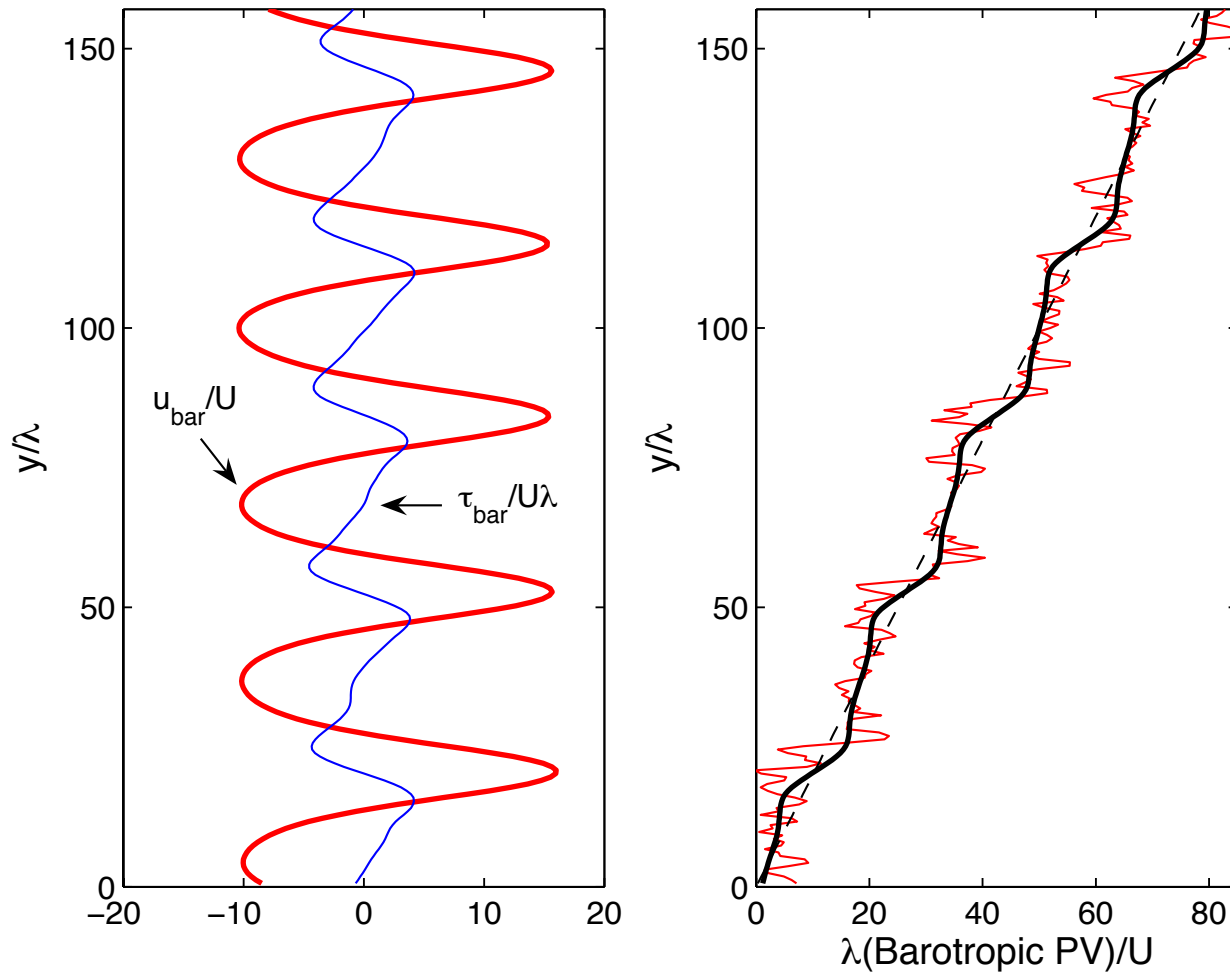
Another consequence
of Rhines scaling:

$$U^2 D / \lambda^2 \approx \kappa \langle |\nabla \psi_2|^2 \rangle \approx \kappa \langle \bar{u}^2 \rangle \Rightarrow \frac{D}{\kappa \lambda^2} \sim \beta_*^2 \left(\frac{\ell_J}{\lambda} \right)^4$$





A PV staircase formed by partially mixing the β -ramp



$$\ell_J = 50\pi\lambda/5 = 10\pi\lambda$$

$$\frac{\beta\lambda^2}{U} = \frac{1}{2}$$

$$\frac{\kappa\lambda^2}{U} = \frac{1}{50}$$