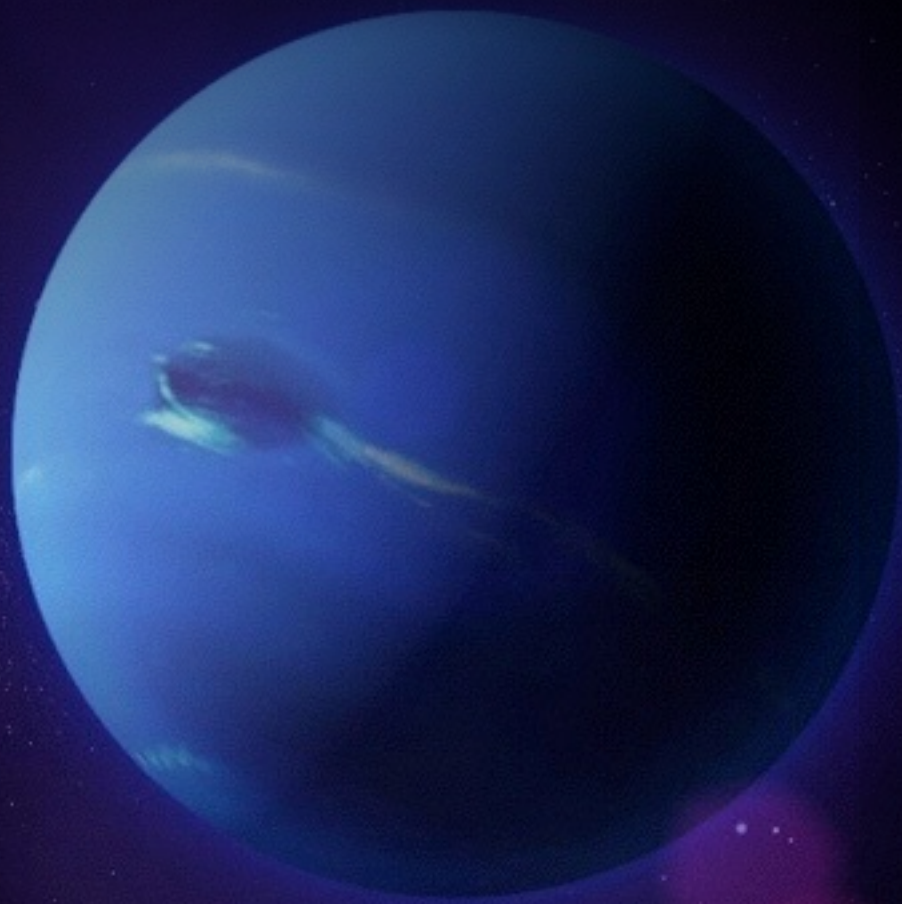


# the barotropic governor

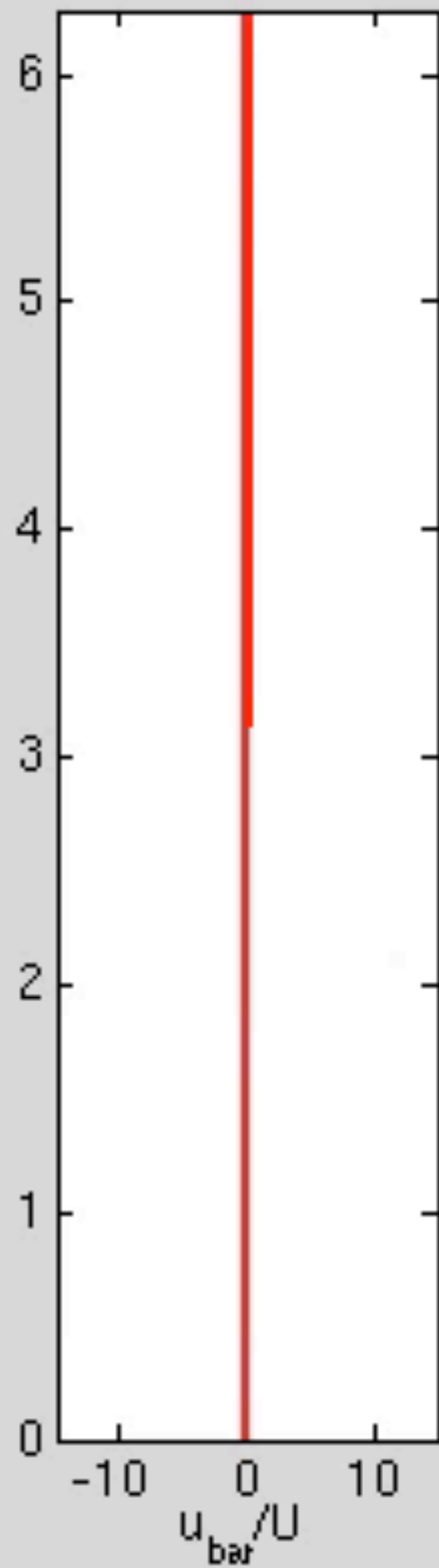
effects of horizontal shear

on baroclinic instability

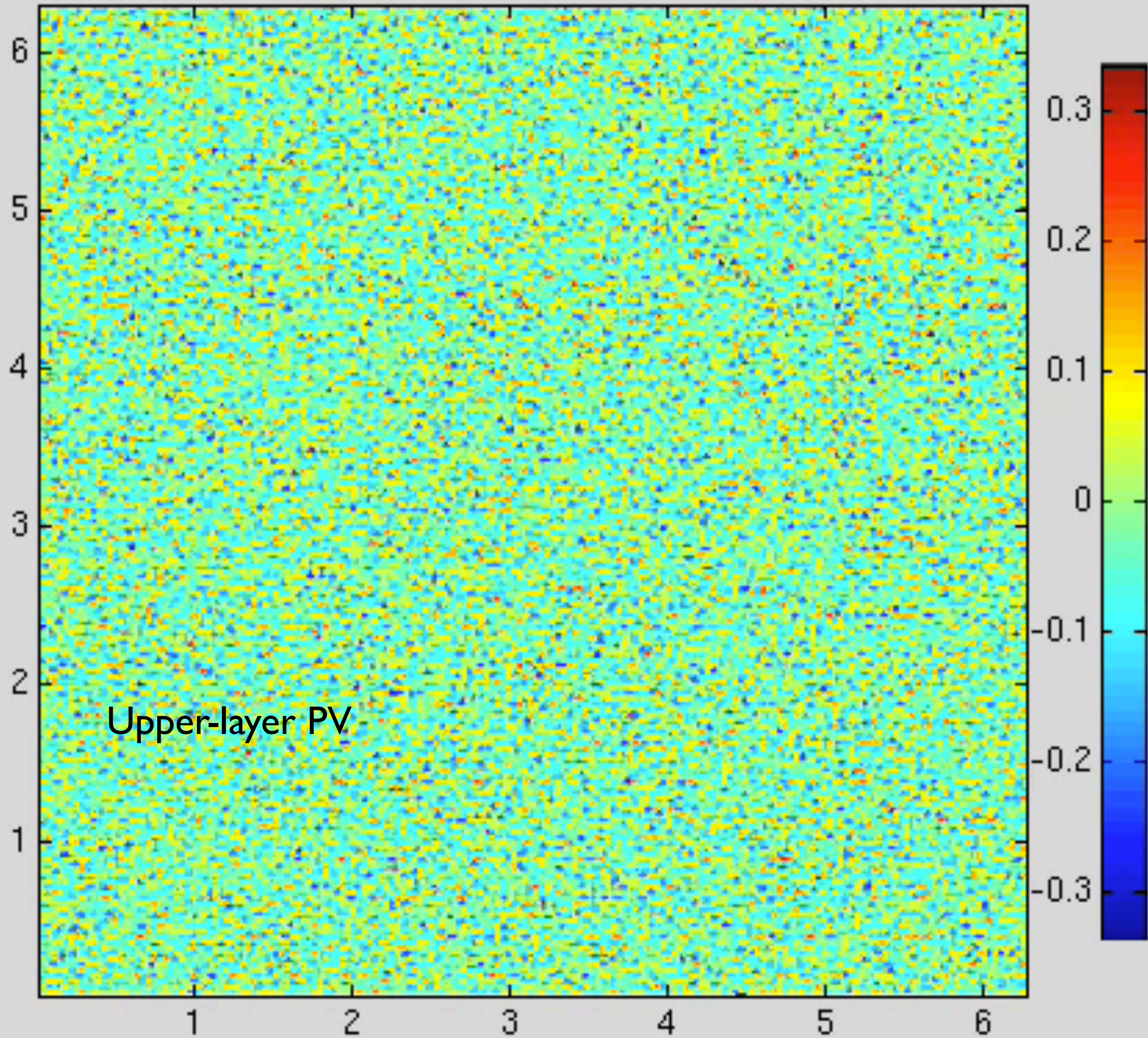




$\bar{u}(y, t)$

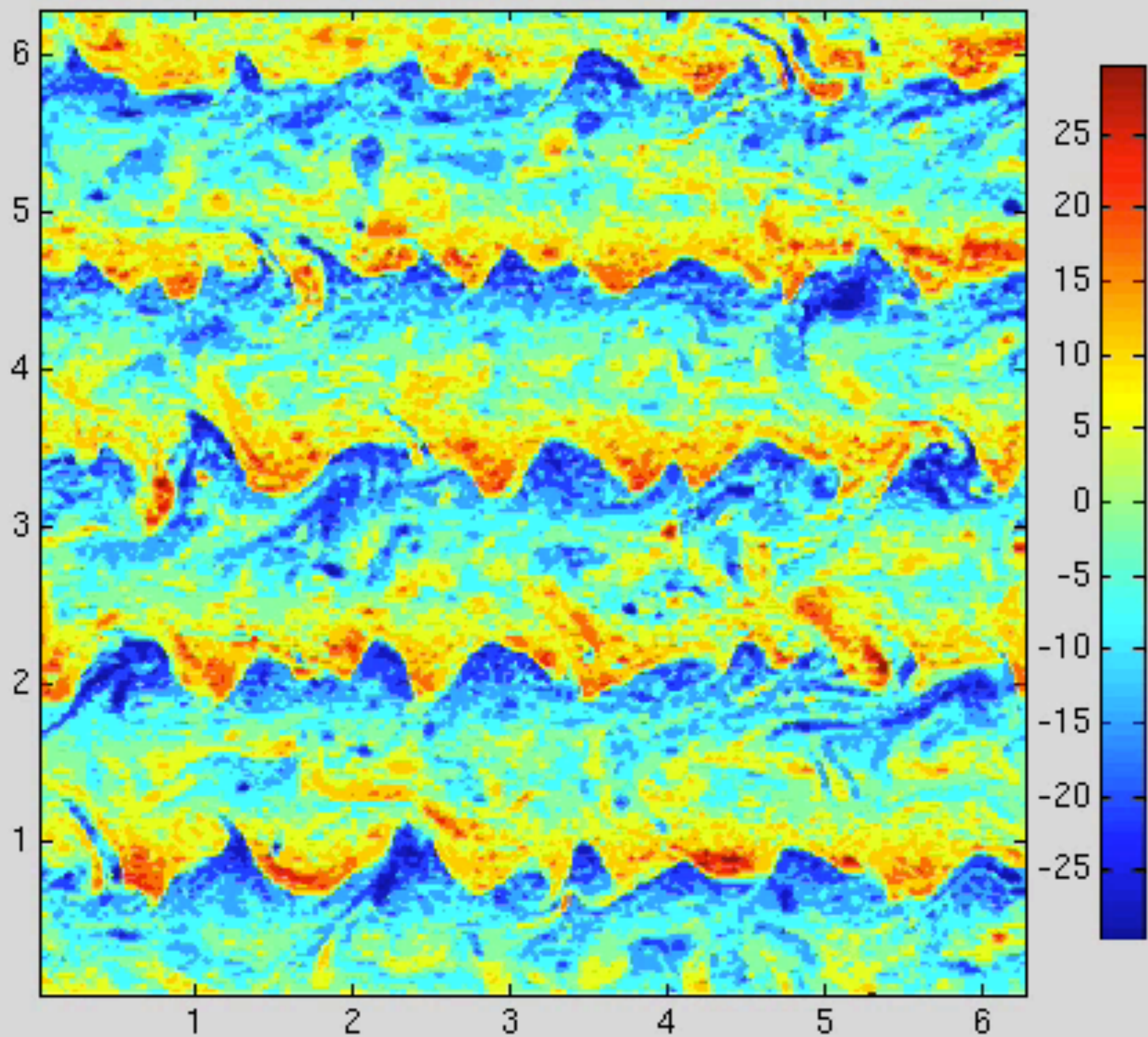
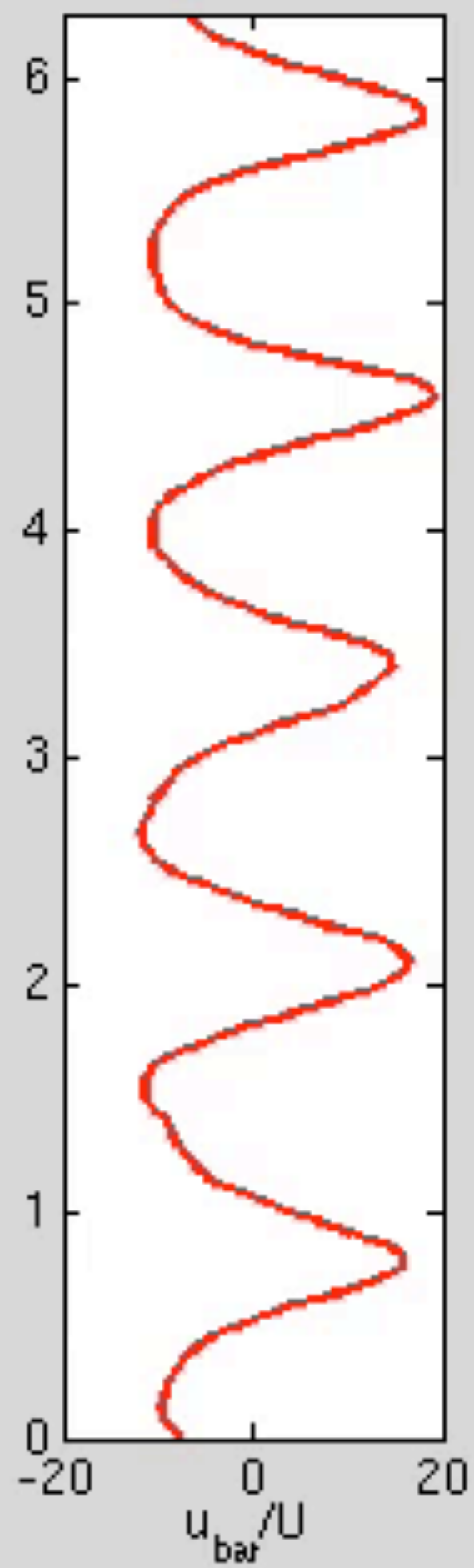


$tU/\lambda = 0.4$





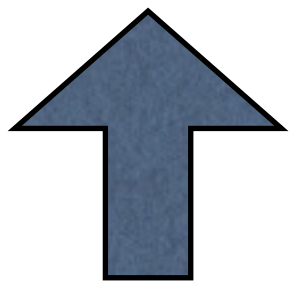
$tU/\lambda = 0.25$



# A curious observation

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*James and Gray 1986*: atmospheric flow on a hemisphere with surface drag



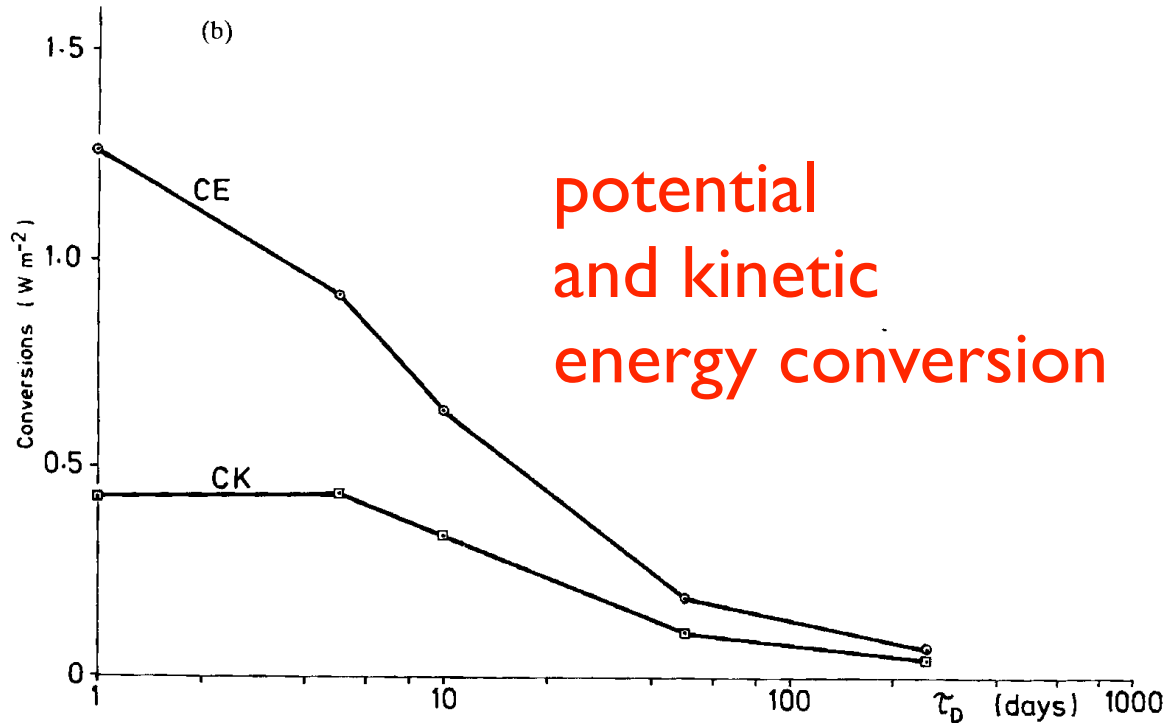
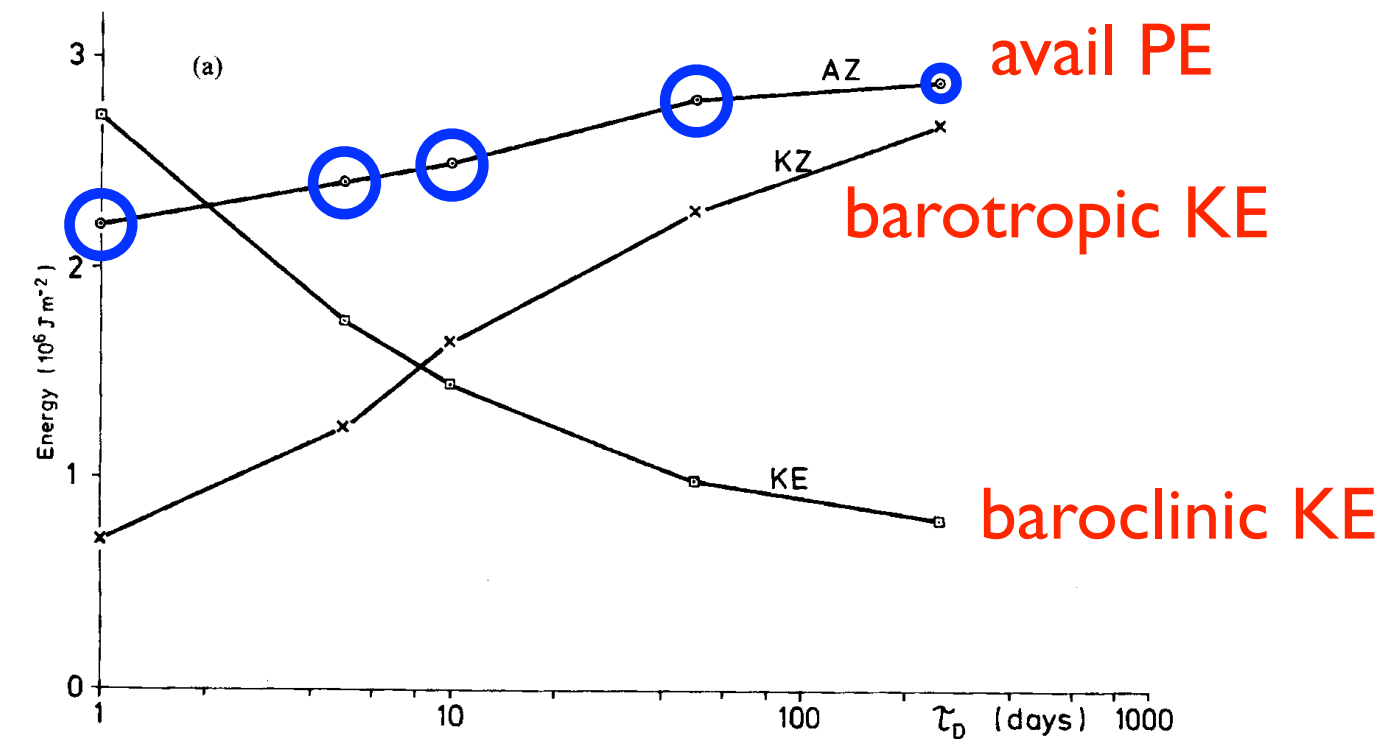
INCREASE surface drag



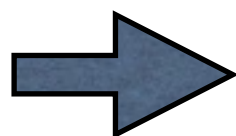
INCREASE baroclinic conversion

# model energetics

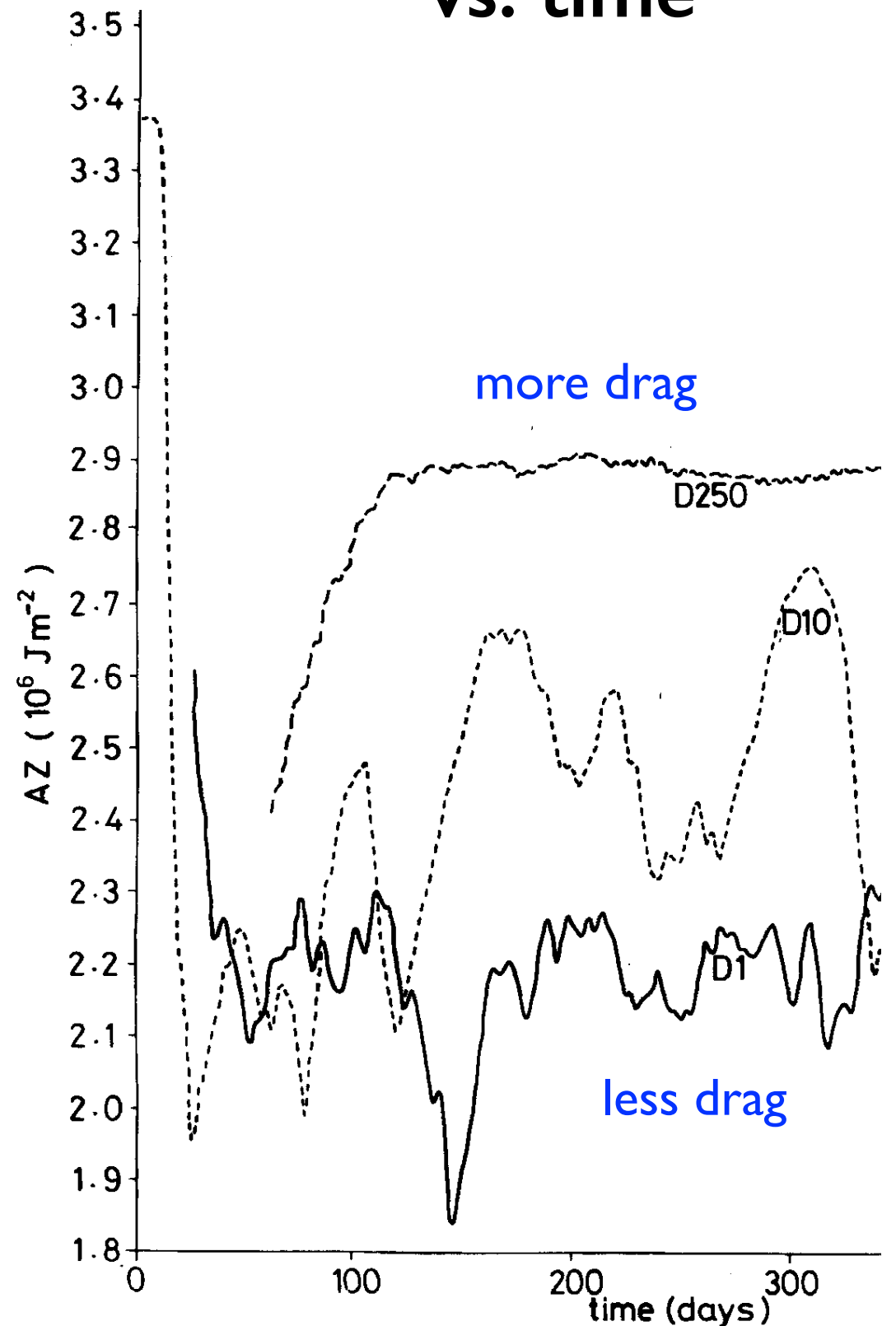
five values for drag



decreasing drag



# pot. energy vs. time





# Think about this

---

1.  $(U_y)$  shear deforms the growing unstable mode  $\psi \sim e^{\sigma t}$
2. if  $U_y > \sigma$ , normal modes change significantly
3. NO shear  $\Rightarrow$  instability optimally extracts energy
4. so with shear, energy conversion must decrease

# Or think about energy

---

$$\frac{\partial E}{\partial t} = -g^{-1} \int \underbrace{\overline{u'v'}}_{\text{eddy KE to barotropic KE conversion}} U_y + \left( \frac{Rk_R^2}{f_0} \right) \underbrace{\overline{v'T'}}_{\text{baroclinic conversion}} \bar{T}_y dx$$

eddy  
energy

eddy KE to  
barotropic KE  
conversion

baroclinic  
conversion

$$\overline{u'v'} U_y > 0 \implies \text{perturbation energy given up to mean flow!}$$

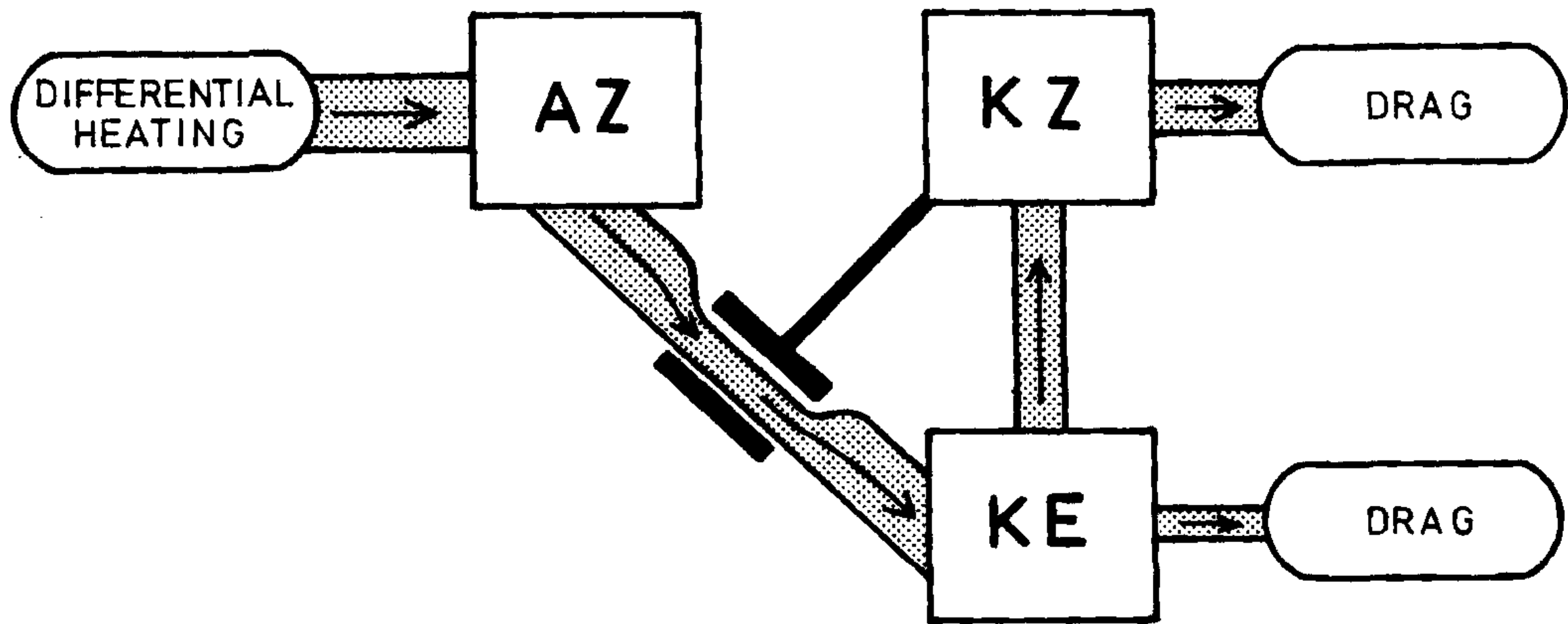


Figure 14. Schematic diagram of the 'barotropic governor'. Energy conversion into KE and eventually KZ is balanced by drag. However, the vigour of baroclinic conversions are reduced as KZ increases due to strong horizontal shears inhibiting the baroclinic instability process.



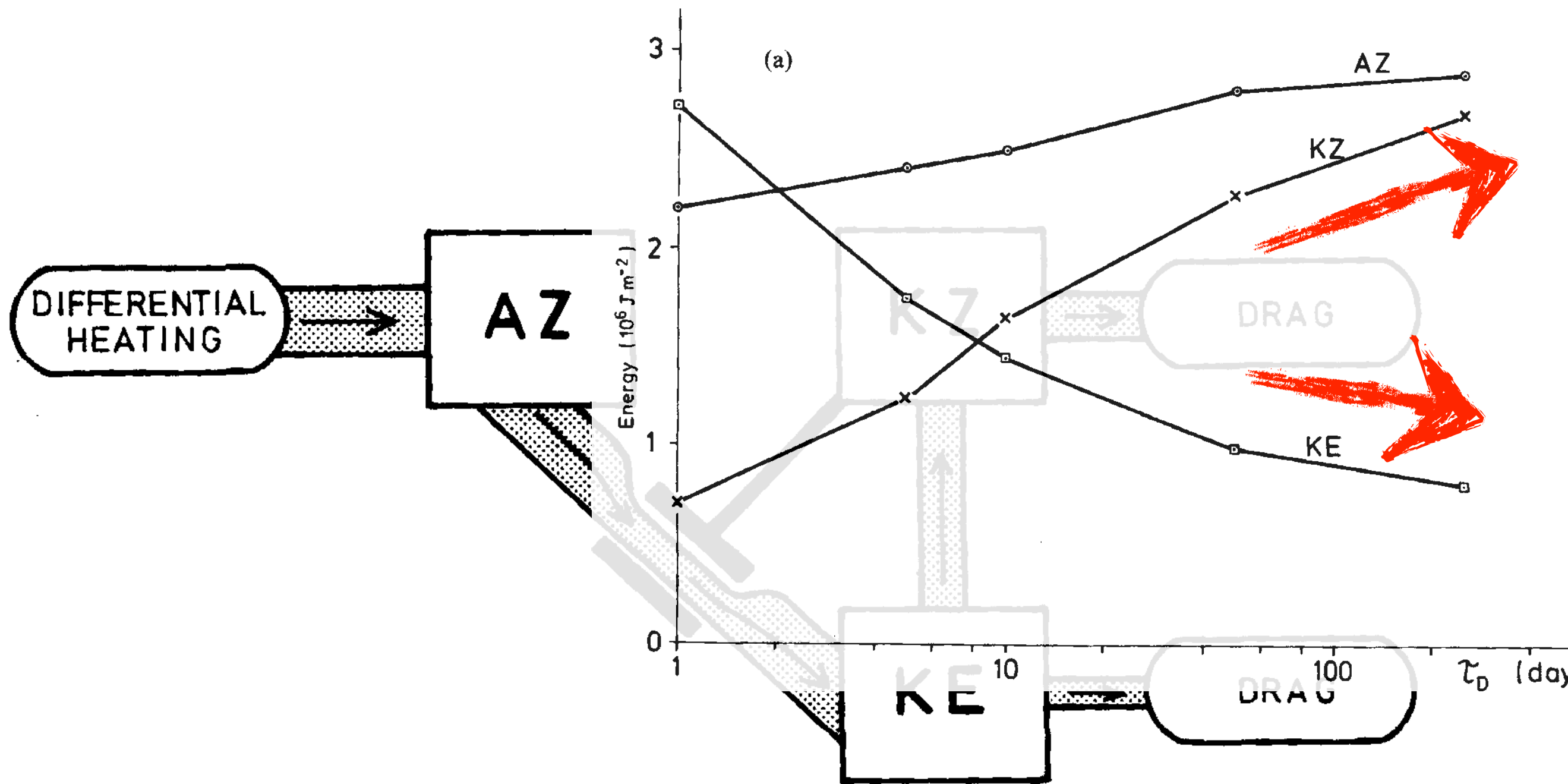


Figure 14. Schematic diagram of the 'barotropic governor'. Energy conversion into KE and eventually KZ is balanced by drag. However, the vigour of baroclinic conversions are reduced as KZ increases due to strong horizontal shears inhibiting the baroclinic instability process.

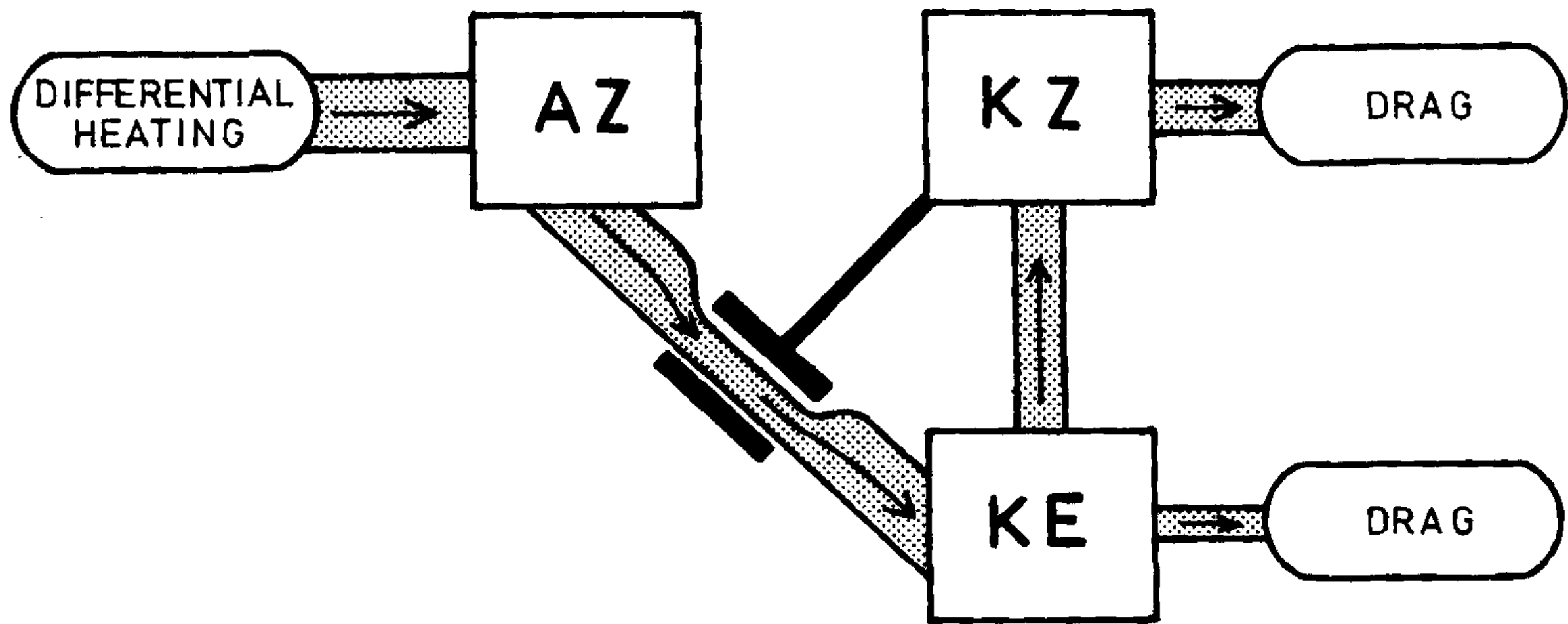


Figure 14. Schematic diagram of the 'barotropic governor'. Energy conversion into KE and eventually KZ is balanced by drag. However, the vigour of baroclinic conversions are reduced as KZ increases due to strong horizontal shears inhibiting the baroclinic instability process.



???

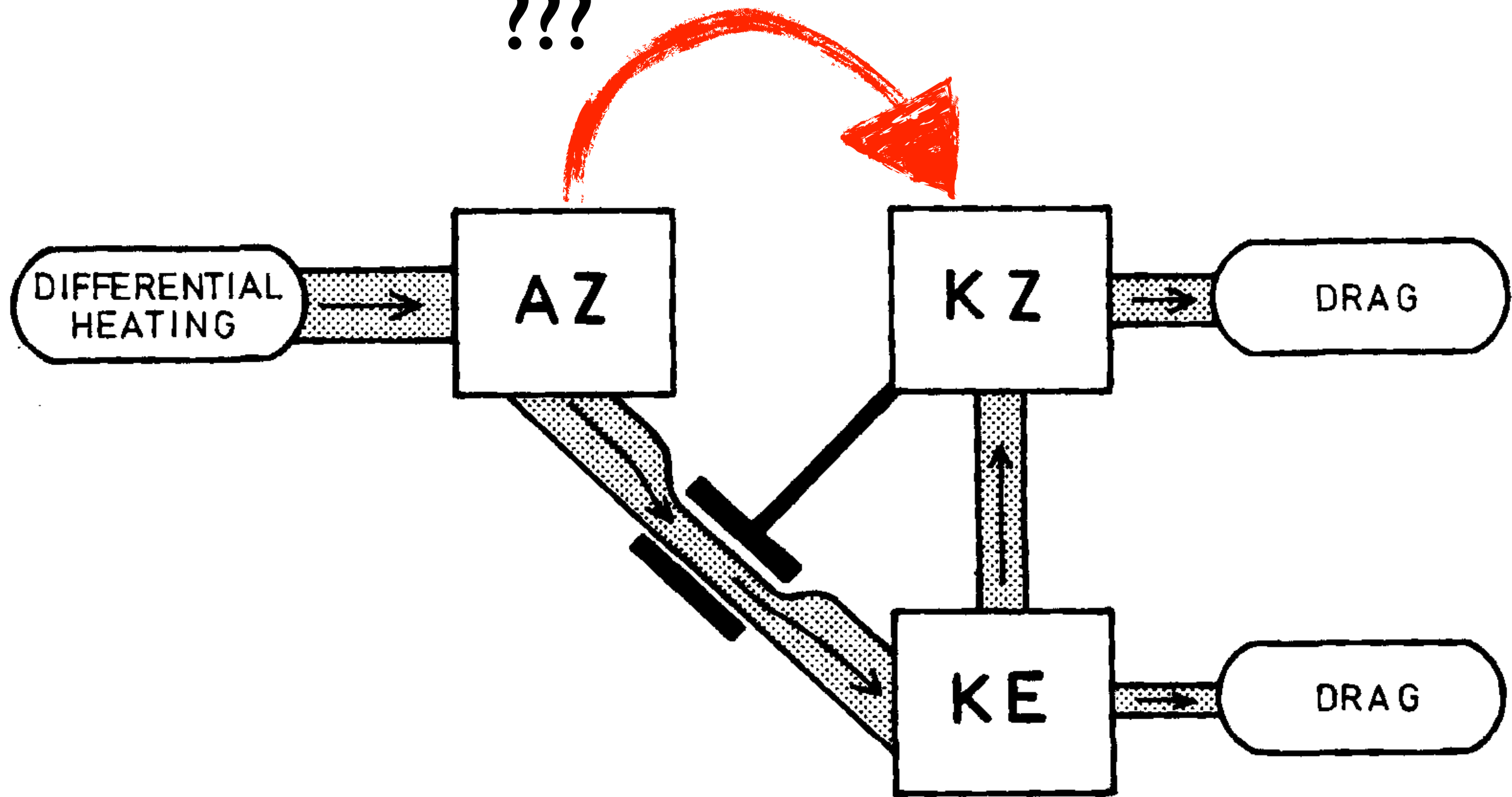


Figure 14. Schematic diagram of the 'barotropic governor'. Energy conversion into KE and eventually KZ is balanced by drag. However, the vigour of baroclinic conversions are reduced as KZ increases due to strong horizontal shears inhibiting the baroclinic instability process.

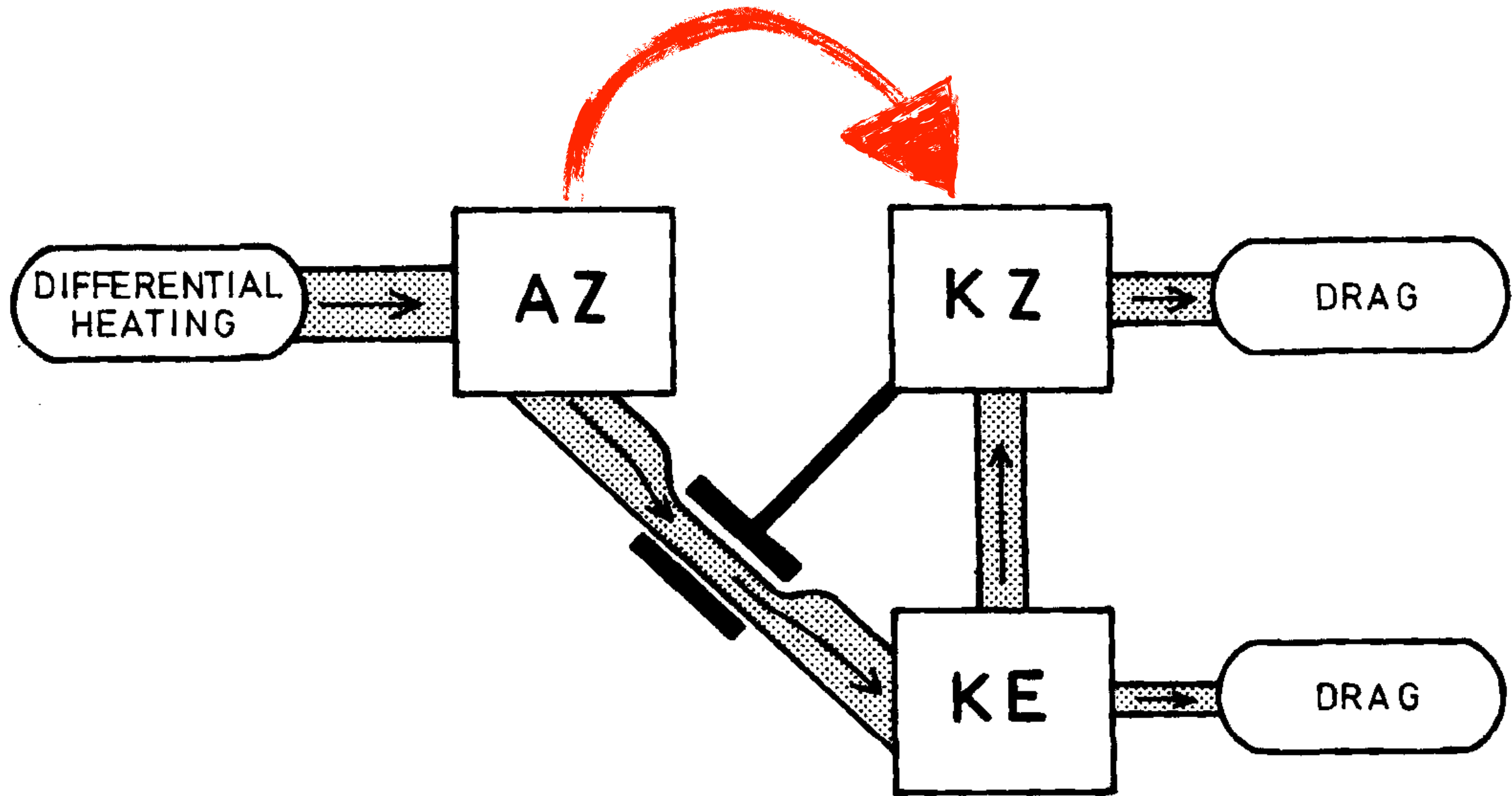


Figure 14. Schematic diagram of the 'barotropic governor'. Energy conversion into KE and eventually KZ is balanced by drag. However, the vigour of baroclinic conversions are reduced as KZ increases due to strong horizontal shears inhibiting the baroclinic instability process.

“... the normal modes contain the seeds of their own destruction...” – I.N. James

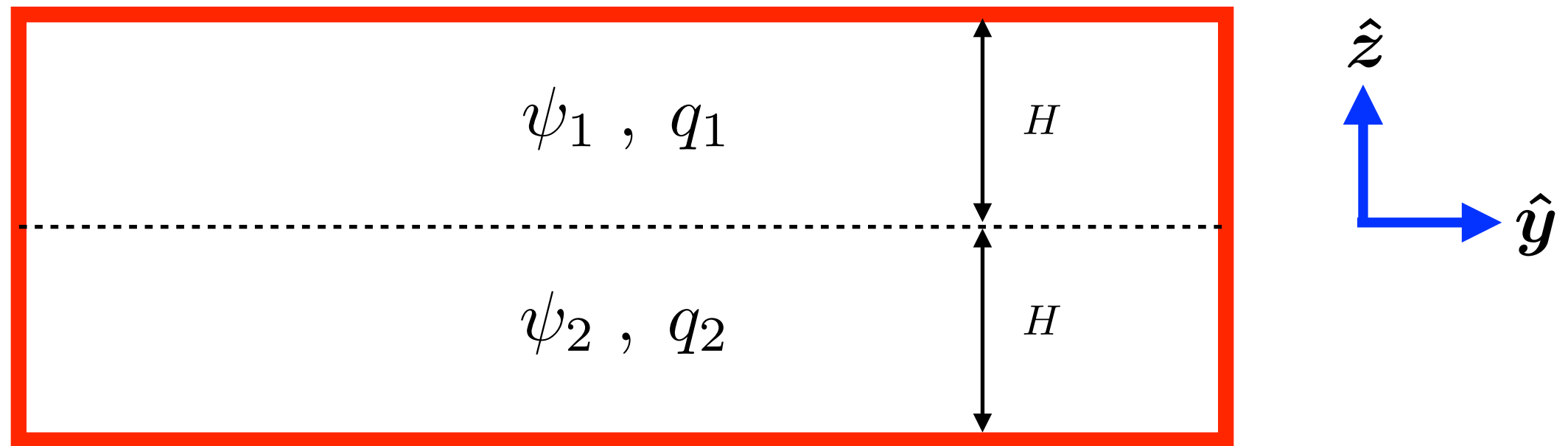


it's time to get

QUANTITATIVE

# The two layer model

---



linearize equations around  $U = U(y)\hat{x}$

always assuming  $\psi \sim e^{ikx}$



# Linearized equations

---

## Potential vorticity equations

$$q_{1t} + U_1 q_{1x} + \left( \beta - U_{1yy} \right) \psi_{1x} + k_R^2 \left( U_1 - U_2 \right) \psi_{1x} = 0$$

$$q_{2t} + U_2 q_{2x} + \left( \beta - U_{2yy} \right) \psi_{2x} - k_R^2 \left( U_1 - U_2 \right) \psi_{2x} = 0$$

## “Diagnostic” equations

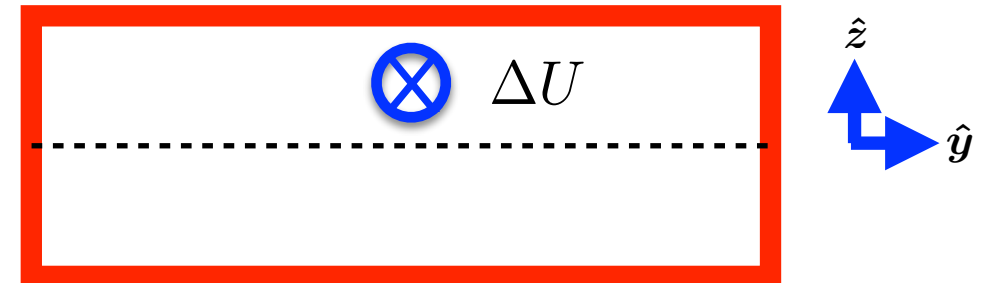
$$q_1 = \nabla^2 \psi_1 - k_R^2 \left( \psi_1 - \psi_2 \right)$$

$$q_2 = \nabla^2 \psi_2 + k_R^2 \left( \psi_1 - \psi_2 \right)$$

# Without shear

---

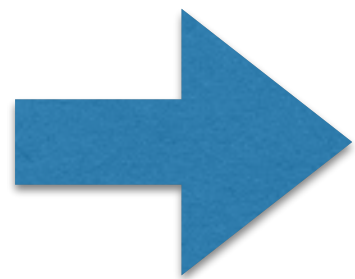
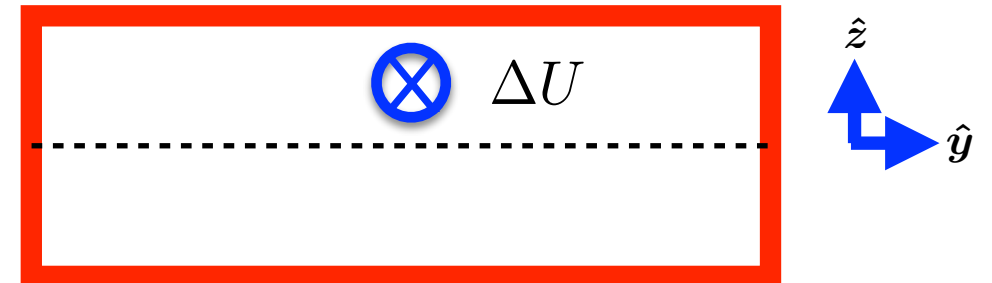
Use  $U_1 = \Delta U$ ,  $U_2 = 0$





# Without shear

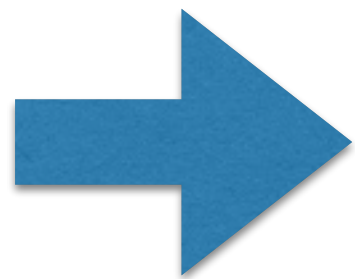
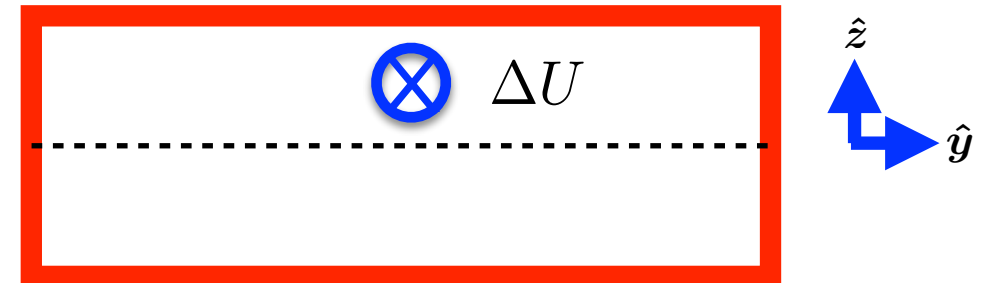
Use  $U_1 = \Delta U$ ,  $U_2 = 0$



$$\begin{aligned} q_{1t} + \Delta U q_{1x} + k_R^2 \Delta U \psi_{1x} &= 0 \\ q_{2t} - k_R^2 \Delta U \psi_{2x} &= 0 \end{aligned}$$

# Without shear

Use  $U_1 = \Delta U$ ,  $U_2 = 0$



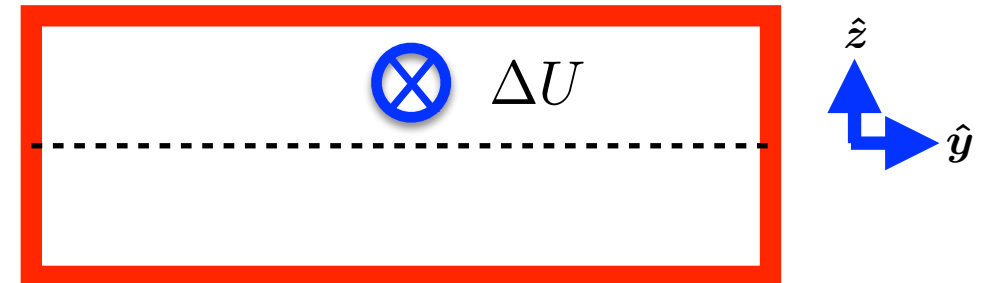
$$\begin{aligned} q_{1t} + \Delta U q_{1x} + k_R^2 \Delta U \psi_{1x} &= 0 \\ q_{2t} - k_R^2 \Delta U \psi_{2x} &= 0 \end{aligned}$$

**with**  $\psi_n = \phi_n e^{i(kx + \ell y) + \sigma t}$  **+**  $\begin{aligned} q_1 &= \nabla^2 \psi_1 - k_R^2 (\psi_1 - \psi_2) \\ q_2 &= \nabla^2 \psi_2 + k_R^2 (\psi_1 - \psi_2) \end{aligned}$  **=**  $\sigma = \sigma(k, \ell)$

diagnostic eqns eigenvalues

# Without shear

Use  $U_1 = \Delta U$ ,  $U_2 = 0$



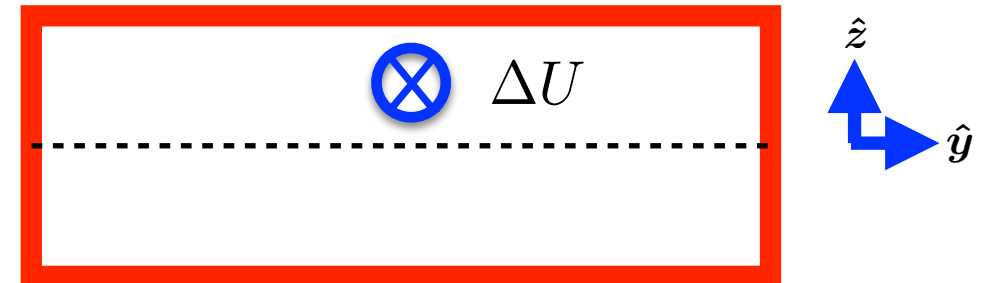
$$\psi \sim e^{i(kx + \ell y) + \sigma t}$$

$$\sigma = k\Delta U \left( \frac{2k_R^2 - k^2 - l^2}{2k_R^2 + k^2 + l^2} \right)^{1/2}$$

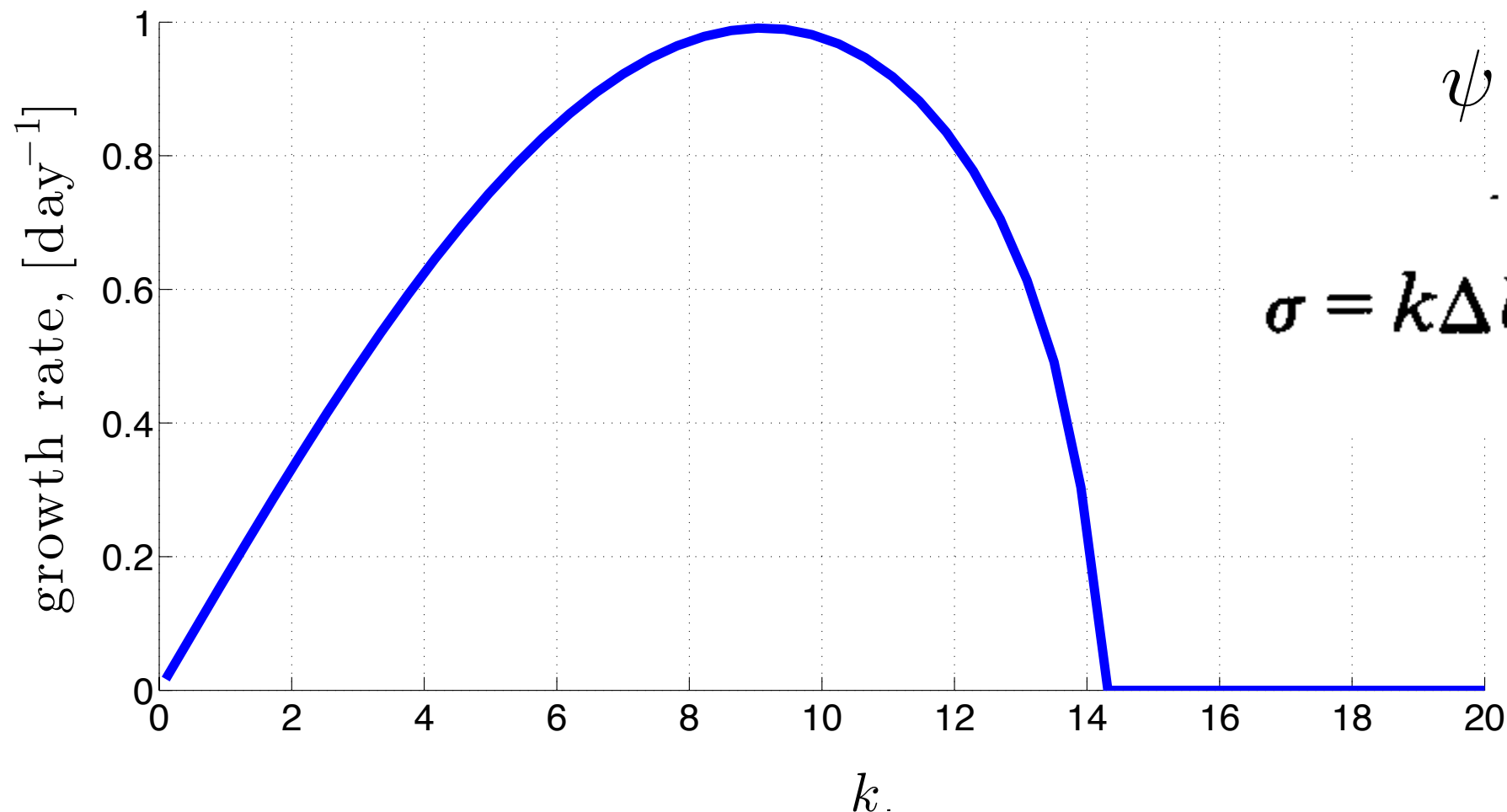


# Without shear

Use  $U_1 = \Delta U$ ,  $U_2 = 0$



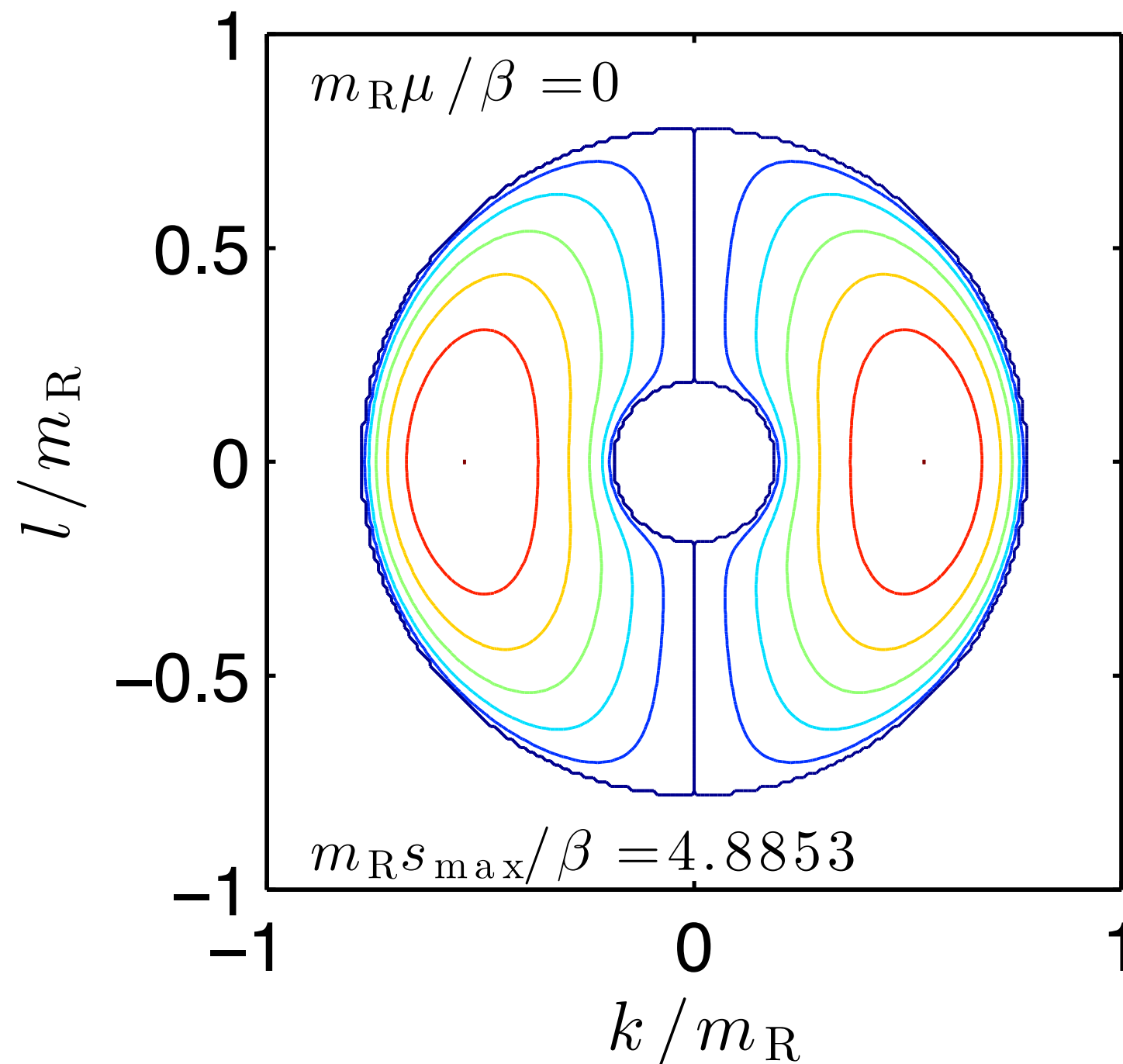
$k_R = 500 \text{ km}$ ,  $\Delta U = 20 \text{ m/s}$ ,  $\ell = 0$



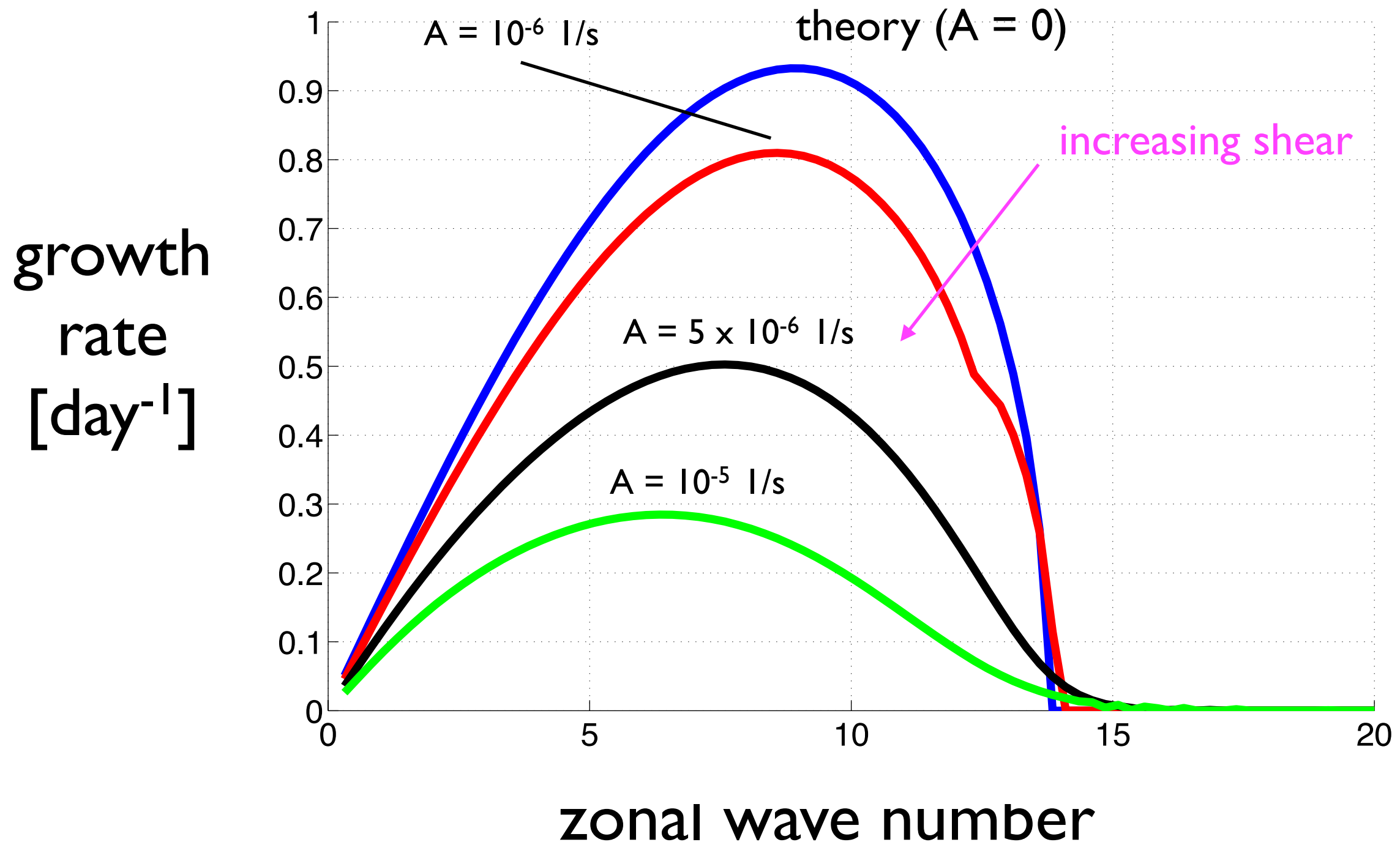
$$\psi \sim e^{i(kx + \ell y) + \sigma t}$$

$$\sigma = k \Delta U \left( \frac{2k_R^2 - k^2 - \ell^2}{2k_R^2 + k^2 + \ell^2} \right)^{1/2}$$

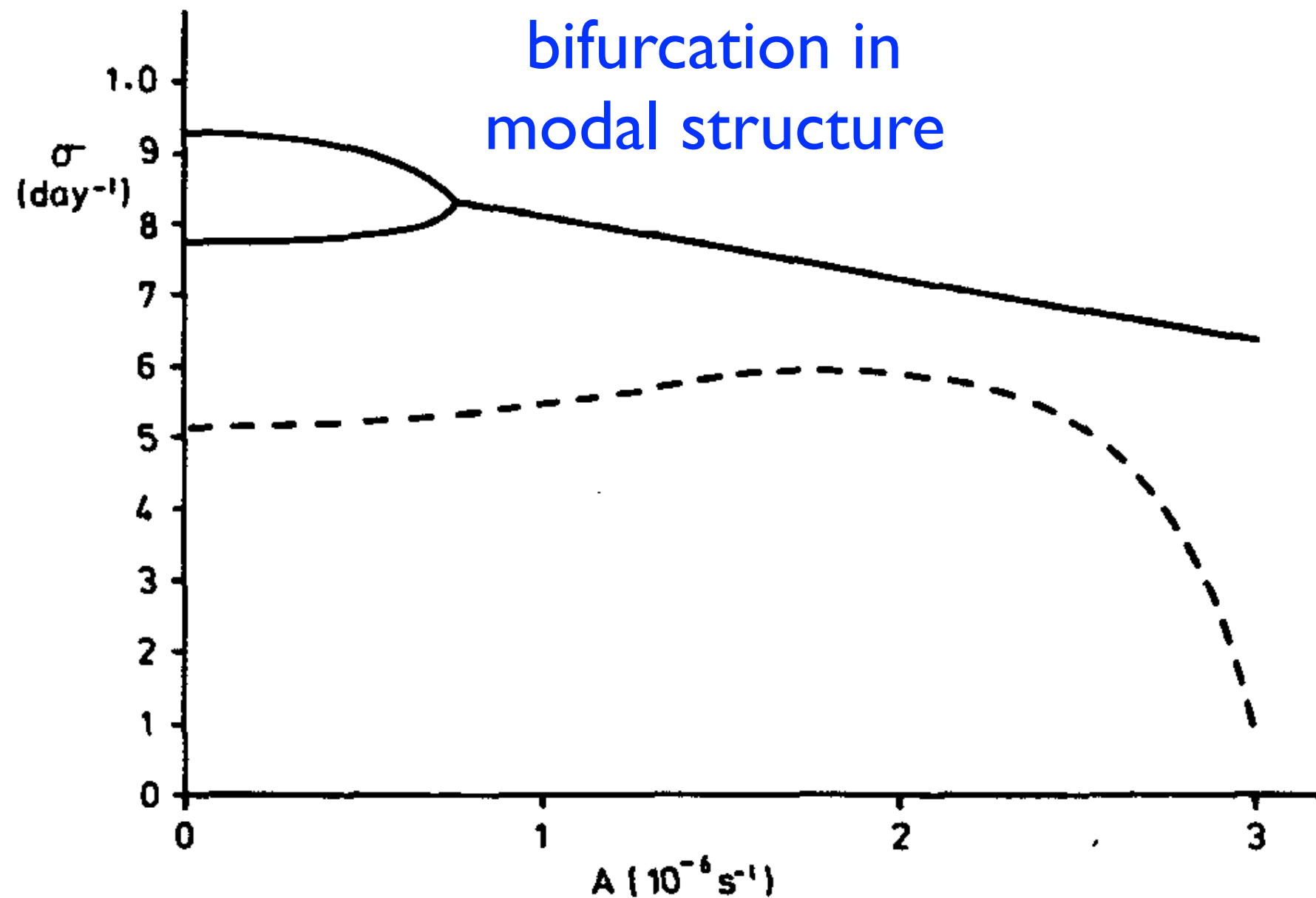
# Can add beta



# Add shear, and stir...



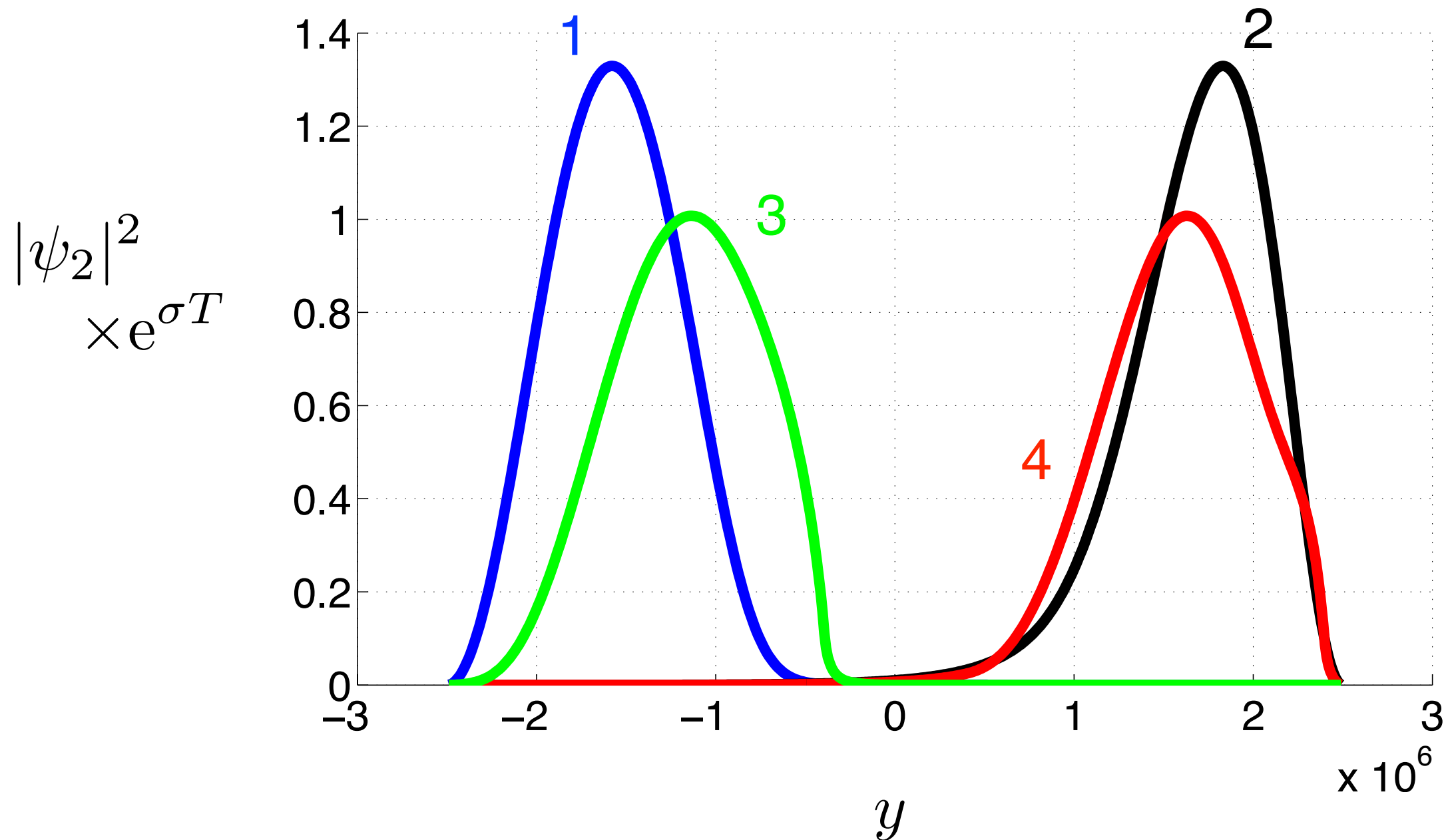
# Add shear, and stir...



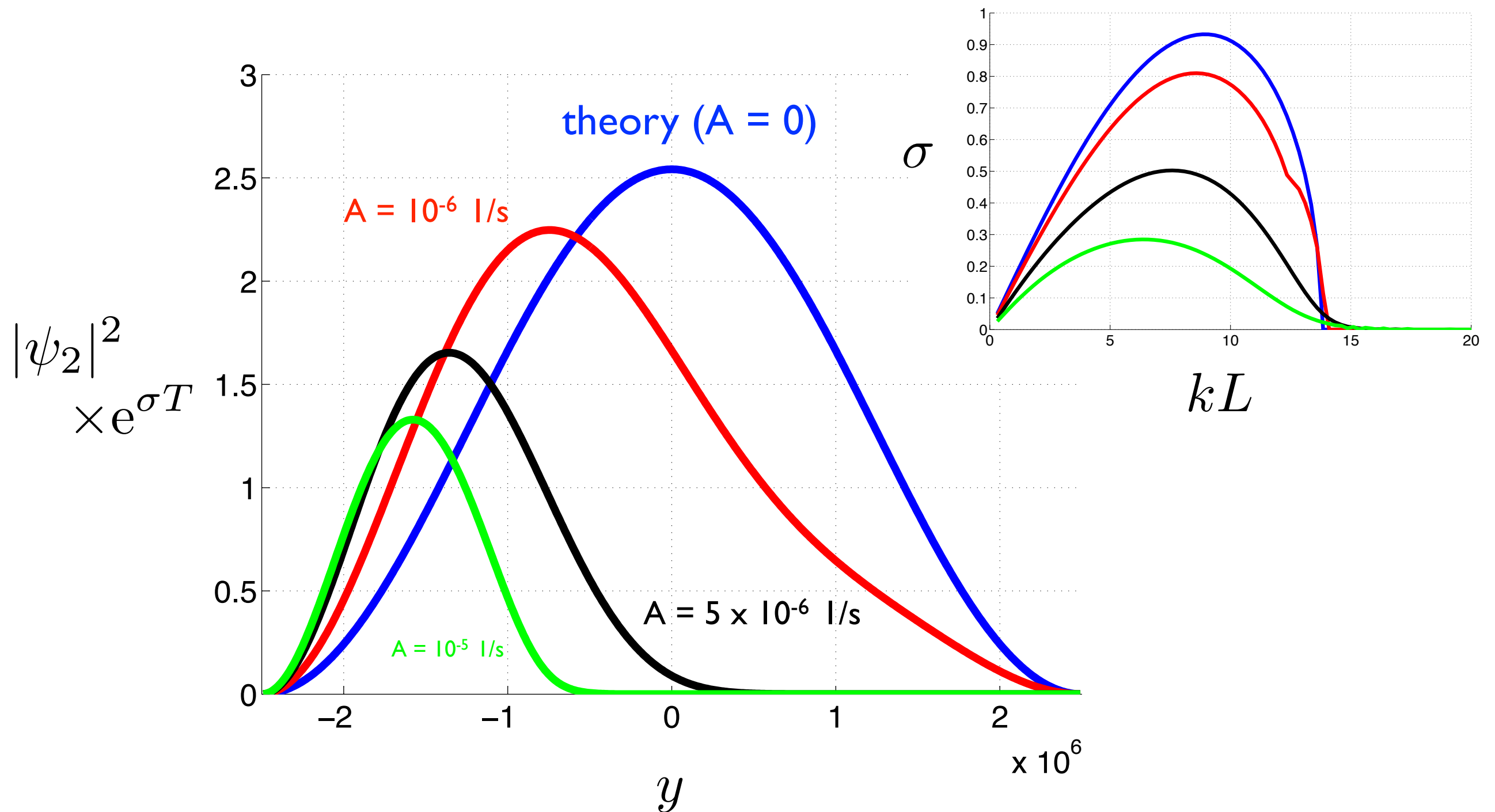


# Add shear, and stir...

$$A = 10^{-5} \text{ sec}^{-1}$$



# Fastest growing modes



# Energetics

---

$$\frac{\partial E}{\partial t} = -g^{-1} \int \underbrace{\overline{u'v'}}_{\text{eddy KE to barotropic KE conversion}} U_y + \left( \frac{Rk_R^2}{f_0} \right) \underbrace{\overline{v'T'}}_{\text{baroclinic conversion}} \bar{T}_y dx$$

eddy  
energy

eddy KE to  
barotropic KE  
conversion

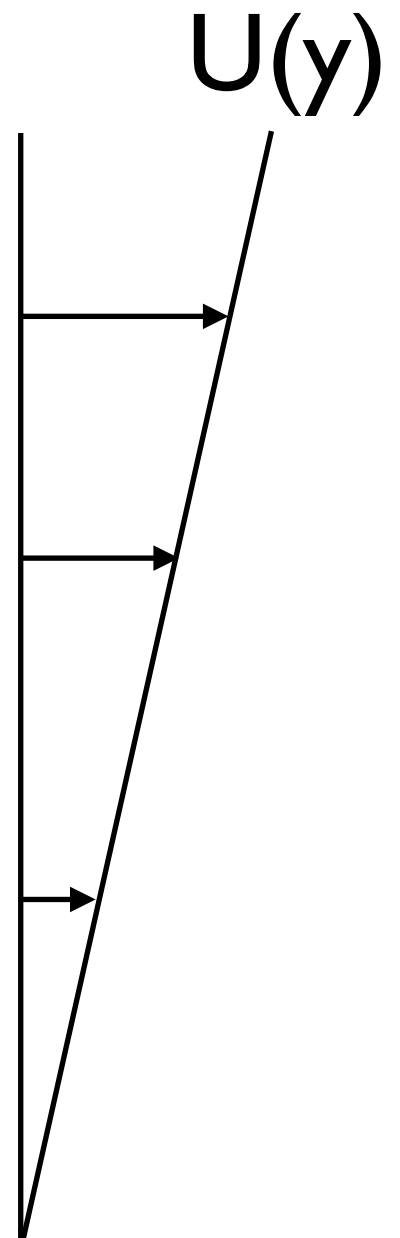
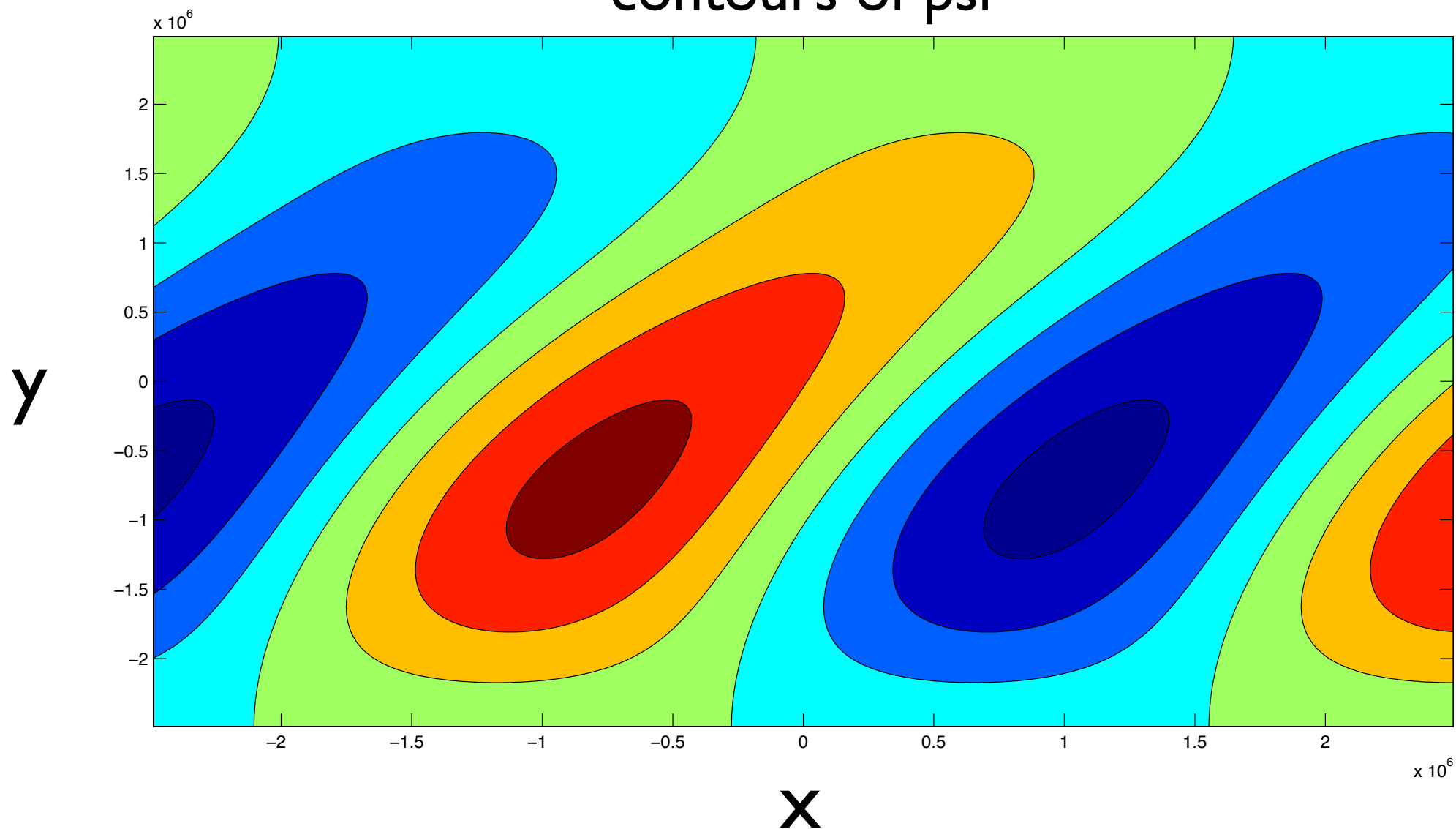
baroclinic  
conversion

$$\overline{u'v'} U_y > 0 \implies \text{perturbation energy given up to mean flow!}$$

# Energetics

$$\frac{\partial E}{\partial t} = -g^{-1} \int \underbrace{\overline{u'v'}}_{\text{blue}} U_y + \left( \frac{Rk_R^2}{f_0} \right) \underbrace{\overline{v'T'}}_{\text{red}} \bar{T}_y dx$$

contours of psi

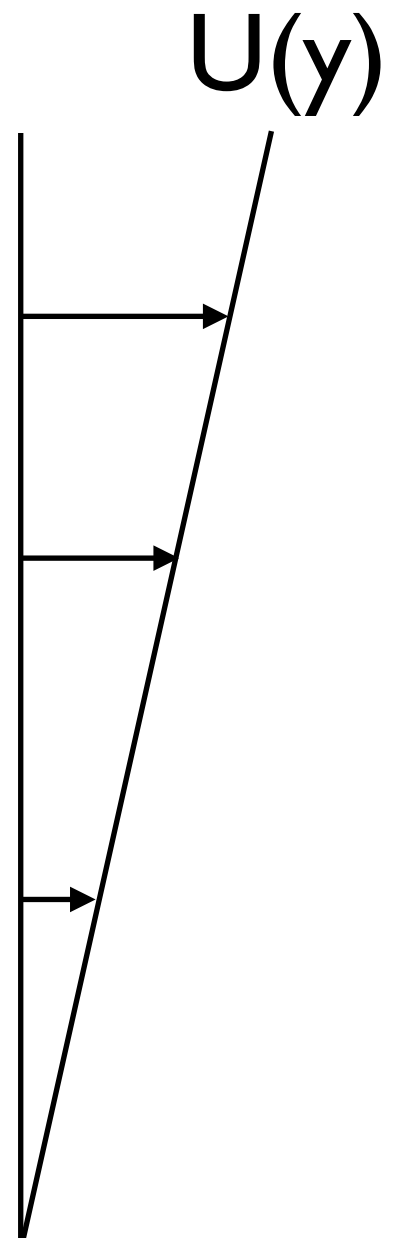
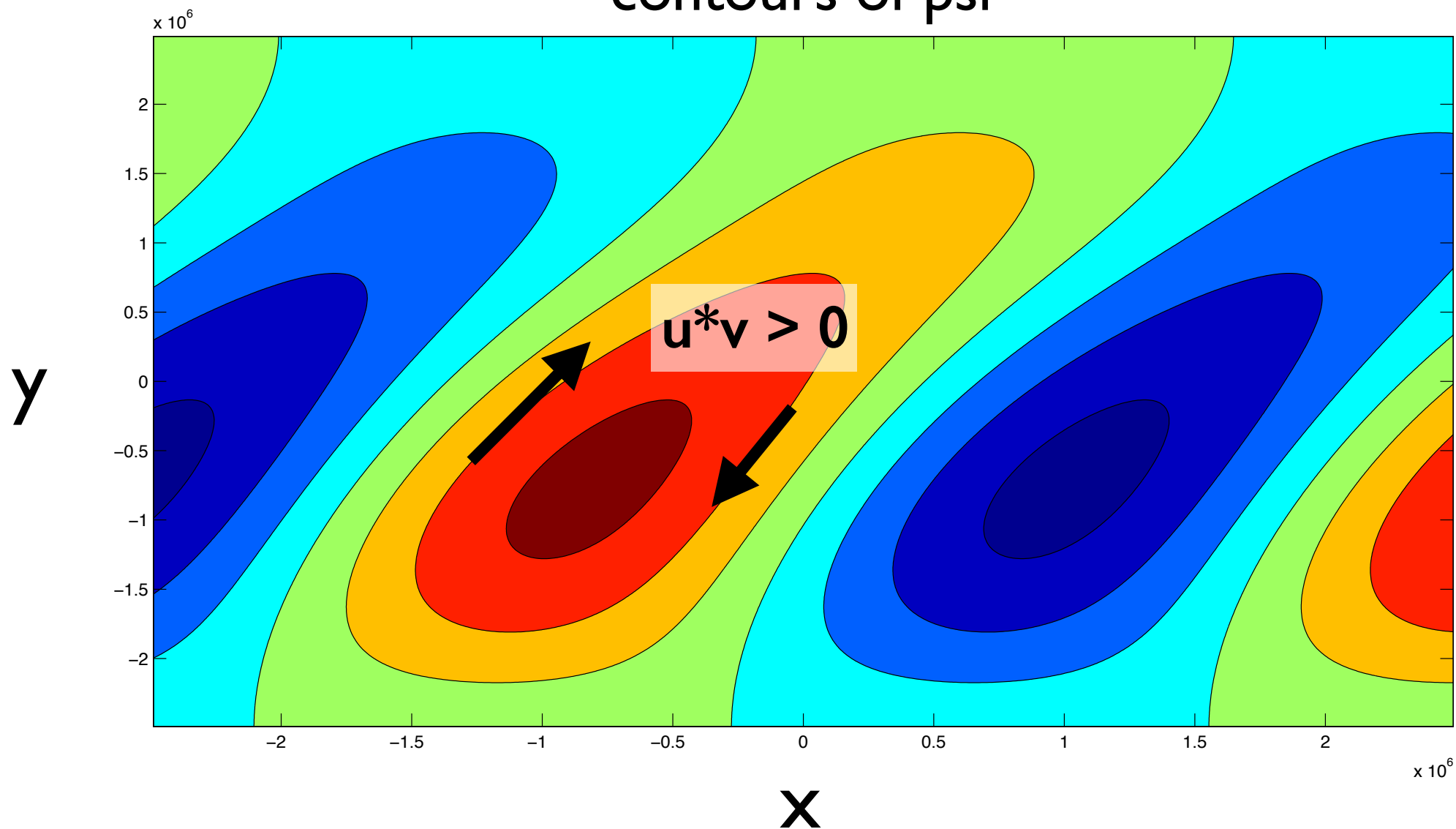




# Energetics

$$\frac{\partial E}{\partial t} = -g^{-1} \int \underbrace{\overline{u'v'}}_{\text{blue}} U_y + \left( \frac{Rk_R^2}{f_0} \right) \underbrace{\overline{v'T'}}_{\text{red}} \bar{T}_y dx$$

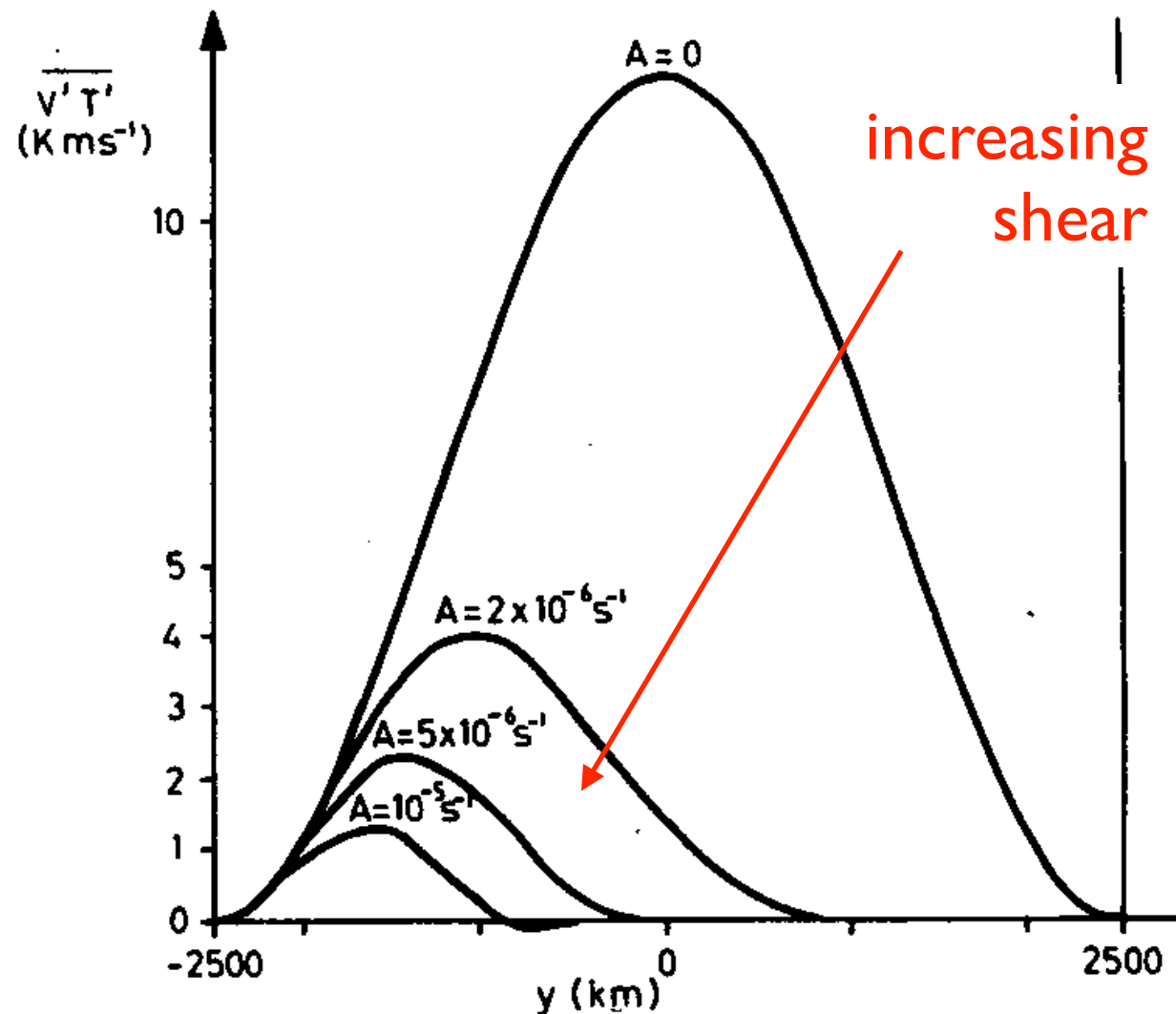
contours of psi



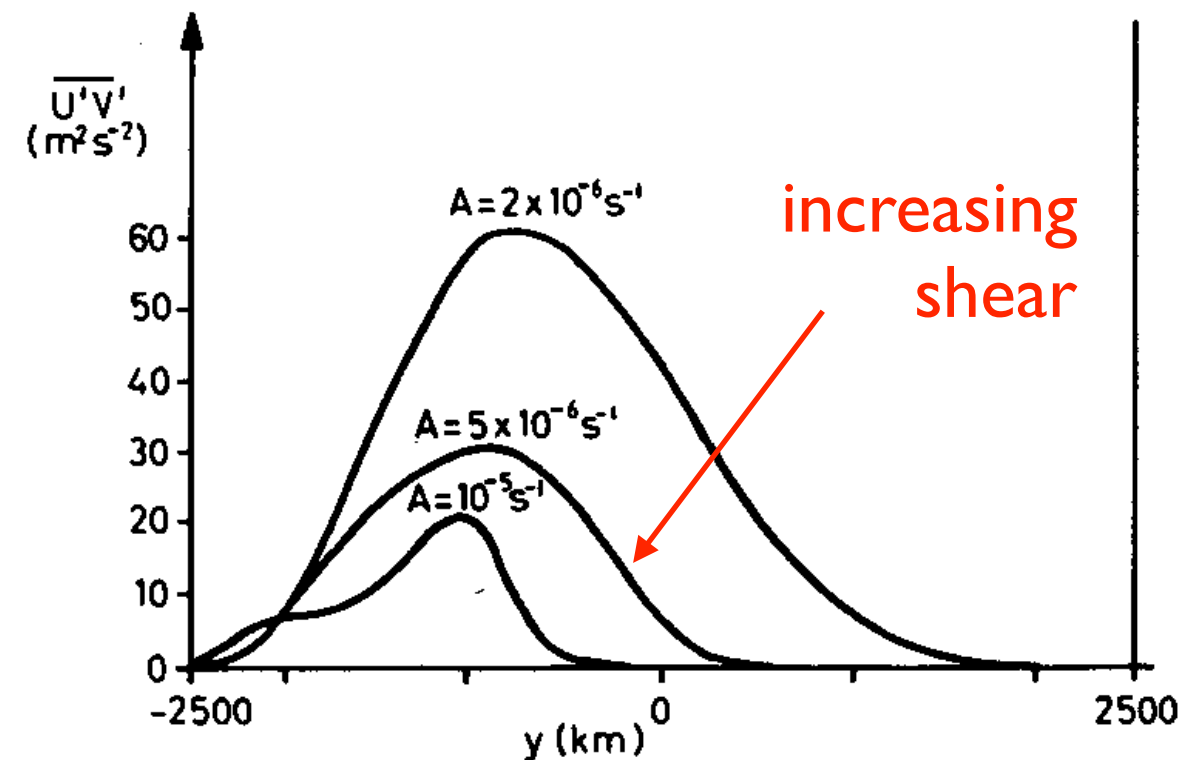
# Energetics

$$\frac{\partial E}{\partial t} = - \int_{-L/2}^{L/2} \int_0^{2\Delta p} \left\{ \overline{u'v'} \bar{U}_y + \left( \frac{Rk_R^2}{f_0} \right) \overline{v'T'} \bar{T}_y \right\} \frac{dpdy}{g}.$$

baroclinic conversion



barotropic conversion



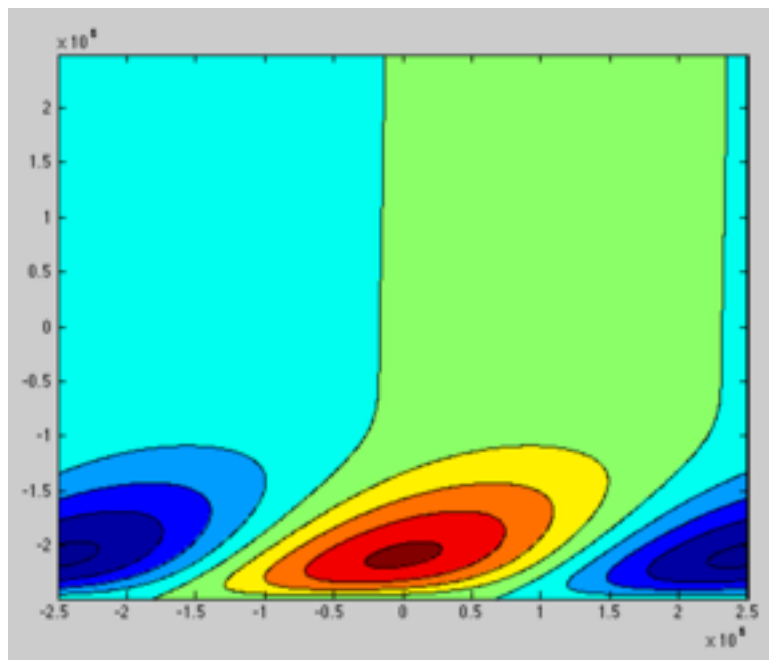
# What we learned

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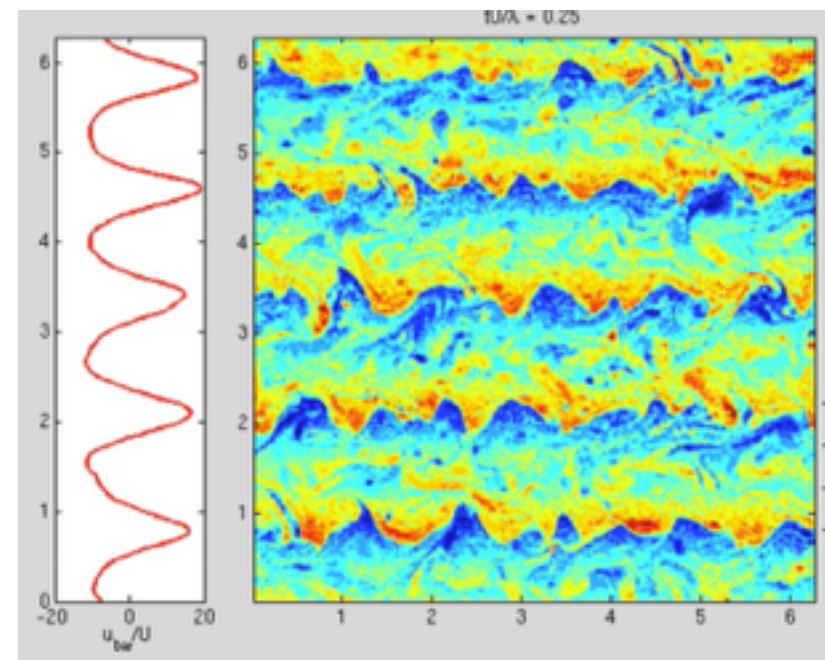
- the presence of barotropic shear *decreases* baroclinic growth rates
- ... but does **NOT** change conditions for stability
- eddy extracts potential energy, but sacrifices kinetic energy

# More questions

- what do the modes look like when there is no channel?
- how do we go from



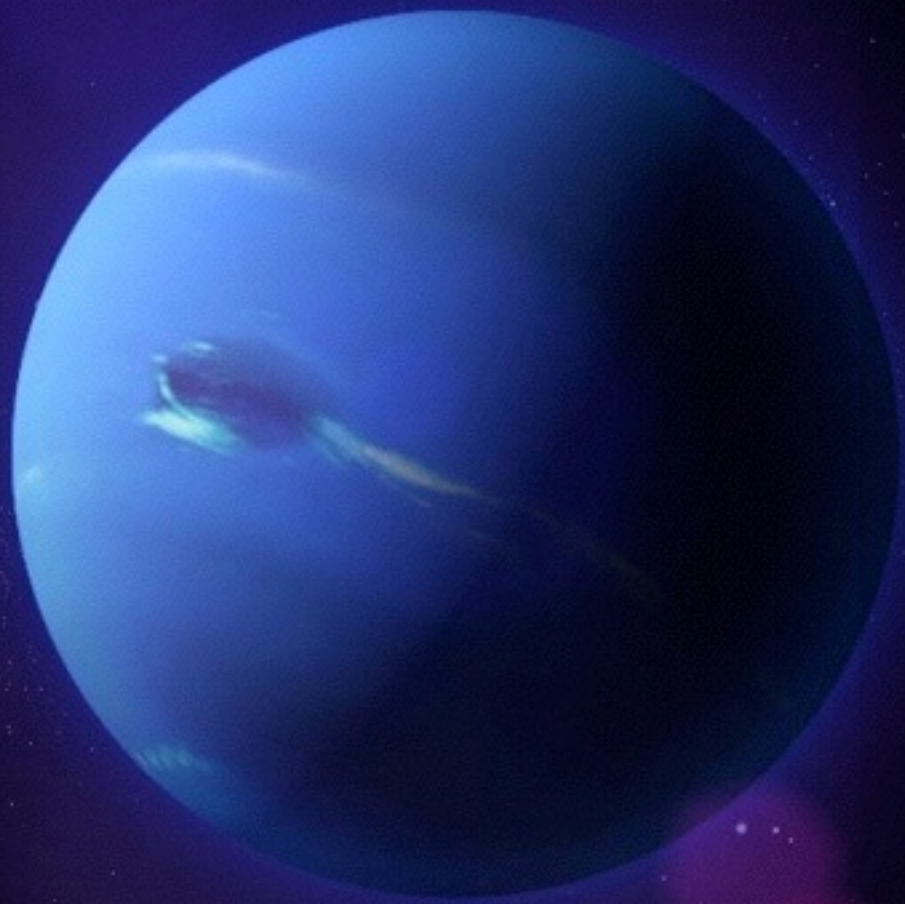
to



- other work?



thanks



# What if I don't like the channel?

---

try periodic boundary conditions

with  $U(y) = (S/\ell) \cos \ell y$

# What if I don't like the channel?

